

ETF・ETN Annual Report 2014



株式会社東京証券取引所

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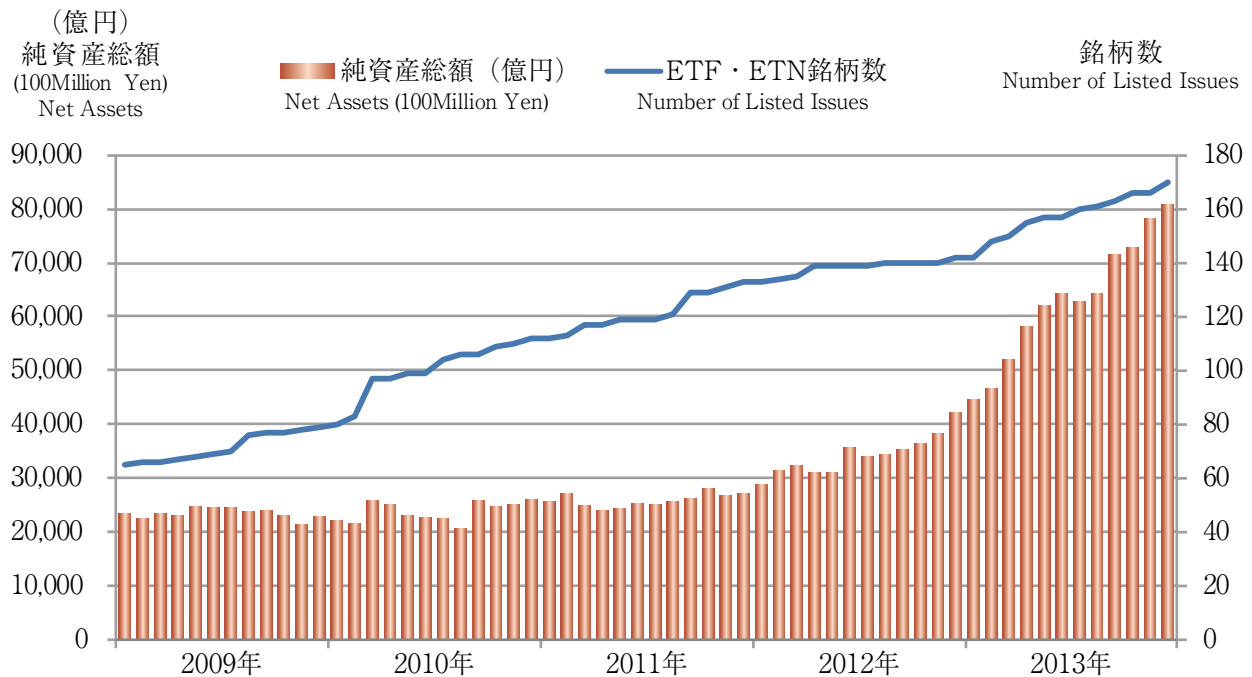
1. 2013 Annual Overview

(1) Trading Volume and Turnover

年月 Year or Month	立会日数 Trading Days	銘柄数※ (3市場合計) Total Number of Listed Issues on Domestic Exchanges	純資産総額 (内国ETFのみ) Total Net Assets of Domestic ETFs	売買高 Trading Volume		売買代金 Turnover	
				合計 Total	一日平均 Daily Average	合計 Total	一日平均 Daily Average
			百万円 Million Yen	千口 Thousand Unit	千口 Thousand Unit	百万円 Million Yen	百万円 Million Yen
2009	243	79	2,290,702	2,297,252	9,454	4,042,272	16,635
2010	245	112	2,610,389	2,448,202	9,993	4,042,633	16,501
2011	245	133	2,728,550	2,537,864	10,359	4,675,471	19,084
2012	248	142	4,214,669	2,075,427	8,369	4,415,282	17,804
2013	245	170	8,090,756	5,723,048	23,359	23,463,197	95,768
13.1	19	142	4,463,463	493,654	25,982	821,118	43,217
2	19	148	4,665,519	350,623	18,454	969,930	51,049
3	20	150	5,202,174	389,400	19,470	1,110,592	55,530
4	21	155	5,818,605	659,111	31,386	1,782,440	84,878
5	21	157	6,210,881	718,605	34,219	2,595,176	123,580
6	20	157	6,427,984	624,255	31,213	2,735,134	136,757
7	22	160	6,291,256	482,843	21,947	2,250,118	102,278
8	22	161	6,441,803	366,312	16,651	1,753,535	79,706
9	19	163	7,151,546	339,616	17,875	1,737,834	91,465
10	22	166	7,295,148	342,015	15,546	1,809,098	82,232
11	20	166	7,829,114	424,175	21,209	2,710,244	135,512
12	20	170	8,090,756	532,439	26,622	3,187,976	159,399

Source : Investor Trusts Association, Japan (Total Net Assets of Domestic ETFs)

純資産総額の推移 Change in Net Assets



※Net Assets are total net assets of Domestic ETFs (as of end each months)

売買代金の推移 Trading Volume



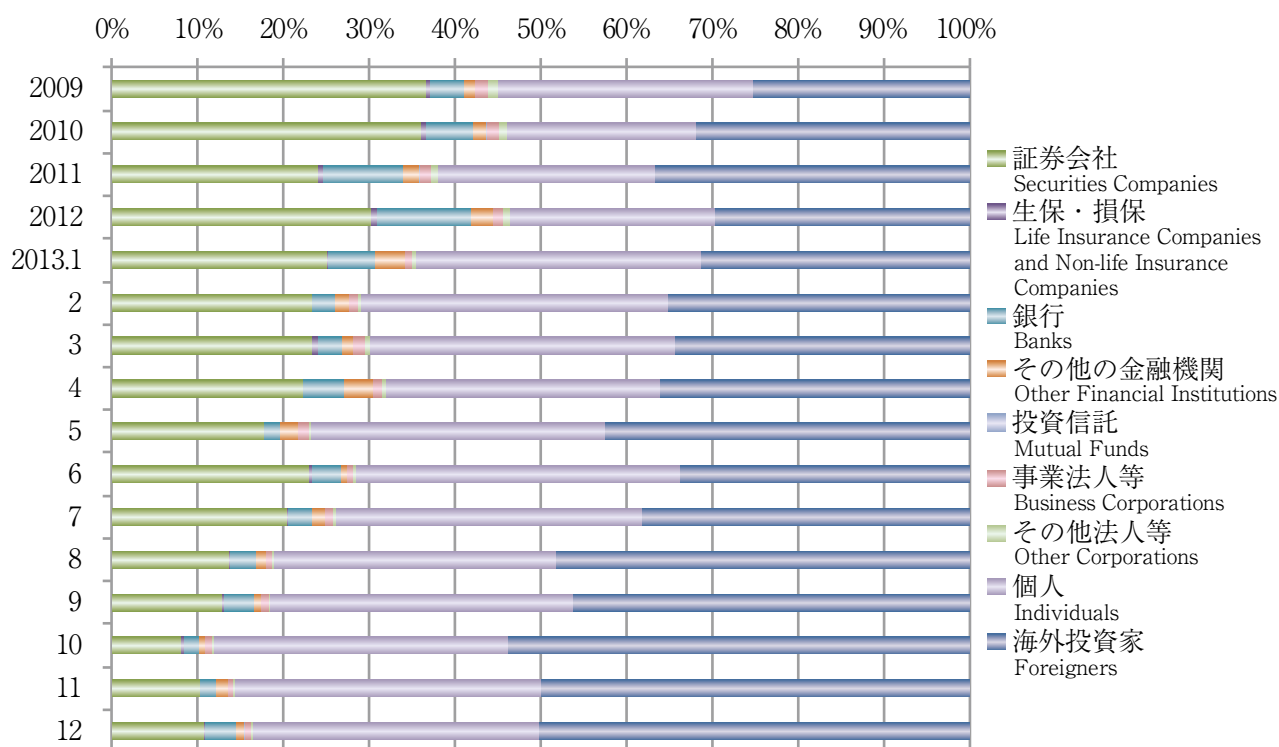
(2) Investment Trends by Investor type

千円 ¥1 thsd

	証券会社 Securities Companies	生保・損保 Life Insurance Companies and Non-life Insurance Companies	銀行 Banks	その他金融機関 Other Financial Institutions	投資信託 Mutual Funds	事業法人 Business Corporations	その他法人等 Other Corporations	個人 Individuals	海外投資家 Foreigners
2009	2,879,028,092	38,165,797	309,555,339	101,585,569	4,041,431	113,643,168	98,514,591	2,331,293,383	1,980,217,114
2010	2,786,284,330	41,014,790	417,699,523	123,049,091	7,173,607	106,645,519	72,227,218	1,705,366,071	2,451,876,259
2011	2,154,595,260	53,398,483	828,812,860	162,264,894	11,213,933	118,730,435	69,178,427	2,261,824,233	3,275,448,808
2012	2,576,914,889	61,215,153	939,780,573	215,143,002	6,618,655	94,295,188	67,195,024	2,043,528,048	2,530,326,182
2013	7,621,418,527	77,474,779	1,382,690,504	667,214,368	7,874,656	407,457,857	135,200,313	16,056,524,035	19,836,573,483
2013.1	404,740,133	861,453	89,201,392	56,890,006	258,862	12,228,039	8,521,196	535,037,535	503,600,777
2	446,466,392	421,459	50,568,123	30,559,774	276,305	19,108,969	7,229,900	682,176,298	670,918,901
3	509,820,408	15,908,659	61,381,579	29,176,003	425,316	28,472,740	12,912,902	777,739,452	750,128,709
4	776,225,685	1,792,094	165,418,119	115,333,052	865,445	39,131,410	15,468,792	1,111,996,589	1,253,949,228
5	904,845,841	3,157,298	96,894,191	105,573,336	658,177	61,116,222	14,878,113	1,746,496,608	2,171,422,496
6	1,248,793,654	16,567,899	182,855,686	34,937,648	690,353	37,876,806	17,742,816	2,045,206,935	1,824,642,210
7	906,669,672	5,151,244	124,263,009	67,996,355	1,743,470	38,543,232	14,627,447	1,585,846,404	1,692,697,490
8	470,190,794	3,118,076	106,791,698	37,659,840	444,065	26,169,883	6,141,072	1,132,822,245	1,659,349,311
9	440,471,597	8,491,758	118,118,409	28,560,743	1,225,271	30,603,528	7,204,318	1,211,084,213	1,581,830,192
10	287,151,434	13,595,084	59,632,338	25,475,902	368,354	30,100,051	9,351,994	1,220,050,214	1,913,106,758
11	547,866,123	4,033,686	98,445,016	73,326,948	437,981	36,260,439	10,758,248	1,906,582,128	2,669,329,207
12	678,176,794	4,376,069	229,120,944	61,724,761	481,057	47,846,538	10,363,515	2,101,485,414	3,145,598,204

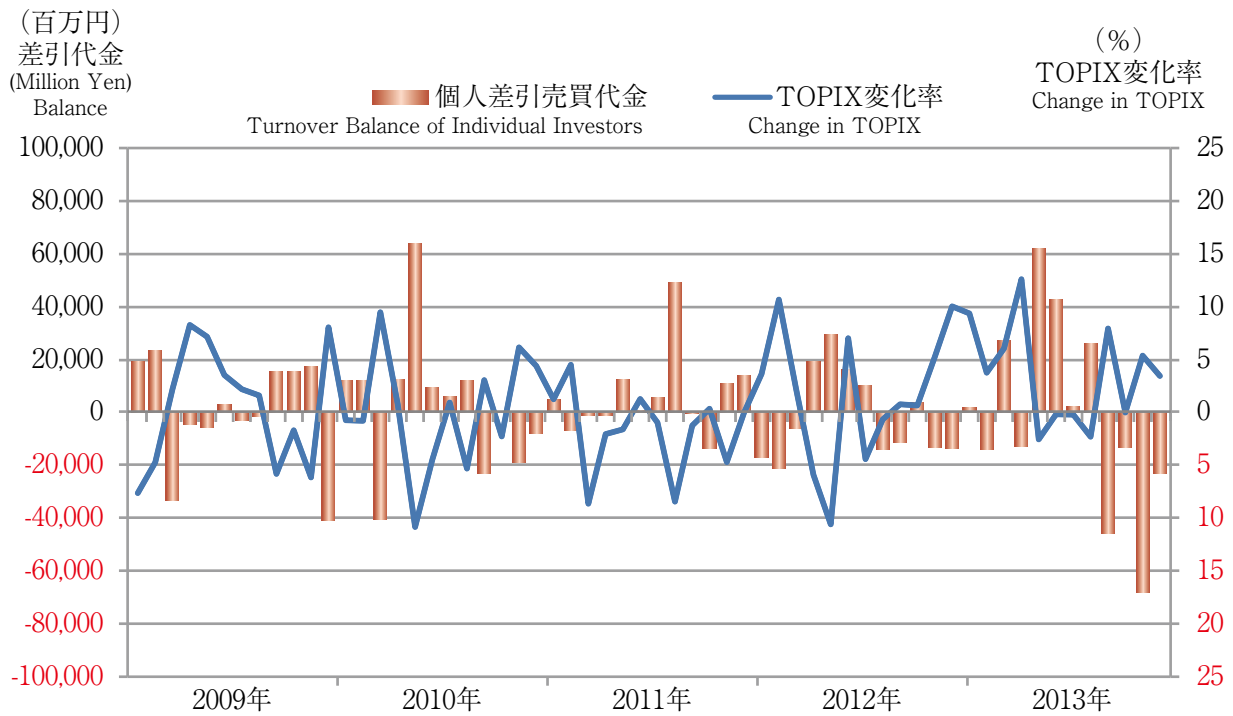
投資部門別動向

Investment Trends by Investor type

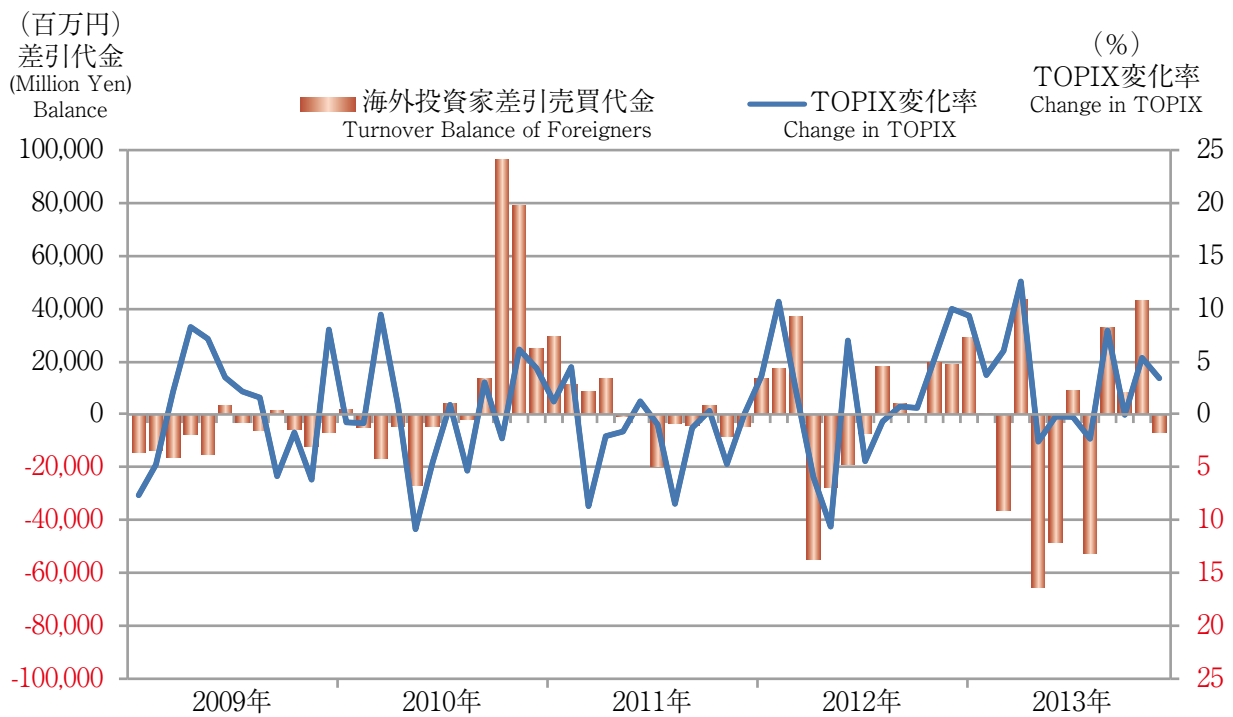


Sum of TSE and OSE

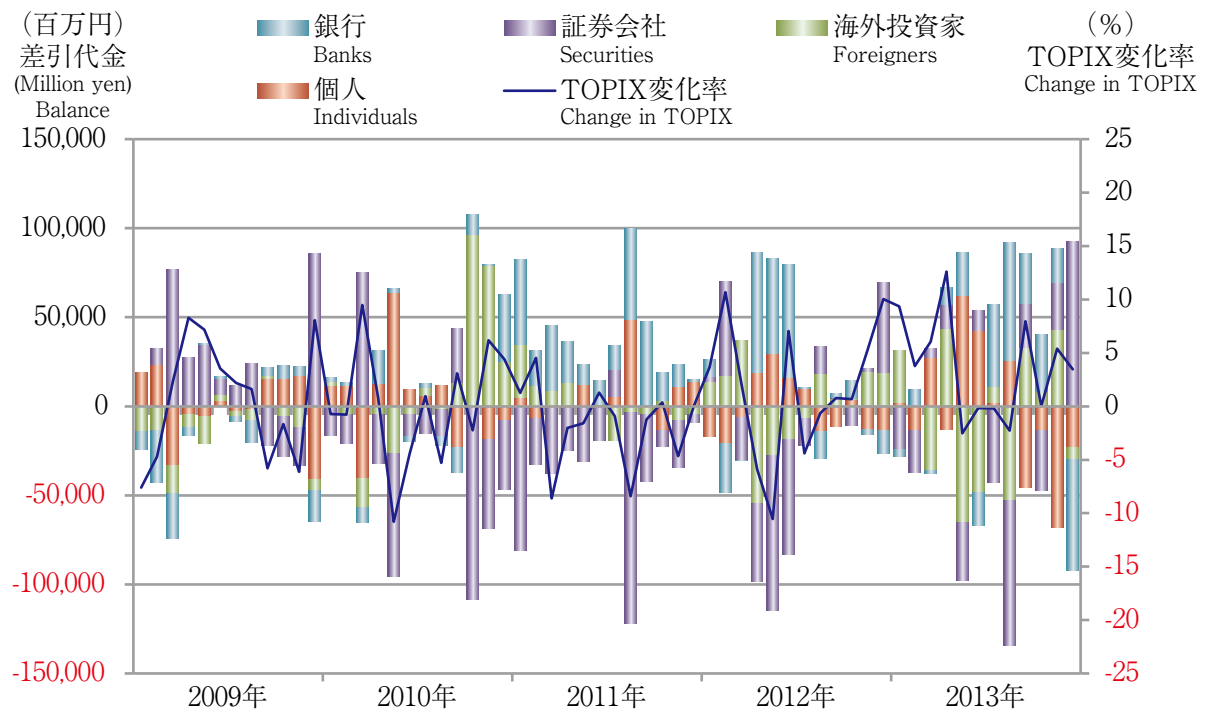
個人投資家動向 Turnover Balance of Individual Investors



海外投資家動向 Turnover Balance of Foreigners



主要投資部門動向 Investment Trend by main Investor type



(3) Share ownership Survey

表1 所有者別受益者数
Number of Beneficiaries by Holder Type

人,% persons,%

	平成25年1月 (Jan. 2013)		平成25年7月 (Jul. 2013)		増減・増減率 Change・% Change		構成比増減 Change in % of Total	株式分布状況調査 (平成24年度) Shareownership Survey (2012)
銘柄数 Number of Listed Issues	112		128		16		—	3,540
合計 (1)+(5)+(6)+(7) Total	369,277	100.0	382,509	100.0	13,232	3.6	—	100.0
(1) 政府・地方公共団体 Govt. & Local Govt.	2	0.0	—	—	-2	-100.0	0.0	0.0
(5) 国内法人小計 (2)+(3)+(4) Domestic Corporation Subtotal	4,621	1.3	5,043	1.3	422	9.1	0.0	2.0
(2) 金融機関小計 a+b+c+d+e Financial Institutions	1,374	0.4	1,497	0.4	123	9.0	0.0	0.2
a 都銀・地銀等 City & Regional Banks	344	0.1	391	0.1	47	13.7	0.0	0.0
b 信託銀行 Trust Banks	67	0.0	76	0.0	9	13.4	0.0	0.1
(a+bのうち投資信託分) (Out of a+b Investment Trusts)	10	0.0	11	0.0	1	10.0	0.0	0.0
(a+bのうち年金信託分) (Out of a+b Annuity Trusts)	4	0.0	6	0.0	2	50.0	0.0	0.0
c 生命保険会社 Life Insurance Companies	27	0.0	32	0.0	5	18.5	0.0	0.0
d 損害保険会社 Non-life Insurance Companies	24	0.0	18	0.0	-6	-25.0	0.0	0.0
e その他の金融機関 Other Financial Institutions	912	0.2	980	0.3	68	7.5	0.1	0.0
(3) 証券会社 Securities Companies	1,041	0.3	1,250	0.3	209	20.1	0.0	0.2
(4) 事業法人等 Business Corporations	2,206	0.6	2,296	0.6	90	4.1	0.0	1.6
(6) 外国法人等 Foreigners	1,895	0.5	2,295	0.6	400	21.1	0.1	0.7
(7) 個人・その他 Individuals	362,759	98.2	375,171	98.1	12,412	3.4	-0.1	97.3

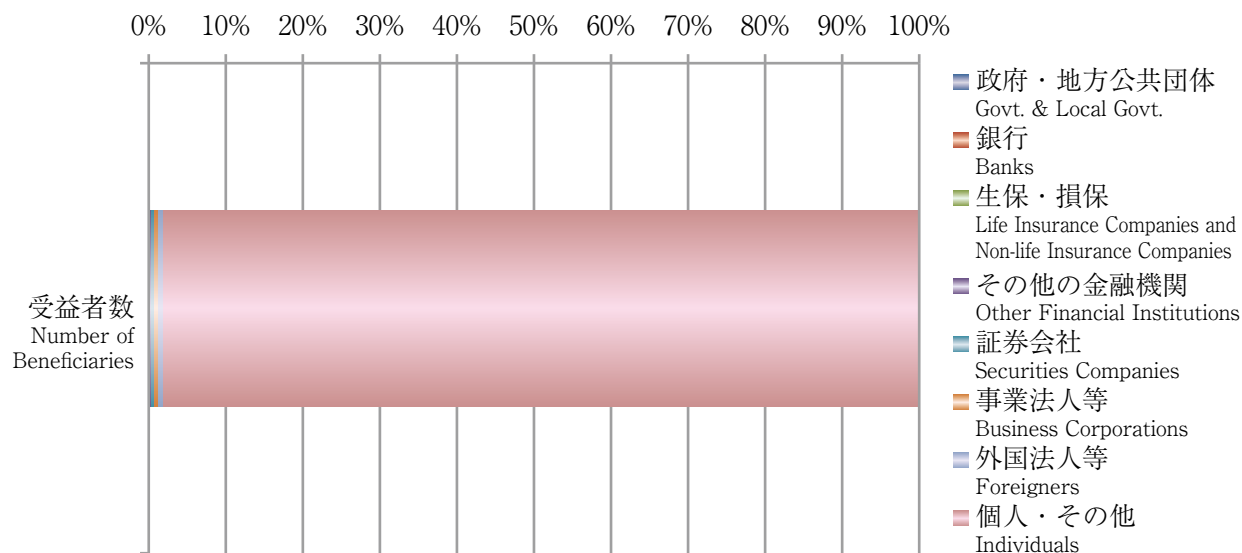


表2 所有者別受益権口数

Number of Beneficiary Right Units by Holder Type

単位,% units,%

	平成25年1月 (Jan. 2013)		平成25年7月 (Jul. 2013)		増減・増減率 Change・% Change		構成比増減 Change in % of Total	株式分布状況調査 (平成24年度) Shareownership Survey (2012)
銘柄数 Number of Listed Issues	112		128		16		—	3,540
合計 (1)+(5)+(6)+(7) Total	337,900,470	100.0	416,189,216	100.0	78,288,746	23.2	—	100.0
(1) 政府・地方公共団体 Govt. & Local Govt.	8,370	0.0	—	—	-8,370	-100.0	0.0	0.2
(5) 国内法人小計 (2)+(3)+(4) Domestic Corporation Subtotal	267,990,727	79.3	336,189,910	80.8	68,199,183	25.4	1.5	49.3
(2) 金融機関小計 a+b+c+d+e Financial Institutions	230,619,642	68.3	293,898,542	70.6	63,278,900	27.4	2.3	23.8
a 都銀・地銀等 City & Regional Banks	42,113,485	12.5	39,654,008	9.5	-2,459,477	-5.8	-3.0	2.9
b 信託銀行 Trust Banks	126,543,531	37.4	171,289,103	41.2	44,745,572	35.4	3.8	15.7
(a+bのうち投資信託分) (Out of a+b Investment Trusts)	131,749	0.0	150,374	0.0	18,625	14.1	0.0	3.7
(a+bのうち年金信託分) (Out of a+b Annuity Trusts)	389,298	0.1	368,463	0.1	-20,835	-5.4	0.0	2.4
c 生命保険会社 Life Insurance Companies	21,576,764	6.4	45,075,648	10.8	23,498,884	108.9	4.4	3.1
d 損害保険会社 Non-life Insurance Companies	3,020,650	0.9	199,110	0.0	-2,821,540	-93.4	-0.9	1.2
e その他の金融機関 Other Financial Institutions	37,365,212	11.1	37,680,673	9.1	315,461	0.8	-2.0	0.9
(3) 証券会社 Securities Companies	25,991,037	7.7	32,914,962	7.9	6,923,925	26.6	0.2	2.3
(4) 事業法人等 Business Corporations	11,380,048	3.4	9,376,406	2.3	-2,003,642	-17.6	-1.1	23.3
(6) 外国法人等 Foreigners	32,709,323	9.7	42,617,717	10.2	9,908,394	30.3	0.5	24.3
(7) 個人・その他 Individuals	37,192,050	11.0	37,381,589	9.0	189,539	0.5	-2.0	26.2

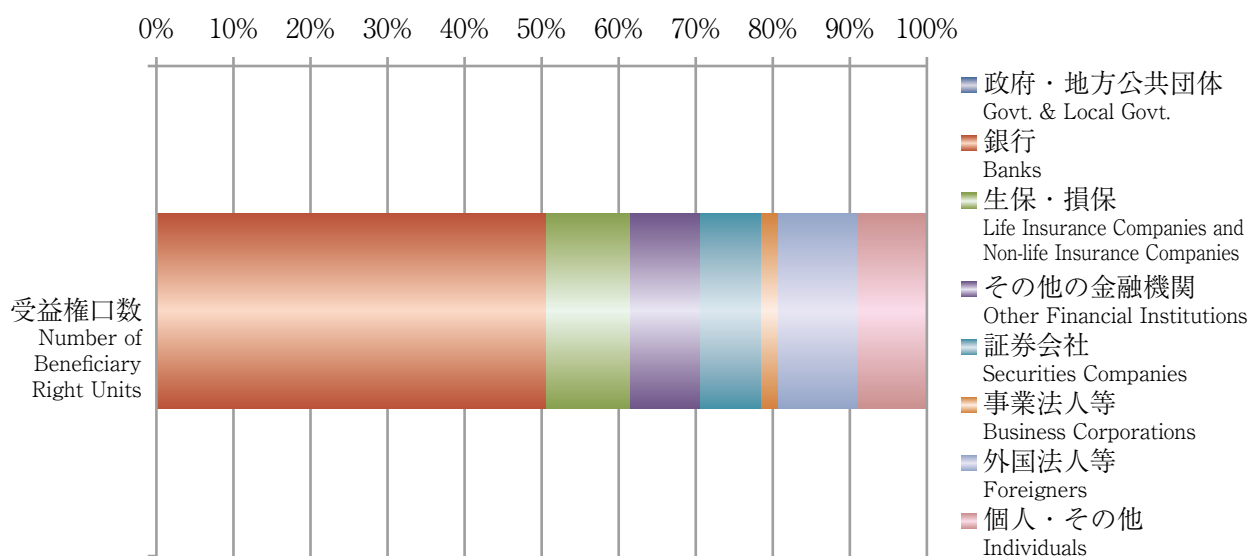


表3 所有数別受益者数
Number of Beneficiaries by Number Held

人,% persons, %

	平成25年1月 (Jan. 2013)		平成25年7月 (Jul. 2013)		増減・増減率 Change・% Change		構成比増減 Change in % of Total	株式分布状況調査 (平成24年度) Shareownership Survey (2012)
銘柄数 Number of Listed Issues	112		128		16		—	3,540
合計 (1)+(2)+(3) Total	369,277	100.0	382,509	100.0	13,232	3.6	—	100.0
(1) 49単位以下 a+b+c 49 units or fewer	243,784	66.0	257,993	67.4	14,209	5.8	1.4	95.7
a 1単位 - 4単位 1 unit - 4 units	77,174	20.9	85,948	22.5	8,774	11.4	1.6	67.1
b 5単位 - 9単位 5 units - 9 units	33,569	9.1	36,538	9.6	2,969	8.8	0.5	11.0
c 10単位 - 49単位 10 units - 49 units	133,041	36.0	135,507	35.4	2,466	1.9	-0.6	17.6
(2) 50単位 - 99単位 50 units - 99 units	43,366	11.7	42,863	11.2	-503	-1.2	-0.5	2.0
(3) 100単位以上 d+e+f+g 100 units or more	82,127	22.2	81,653	21.3	-474	-0.6	-0.9	2.3
d 100単位 - 499単位 100 units - 499 units	64,987	17.6	64,093	16.8	-894	-1.4	-0.8	1.8
e 500単位 - 999単位 500 units - 999 units	8,843	2.4	8,825	2.3	-18	-0.2	-0.1	0.2
f 1000単位 - 4999単位 1000 units - 4999 units	6,183	1.7	6,497	1.7	314	5.1	0.0	0.2
g 5000単位以上 5000 units or more	2,114	0.6	2,238	0.6	124	5.9	0.0	0.1

表4 所有数別受益権口数
Number of Beneficiary Right Units by Number Held

単位,% units, %

	平成25年1月 (Jan. 2013)		平成25年7月 (Jul. 2013)		増減・増減率 Change・% Change		構成比増減 Change in % of Total	株式分布状況調査 (平成24年度) Shareownership Survey (2012)
銘柄数 Number of Listed Issues	112		128		16		—	3,540
合計 (1)+(2)+(3) Total	337,900,470	100.0	416,189,216	100.0	78,288,746	23.2	—	100.0
(1) 49単位以下 a+b+c 49 units or fewer	3,081,237	0.9	3,151,623	0.8	70,386	2.3	-0.1	10.0
a 1単位 - 4単位 1 unit - 4 units	151,432	0.0	166,525	0.0	15,093	10.0	0.0	2.3
b 5単位 - 9単位 5 units - 9 units	208,619	0.1	226,542	0.1	17,923	8.6	0.0	1.4
c 10単位 - 49単位 10 units - 49 units	2,721,186	0.8	2,758,556	0.7	37,370	1.4	-0.1	6.3
(2) 50単位 - 99単位 50 units - 99 units	2,787,288	0.8	2,743,936	0.7	-43,352	-1.6	-0.1	2.6
(3) 100単位以上 d+e+f+g 100 units or more	332,031,945	98.3	410,293,657	98.6	78,261,712	23.6	0.3	87.4
d 100単位 - 499単位 100 units - 499 units	12,390,238	3.7	12,173,435	2.9	-216,803	-1.7	-0.8	6.7
e 500単位 - 999単位 500 units - 999 units	5,758,824	1.7	5,735,458	1.4	-23,366	-0.4	-0.3	2.9
f 1000単位 - 4999単位 1000 units - 4999 units	11,209,432	3.3	11,964,643	2.9	755,211	6.7	-0.4	9.6
g 5000単位以上 5000 units or more	302,673,451	89.6	380,420,121	91.4	77,746,670	25.7	1.8	68.2

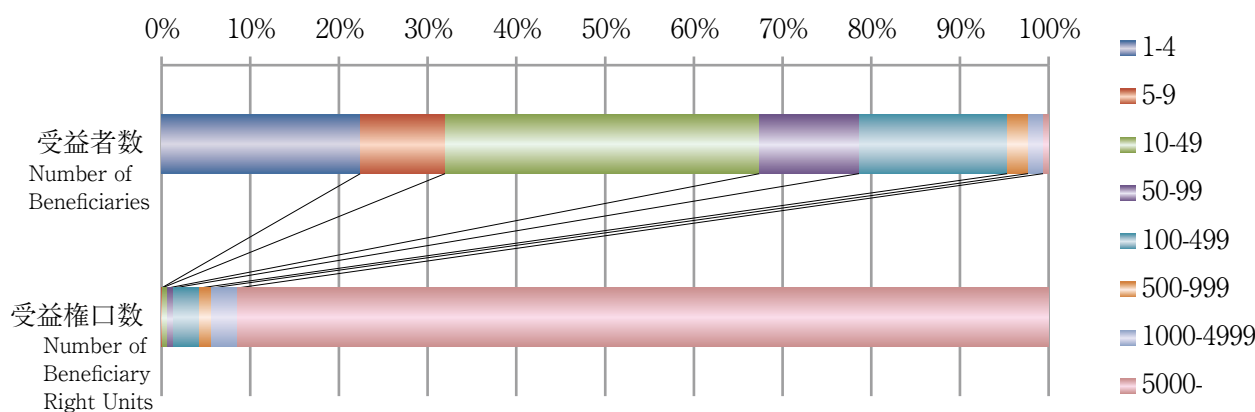


表5 地方別受益者数
Number of Beneficiaries by Region

人,% persons,%

	平成25年1月 (Jan. 2013)		平成25年7月 (Jul. 2013)		増減・増減率 Change・% Change		構成比増減 Change in % of Total	株式分布状況調査 (平成24年度) Shareownership Survey (2012)
銘柄数 Number of Listed Issues	112		128		16		—	3,540
合 計 Total	369,277	100.0	382,509	100.0	13,232	3.6	—	100.0
北 海 道 Hokkaido	6,597	1.8	6,870	1.8	273	4.1	0.0	1.6
東 北 Tohoku	10,605	2.9	10,936	2.9	331	3.1	0.0	2.8
関 東 Kanto	195,675	53.0	203,176	53.1	7,501	3.8	0.1	41.2
中 部 Chubu	51,067	13.8	52,486	13.7	1,419	2.8	-0.1	18.0
近 畿 Kinki	64,911	17.6	66,693	17.4	1,782	2.7	-0.2	23.1
中 国 Chugoku	13,497	3.7	14,092	3.7	595	4.4	0.0	4.9
四 国 Shikoku	6,969	1.9	7,282	1.9	313	4.5	0.0	2.7
九 州 Kyushu	18,080	4.9	18,775	4.9	695	3.8	0.0	5.1
海 外 Overseas	1,876	0.5	2,199	0.6	323	17.2	0.1	0.7

表6 地方別受益権口数
Number of Beneficiary Right Units by Region

単位,% units,%

	平成25年1月 (Jan. 2013)		平成25年7月 (Jul. 2013)		増減・増減率 Change・% Change		構成比増減 Change in % of Total	株式分布状況調査 (平成24年度) Shareownership Survey (2012)
銘柄数 Number of Listed Issues	112		128		16		—	3,540
合 計 Total	337,900,470	100.0	416,189,216	100.0	78,288,746	23.2	—	100.0
北 海 道 Hokkaido	1,900,637	0.6	2,046,353	0.5	145,716	7.7	-0.1	0.5
東 北 Tohoku	3,761,840	1.1	3,273,324	0.8	-488,516	-13.0	-0.3	1.0
関 東 Kanto	246,756,792	73.0	294,302,554	70.7	47,545,762	19.3	-2.3	54.5
中 部 Chubu	20,627,765	6.1	15,745,502	3.8	-4,882,263	-23.7	-2.3	6.9
近 畿 Kinki	16,697,056	4.9	42,653,773	10.2	25,956,717	155.5	5.3	8.9
中 国 Chugoku	6,346,355	1.9	6,036,350	1.5	-310,005	-4.9	-0.4	1.4
四 国 Shikoku	3,766,733	1.1	3,504,002	0.8	-262,731	-7.0	-0.3	0.9
九 州 Kyushu	5,447,907	1.6	6,162,184	1.5	714,277	13.1	-0.1	1.6
海 外 Overseas	32,595,385	9.6	42,465,174	10.2	9,869,789	30.3	0.6	24.3

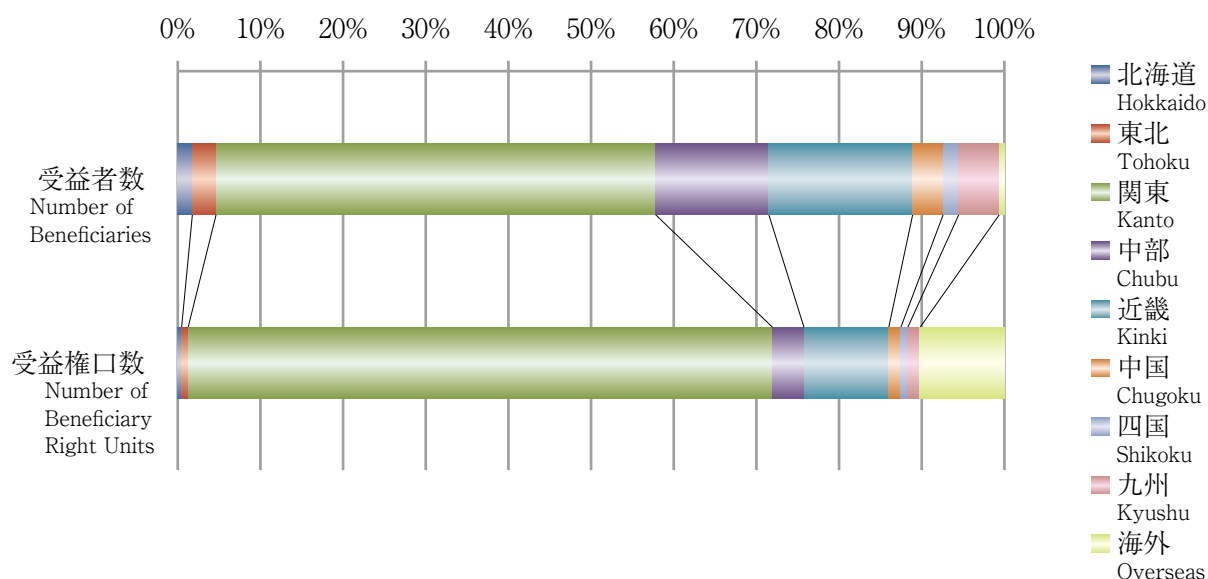


表7 連動対象のカテゴリー別にみた所有者別受益者数

Number of Beneficiaries by Holder Type on Linked-Category Basis

人,% persons,%

カテゴリー category	合計 Total		日本株指数 Japanese Equity Index		REIT指数 REIT Index		外国株指数 Foreign Equity Index		その他 Others		ETN ETN	
銘柄数 Number of Listed Issues	128		74		3		21		11		19	
合計 (1)+(5)+(6)+(7) Total	382,509	100.0	241,262	100.0	22,625	100.0	81,625	100.0	34,874	100.0	2,123	100.0
(1) 政府・地方公共団体 Govt. & Local Govt.	—	—	—	—	—	—	—	—	—	—	—	—
(5) 国内法人小計 (2)+(3)+(4) Domestic Corporation Subtotal	5,043	1.3	3,475	1.4	294	1.3	784	1.0	430	1.2	60	2.8
(2) 金融機関小計 a+b+c+d+e Financial Institutions	1,497	0.4	1,343	0.6	81	0.4	47	0.1	22	0.1	4	0.2
a 都銀・地銀等 City & Regional Banks	391	0.1	349	0.1	39	0.2	3	0.0	—	—	—	—
b 信託銀行 Trust Banks	76	0.0	61	0.0	5	0.0	5	0.0	5	0.0	—	—
(a+bのうち投資信託分) (Out of a+b Investment Trusts)	11	0.0	5	0.0	2	0.0	4	0.0	—	—	—	—
(a+bのうち年金信託分) (Out of a+b Annuity Trusts)	6	0.0	5	0.0	1	0.0	—	—	—	—	—	—
c 生命保険会社 Life Insurance Companies	32	0.0	32	0.0	—	—	—	—	—	—	—	—
d 損害保険会社 Non-life Insurance Companies	18	0.0	17	0.0	—	—	1	0.0	—	—	—	—
e その他の金融機関 Other Financial Institutions	980	0.3	884	0.4	37	0.2	38	0.0	17	0.0	4	0.2
(3) 証券会社 Securities Companies	1,250	0.3	774	0.3	60	0.3	244	0.3	125	0.4	47	2.2
(4) 事業法人等 Business Corporations	2,296	0.6	1,358	0.6	153	0.7	493	0.6	283	0.8	9	0.4
(6) 外国法人等 Foreigners	2,295	0.6	1,762	0.7	122	0.5	243	0.3	121	0.3	47	2.2
(7) 個人・その他 Individuals	375,171	98.1	236,025	97.8	22,209	98.2	80,598	98.7	34,323	98.4	2,016	95.0

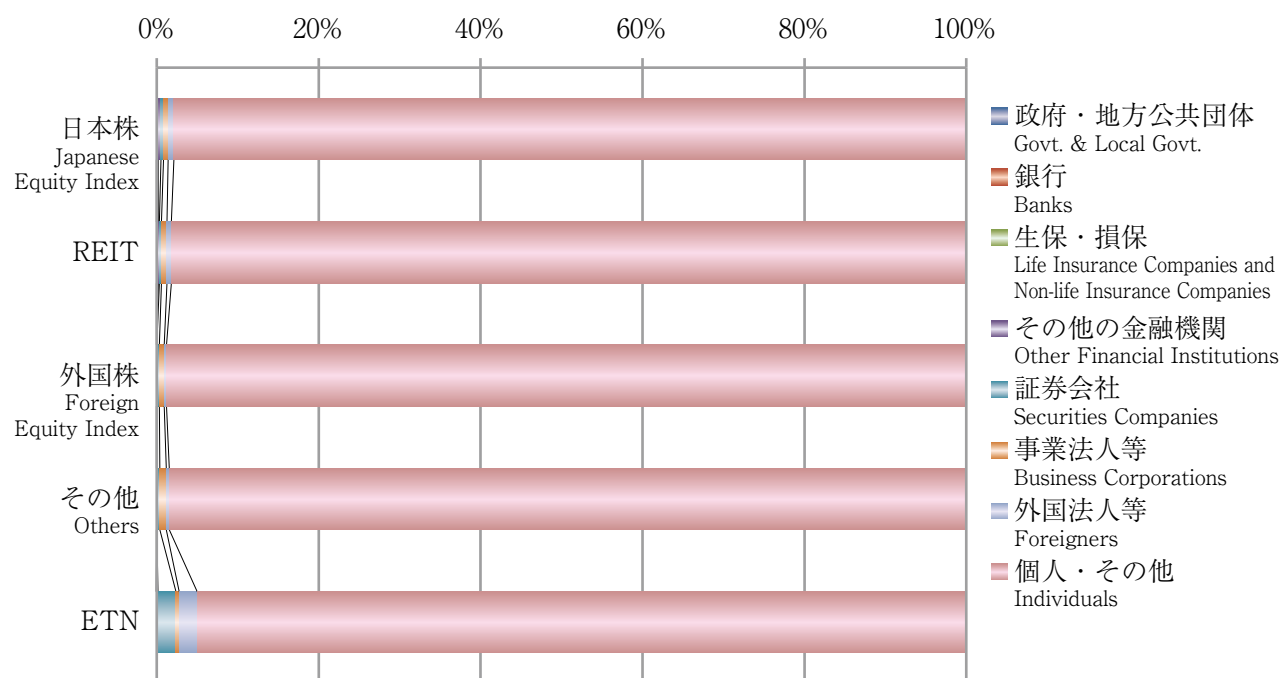


表8 連動対象のカテゴリー別にみた所有者別受益権口数

Number of Beneficiary Right Units by Holder Type on Linked-Category Basis

単位,% units,%

カテゴリー category	合計 Total		日本株指数 Japanese Equity Index		REIT指数 REIT Index		外国株指数 Foreign Equity Index		その他 Others		ETN ETN	
銘柄数 Number of Listed Issues	128		74		3		21		11		19	
合計 (1)+(5)+(6)+(7) Total	416,189,216	100.0	395,391,631	100.0	2,753,490	100.0	7,830,616	100.0	7,542,479	100.0	2,671,000	100.0
(1) 政府・地方公共団体 Govt. & Local Govt.	—	—	—	—	—	—	—	—	—	—	—	—
(5) 国内法人小計 (2)+(3)+(4) Domestic Corporation Subtotal	336,189,910	80.8	330,060,021	83.5	1,348,685	49.0	1,997,404	25.5	2,741,127	36.3	42,673	1.6
(2) 金融機関小計 a+b+c+d+e Financial Institutions	293,898,542	70.6	291,243,718	73.7	1,102,833	40.1	505,608	6.5	1,043,061	13.8	3,322	0.1
a 都銀・地銀等 City & Regional Banks	39,654,008	9.5	38,766,464	9.8	881,152	32.0	6,392	0.1	—	—	—	—
b 信託銀行 Trust Banks	171,289,103	41.2	170,210,997	43.0	11,568	0.4	71,457	0.9	995,081	13.2	—	—
(a+b)のうち投資信託分 (Out of a+b Investment Trusts)	150,374	0.0	78,887	0.0	5,995	0.2	65,492	0.8	—	—	—	—
(a+b)のうち年金信託分 (Out of a+b Annuity Trusts)	368,463	0.1	367,763	0.1	700	0.0	—	—	—	—	—	—
c 生命保険会社 Life Insurance Companies	45,075,648	10.8	45,075,648	11.4	—	—	—	—	—	—	—	—
d 損害保険会社 Non-life Insurance Companies	199,110	0.0	198,110	0.1	—	—	1,000	0.0	—	—	—	—
e その他の金融機関 Other Financial Institutions	37,680,673	9.1	36,992,499	9.4	210,113	7.6	426,759	5.4	47,980	0.6	3,322	0.1
(3) 証券会社 Securities Companies	32,914,962	7.9	31,046,631	7.9	135,535	4.9	1,262,596	16.1	443,779	5.9	26,421	1.0
(4) 事業法人等 Business Corporations	9,376,406	2.3	7,769,672	2.0	110,317	4.0	229,200	2.9	1,254,287	16.6	12,930	0.5
(6) 外国法人等 Foreigners	42,617,717	10.2	38,314,437	9.7	398,711	14.5	833,392	10.6	800,839	10.6	2,270,338	85.0
(7) 個人・その他 Individuals	37,381,589	9.0	27,017,173	6.8	1,006,094	36.5	4,999,820	63.8	4,000,513	53.0	357,989	13.4

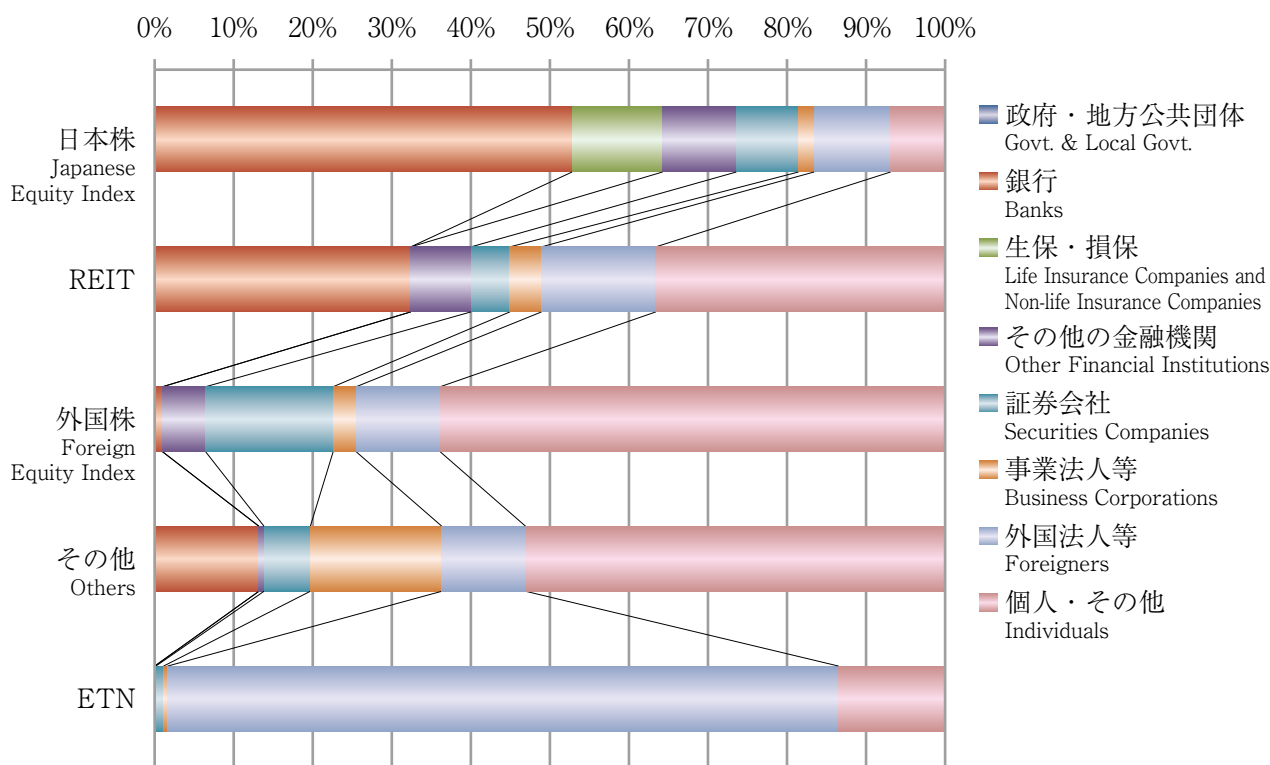


表9 連動対象のカテゴリー別にみた所有数別受益者数

Number of Beneficiaries by Number Held on Linked-Category Basis

人,% persons,%

カテゴリー category	合計 Total		日本株指数 Japanese Equity Index		REIT指数 REIT Index		外国株指数 Foreign Equity Index		その他 Others		ETN ETN	
銘柄数 Number of Listed Issues	128		74		3		21		11		19	
合計 (1)+(2)+(3) Total	382,509	100.0	241,262	100.0	22,625	100.0	81,625	100.0	34,874	100.0	2,123	100.0
(1) 49単位以下 a+b+c 49 units or fewer	257,993	67.4	149,668	62.0	17,789	78.6	63,606	77.9	25,537	73.2	1,393	65.6
a 1単位 - 4単位 1 unit - 4 units	85,948	22.5	44,683	18.5	6,809	30.1	22,745	27.9	11,027	31.6	684	32.2
b 5単位 - 9単位 5 units - 9 units	36,538	9.6	18,840	7.8	2,817	12.5	10,857	13.3	3,825	11.0	199	9.4
c 10単位 - 49単位 10 units - 49 units	135,507	35.4	86,145	35.7	8,163	36.1	30,004	36.8	10,685	30.6	510	24.0
(2) 50単位 - 99単位 50 units - 99 units	42,863	11.2	30,206	12.5	2,108	9.3	7,485	9.2	2,875	8.2	189	8.9
(3) 100単位以上 d+e+f+g 100 units or more	81,653	21.3	61,388	25.4	2,728	12.1	10,534	12.9	6,462	18.5	541	25.5
d 100単位 - 499単位 100 units - 499 units	64,093	16.8	48,058	19.9	2,301	10.2	8,669	10.6	4,730	13.6	335	15.8
e 500単位 - 999単位 500 units - 999 units	8,825	2.3	6,755	2.8	213	0.9	1,029	1.3	740	2.1	88	4.1
f 1000単位 - 4999単位 1000 units - 4999 units	6,497	1.7	4,715	2.0	158	0.7	711	0.9	834	2.4	79	3.7
g 5000単位以上 5000 units or more	2,238	0.6	1,860	0.8	56	0.2	125	0.2	158	0.5	39	1.8

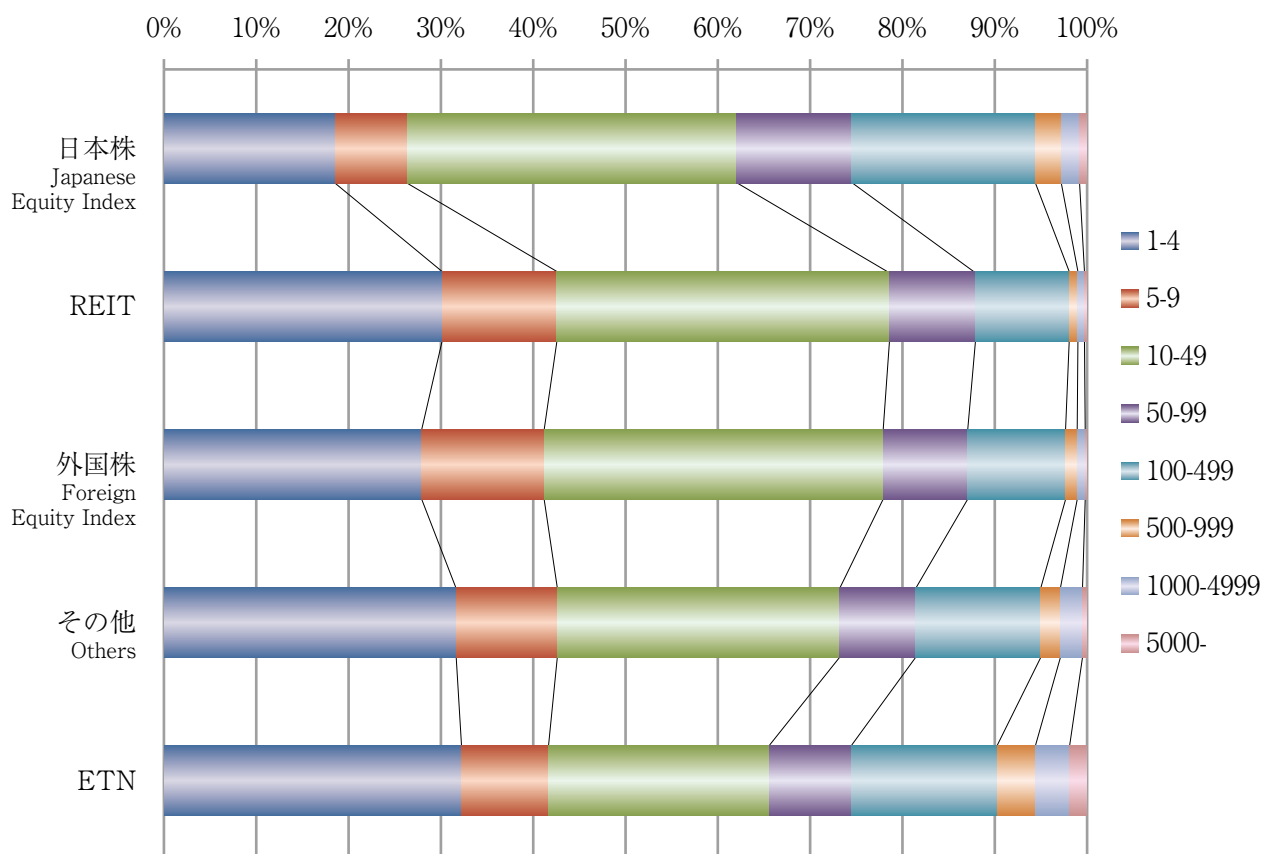


表10 連動対象のカテゴリー別にみた所有数別受益権口数

Number of Beneficiary Right Units by Number Held on Linked-Category Basis

単位,% units,%

カテゴリー category	合計 Total		日本株指数 Japanese Equity Index		REIT指数 REIT Index		外国株指数 Foreign Equity Index		その他 Others		ETN ETN	
銘柄数 Number of Listed Issues	128		74		3		21		11		19	
合計 (1)+(2)+(3) Total	416,189,216	100.0	395,391,631	100.0	2,753,490	100.0	7,830,616	100.0	7,542,479	100.0	2,671,000	100.0
(1) 49単位以下 a+b+c 49 units or fewer	3,151,623	0.8	1,955,739	0.5	195,898	7.1	726,867	9.3	260,768	3.5	12,351	0.5
a 1単位 - 4単位 1 unit - 4 units	166,525	0.0	85,876	0.0	13,141	0.5	45,770	0.6	20,579	0.3	1,159	0.0
b 5単位 - 9単位 5 units - 9 units	226,542	0.1	116,697	0.0	17,319	0.6	67,766	0.9	23,560	0.3	1,200	0.0
c 10単位 - 49単位 10 units - 49 units	2,758,556	0.7	1,753,166	0.4	165,438	6.0	613,331	7.8	216,629	2.9	9,992	0.4
(2) 50単位 - 99単位 50 units - 99 units	2,743,936	0.7	1,933,759	0.5	132,870	4.8	482,831	6.2	181,873	2.4	12,603	0.5
(3) 100単位以上 d+e+f+g 100 units or more	410,293,657	98.6	391,502,133	99.0	2,424,722	88.1	6,620,918	84.6	7,099,838	94.1	2,646,046	99.1
d 100単位 - 499単位 100 units - 499 units	12,173,435	2.9	9,195,546	2.3	406,448	14.8	1,599,867	20.4	899,396	11.9	72,178	2.7
e 500単位 - 999単位 500 units - 999 units	5,735,458	1.4	4,399,200	1.1	135,119	4.9	667,191	8.5	477,385	6.3	56,563	2.1
f 1000単位 - 4999単位 1000 units - 4999 units	11,964,643	2.9	8,678,876	2.2	304,831	11.1	1,338,852	17.1	1,490,867	19.8	151,217	5.7
g 5000単位以上 5000 units or more	380,420,121	91.4	369,228,511	93.4	1,578,324	57.3	3,015,008	38.5	4,232,190	56.1	2,366,088	88.6

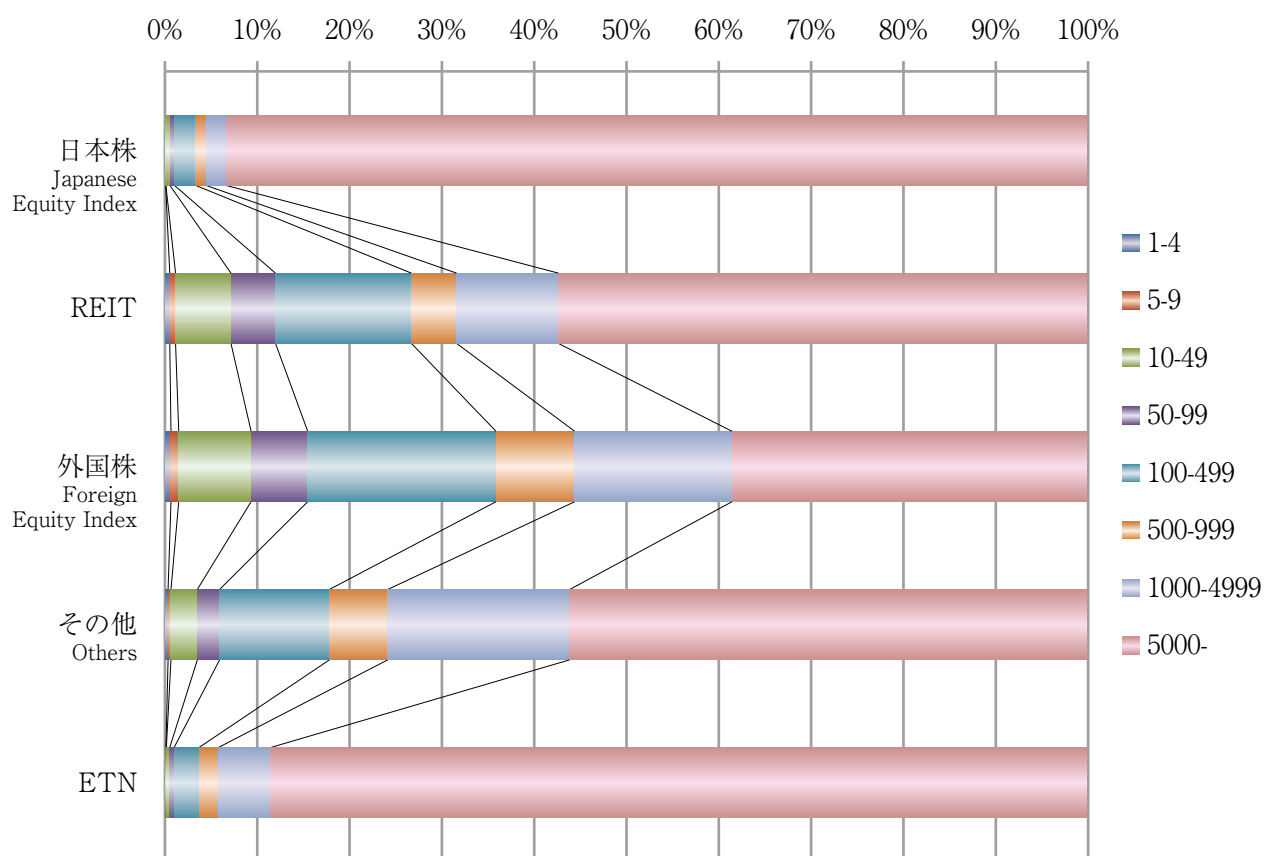


表11 投資部門別保有純資産総額
Net Assets Held by Investor Type

億円% ¥100 mil.%

	平成25年1月 (Jan. 2013)		平成25年7月 (Jul. 2013)		増減・増減率 Change・% Change		構成比増減 Change in % of Total	株式分布状況調査 (平成24年度) Shareownership Survey (2012)
銘柄数 Number of Listed Issues	112		128		16		—	3,540
合計 (1)+(5)+(6)+(7) Total	44,583	100.0	63,312	100.0	18,729	42.0	—	100.0
(1) 政府・地方公共団体 Govt. & Local Govt.	1	0.0	—	—	-1	-100.0	0.0	0.2
(5) 国内法人小計 (2)+(3)+(4) Domestic Corporation Subtotal	36,178	81.1	52,161	82.4	15,983	44.2	1.3	51.6
(2) 金融機関小計 a+b+c+d+e Financial Institutions	31,571	70.8	45,853	72.4	14,282	45.2	1.6	28.0
a 都銀・地銀等 City & Regional Banks	6,682	15.0	6,853	10.8	171	2.6	-4.2	3.8
b 信託銀行 Trust Banks	16,759	37.6	27,192	42.9	10,433	62.3	5.3	17.7
(a+bのうち投資信託分) (Out of a+b Investment Trusts)	24	0.1	27	0.0	3	12.5	-0.1	4.5
(a+bのうち年金信託分) (Out of a+b Annuity Trusts)	38	0.1	44	0.1	6	15.8	0.0	2.5
c 生命保険会社 Life Insurance Companies	2,694	6.0	5,735	9.1	3,041	112.9	3.1	4.1
d 損害保険会社 Non-life Insurance Companies	364	0.8	39	0.1	-325	-89.3	-0.7	1.6
e その他の金融機関 Other Financial Institutions	5,071	11.4	6,032	9.5	961	19.0	-1.9	0.8
(3) 証券会社 Securities Companies	3,260	7.3	4,952	7.8	1,692	51.9	0.5	2.0
(4) 事業法人等 Business Corporations	1,345	3.0	1,355	2.1	10	0.7	-0.9	21.7
(6) 外国法人等 Foreigners	3,760	8.4	5,787	9.1	2,027	53.9	0.7	28.0
(7) 個人・その他 Individuals	4,644	10.4	5,363	8.5	719	15.5	-1.9	20.2

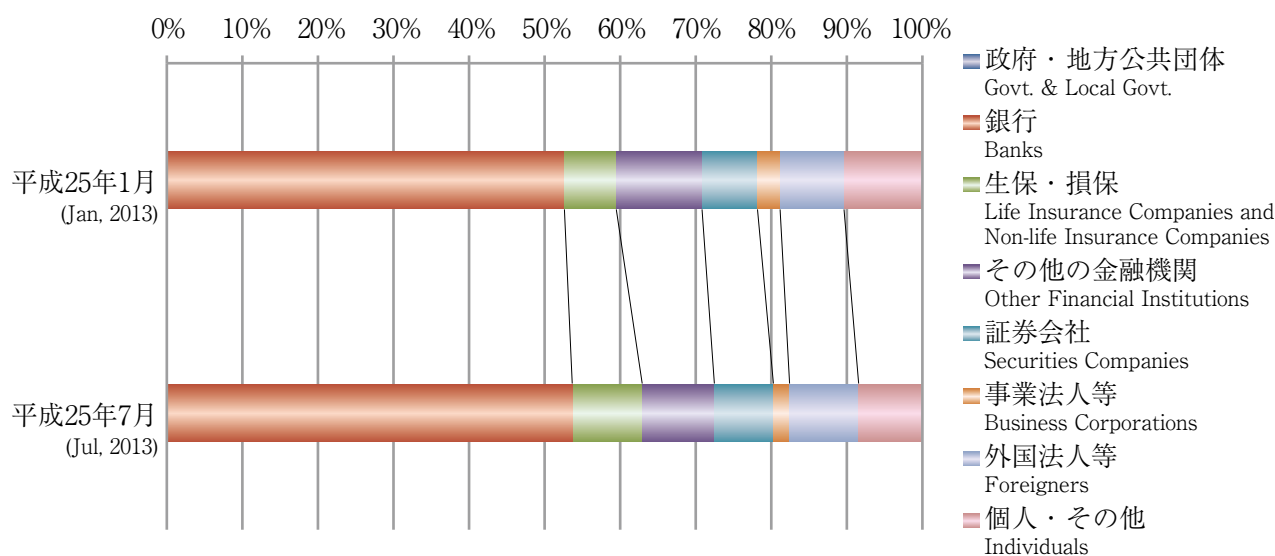


表12 地方別保有純資産総額
Net Assets Held by Region

億円% ¥100 mil.%

	平成25年1月 (Jan. 2013)		平成25年7月 (Jul. 2013)		増減・増減率 Change・% Change		構成比増減 Change in % of Total	株式分布状況調査 (平成24年度) Shareownership Survey (2012)
銘柄数 Number of Listed Issues	112		128		16		—	3,540
合 計 Total	44,583	100.0	63,312	100.0	18,729	42.0	—	100.0
北 海 道 Hokkaido	265	0.6	342	0.5	77	29.1	-0.1	0.4
東 北 Tohoku	500	1.1	505	0.8	5	1.0	-0.3	0.6
関 東 Kanto	33,106	74.3	46,300	73.1	13,194	39.9	-1.2	52.4
中 部 Chubu	2,729	6.1	2,479	3.9	-250	-9.2	-2.2	7.4
近 畿 Kinki	2,091	4.7	5,483	8.7	3,392	162.2	4.0	7.9
中 国 Chugoku	945	2.1	1,044	1.6	99	10.5	-0.5	1.2
四 国 Shikoku	521	1.2	596	0.9	75	14.4	-0.3	0.9
九 州 Kyushu	690	1.5	796	1.3	106	15.4	-0.2	1.3
海 外 Overseas	3,733	8.4	5,762	9.1	2,029	54.4	0.7	27.9

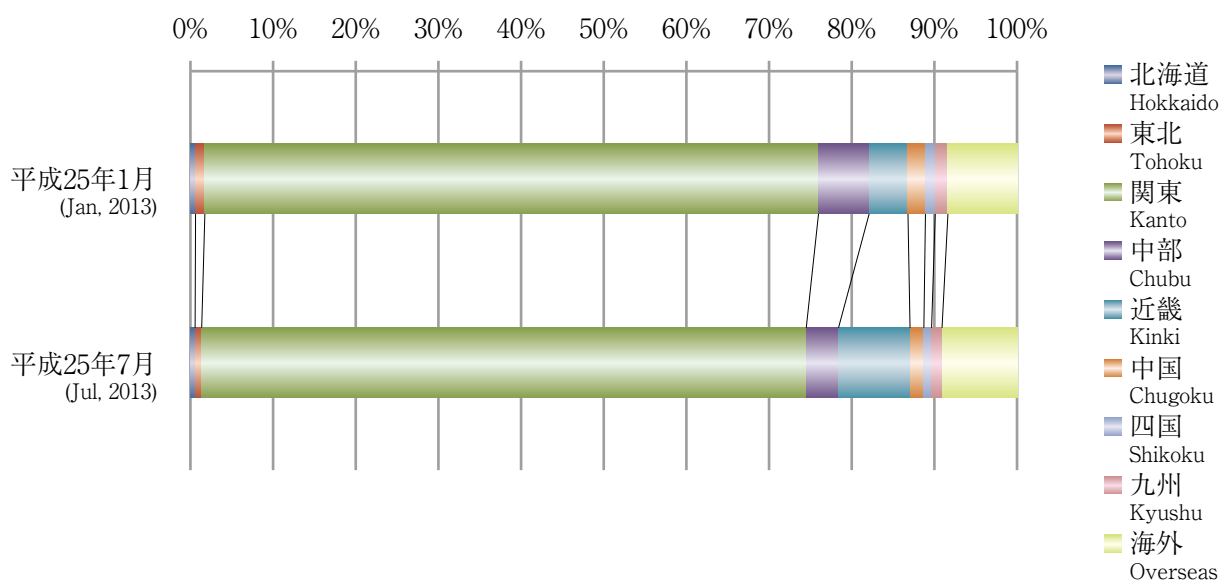
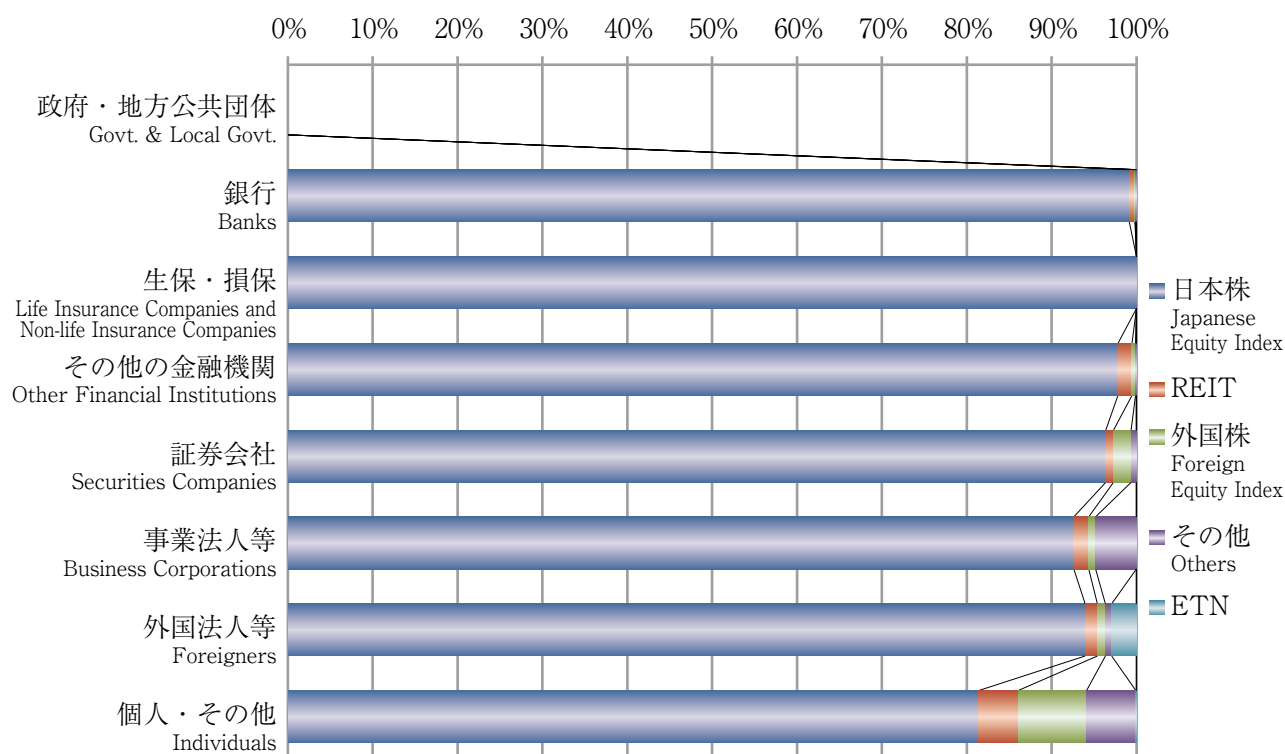


表13 連動対象のカテゴリー別にみた投資部門別保有純資産総額
Net Assets Held by Linked-Category on Investor Type Basis

億円, % ¥100 mil., %

カテゴリー category	合計 Total		日本株指数 Japanese Equity Index		REIT指数 REIT Index		外国株指数 Foreign Equity Index		その他 Others		ETN ETN	
銘柄数 Number of Listed Issues	128		74		3		21		11		19	
合計 (1)+(5)+(6)+(7) Total	63,312	100.0	61,261	100.0	734	100.0	637	100.0	505	100.0	173	100.0
(1) 政府・地方公共団体 Govt. & Local Govt.	-	-	-	-	-	-	-	-	-	-	-	-
(5) 国内法人小計 (2)+(3)+(4) Domestic Corporation Subtotal	52,161	82.4	51,458	84.0	403	55.0	149	23.5	149	29.6	0	0.2
(2) 金融機関小計 a+b+c+d+e Financial Institutions	45,853	72.4	45,432	74.2	334	45.5	34	5.4	52	10.4	0	0.0
a 都銀・地銀等 City & Regional Banks	6,853	10.8	6,622	10.8	229	31.3	0	0.1	-	-	-	-
b 信託銀行 Trust Banks	27,192	42.9	27,135	44.3	3	0.4	7	1.1	46	9.2	-	-
(a+bのうち投資信託分) (Out of a+b Investment Trusts)	27	0.0	19	0.0	1	0.2	6	1.1	-	-	-	-
(a+bのうち年金信託分) (Out of a+b Annuity Trusts)	44	0.1	43	0.1	0	0.1	-	-	-	-	-	-
c 生命保険会社 Life Insurance Companies	5,735	9.1	5,735	9.4	-	-	-	-	-	-	-	-
d 損害保険会社 Non-life Insurance Companies	39	0.1	39	0.1	-	-	0	0.0	-	-	-	-
e その他の金融機関 Other Financial Institutions	6,032	9.5	5,898	9.6	101	13.8	26	4.1	5	1.1	0	0.0
(3) 証券会社 Securities Companies	4,952	7.8	4,772	7.8	45	6.2	103	16.3	31	6.2	0	0.1
(4) 事業法人等 Business Corporations	1,355	2.1	1,253	2.0	24	3.3	11	1.8	65	13.0	0	0.0
(6) 外国法人等 Foreigners	5,787	9.1	5,436	8.9	83	11.3	54	8.6	44	8.8	168	97.4
(7) 個人・その他 Individuals	5,363	8.5	4,367	7.1	247	33.7	432	67.9	311	61.6	4	2.4



Outline of Survey

1. Scope of Survey

Domestic ETFs/ETNs listed on Japanese stock exchanges (Tokyo, Osaka, Nagoya, Fukuoka, Sapporo) as of the end of July 2013. However, these exclude issues for which beneficiary conditions cannot be grasped following listing due to the accounting period not arriving by July 31, 2013 following listing on an exchange.

2. Method of Data Collection

Data is gathered from the trustee company (trust bank) of each ETF contained in this survey which gathers the data for each day of the ETF's accounting period according to the beneficiary registry and that data are submitted directly via digital media, etc.

3. Outline of Survey Contents

(1) Survey Data Contents

This survey was conducted regarding beneficiaries of the relevant ETFs on the basis of the number of beneficiaries and number of beneficiary rights held, using the following beneficiary classifications based on the description in the beneficiary registry. Additionally, based on such data, holding net asset values/holding ratio by beneficiary type, etc. is calculated with total net asset value conversion applied to the overall number of beneficiaries and the number of beneficiary right units by beneficiary type. (Note)

The definition of each investor category presented in the survey is as follows:

- ① Government and local government: National, prefectural, and municipal governments
- ② Financial institutions
 - > City, regional banks, etc.: Regular Japanese banks licensed under the Banking Act and the Long-Term Credit Bank Act.
 - > Trust banks: Trust banks that are members of the Trust Companies Association of Japan
 - > Investment trusts: Trust assets of thrustors that are stipulated in the Investment Trust Act (Act on Investment Trusts and Investment Corporations), and whose nominees (trustees) are banks that conduct trust business
 - > Annuity trusts: Employees' pension funds that are stipulated in the Employees' Pension Insurance Act, and corporate pensions stipulated in the Defined-Benefit Corporate Pension Act or the Defined Contribution Pension Act, whose nominees (trustees) are banks that conduct trust business. (excluding public pensions under management)
 - > Life insurance companies: Life insurance companies that are stipulated in the Insurance Business Act
 - > Non-life insurance companies: Non-life insurance companies that are stipulated in the Insurance Business Act

> Other financial institutions: Financial institutions other than those stipulated above, including credit unions (shinkin banks), credit associations, agriculture-related financial institutions, mutual aid associations, and government-related financial institutions, etc.

- ③ Securities companies: Securities companies that are financial instruments business operators as stipulated in the Financial Instruments and Exchange Act.
- ④ Business corporations: All companies incorporated in Japan other than those described in ② and ③ above.
- ⑤ Foreign corporations: Corporations that were established based on foreign laws; foreign and municipal governments, and entities that are not incorporated; and individuals whose nationalities are other than Japanese, regardless of their place of residence.
- ⑥ Individuals and others: Individuals and non-incorporated groups with Japanese nationality, regardless of their place of residence.

(2) Time of Collection of Number of Beneficiaries and Units, and Method of Calculating Number of Beneficiaries

The number of beneficiaries and units used in this survey were calculated based on the beneficiary registry as of the last day of the accounting period which arrived before January 31, 2013 for the relevant ETFs. Additionally, because names of beneficiaries cannot be aggregated between ETFs, the number of beneficiaries is a simple total of each ETF's beneficiaries. As such, an investor who is a beneficiary for 10 ETF issues would be counted 10 times.

(3) Calculation Method for Amount Held and Holding Ratio by Investor Type

Calculations are performed as follows in 1. to 4. below.

- ① Unit-based holding ratios are calculated for the accounting period of each ETF based on data acquired from trustee companies.
- ② Net assets held by investor type for each ETF as of the end of July 2013 are calculated by multiplying the unit-based holding ratio calculated in 1. by the net asset amount as of the end of July 2013 calculated for each relevant ETF.
- ③ The net assets held by investor type for all ETFs will be calculated by totaling the net assets held by investor type of each ETF calculated in 2.
- ④ The holding ratio by investor type for the overall market is calculated by dividing the net assets held by investor type for all ETFs calculated in 3. by the net asset amount of all ETFs.

As written in the above 2., the amount of net assets used in totals of net assets held by investor type for individual ETFs is current as of the end of July 2013. However, because only data at the end of the accounting period of each ETF can be acquired regarding holding ratios, ETFs whose accounting periods end after July 2013 are deemed to have no change in holding ratio at the end of July 2013.

2. Turnover・Net Assets

(1) Turnover・Net Assets

2013年12月末現在

日本株（市場別） Japanese Equity (Market)										
コード Code	略称 Names	年間売買高（単位：口） Trading Volume (Units)			年間売買代金(単位:百万円) Turnover (Million Yen)			値付率 Rate of Active Trading Days	純資産総額 (単位:百万円) Net Assets (Million Yen)	発行済口数 (単位:百万口) Number of Shares Issued (Million Units)
		合計 Total	立会内 Auction Trade	立会外 ToSTNeT	合計 Total	立会内 Auction Trade	立会外 ToSTNeT			
1305	ETF・TPX	299,860,450	175,453,600	124,406,850	347,148	205,616	141,531	100.0	840,169	625.973
1306	TOPIX投	1,472,753,960	1,301,635,730	171,118,230	1,693,585	1,500,096	193,489	100.0	1,566,535	1179.248
1308	上場TPX	415,282,300	226,667,000	188,615,300	465,291	258,981	206,309	100.0	784,879	597.380
1314	上場新興	3,137,000	2,510,700	626,300	3,110	2,567	543	99.6	885	0.742
1319	300投信	6,761,000	5,633,000	1,128,000	1,357	1,151	206	95.5	12,296	46.535
1320	ETF・225	71,046,819	46,375,370	24,671,449	995,898	650,753	345,146	100.0	820,972	49.388
1321	225投信	224,054,564	193,565,468	30,489,096	3,137,349	2,700,496	436,853	100.0	1,844,558	111.017
1329	iS225	2,219,920	2,133,420	86,500	31,282	29,898	1,384	100.0	59,486	3.576
1330	上場225	136,002,000	124,771,700	11,230,300	1,929,818	1,769,594	160,224	100.0	965,740	57.878
1346	MXS225	24,084,240	14,983,512	9,100,728	332,836	209,303	123,533	100.0	438,985	26.287
1348	MXSトピクス	79,282,120	40,985,000	38,297,120	93,350	48,359	44,991	100.0	157,435	118.229
1544	上場MSジ	1,185,300	371,500	813,800	794	265	529	85.7	1,661	2.034
1551	JASDAQ20	13,452,280	13,422,520	29,760	73,403	73,332	71	100.0	3,407	0.554
1563	マザーズコア	8,932,015	8,876,964	55,051	20,104	20,014	90	100.0	1,714	0.573
1578	上場225M	319,147	319,147	0	368	368	0	100.0	6,184	4.656

日本株（業種別） Japanese Equity (Sector)										
コード Code	略称 Names	年間売買高（単位：口） Trading Volume (Units)			年間売買代金(単位:百万円) Turnover (Million Yen)			値付率 Rate of Active Trading Days	純資産総額 (単位:百万円) Net Assets (Million Yen)	発行済口数 (単位:百万口) Number of Shares Issued (Million Units)
		合計 Total	立会内 Auction Trade	立会外 ToSTNeT	合計 Total	立会内 Auction Trade	立会外 ToSTNeT			
1610	ETF・電機	39,750	39,750	0	55	55	0	44.1	1,665	1.024
1612	ETF・銀行	5,623,800	5,623,800	0	963	963	0	98.4	874	4.674
1613	電機	479,860	479,860	0	654	654	0	95.1	1,820	1.141
1615	銀行	566,114,300	541,950,900	24,163,400	99,852	95,522	4,329	100.0	31,209	156.253
1617	食品	15,711	15,711	0	292	292	0	89.4	2,262	0.105
1618	エネ資源	36,276	36,276	0	448	448	0	98.0	405	0.031
1619	建設資材	184,830	184,830	0	2,941	2,941	0	100.0	3,627	0.197
1620	素材化学	13,966	13,966	0	177	177	0	94.3	2,362	0.166
1621	医薬品	112,175	111,775	400	1,578	1,572	6	100.0	626	0.042
1622	自動車	341,940	329,339	12,601	6,541	6,283	258	100.0	6,388	0.299
1623	鉄鋼非鉄	26,016	26,016	0	495	495	0	96.3	3,708	0.161
1624	機械	26,833	26,833	0	632	632	0	95.1	3,031	0.111
1625	電機精密	61,731	61,731	0	668	668	0	96.7	1,089	0.087
1626	情通サ他	35,749	35,749	0	429	429	0	95.5	3,130	0.213
1627	電力ガス	259,326	259,326	0	1,854	1,854	0	100.0	468	0.065
1628	運輸物流	26,642	26,642	0	335	335	0	93.1	2,451	0.188
1629	商社卸売	34,701	34,101	600	771	757	14	98.4	3,528	0.148
1630	小売	48,180	48,180	0	641	641	0	88.2	2,514	0.168
1631	銀行・17	296,113	286,608	9,505	3,179	3,069	110	99.6	2,661	0.222
1632	金融	173,295	173,295	0	1,824	1,824	0	99.6	1,584	0.124
1633	不動産	331,934	331,283	651	11,136	11,116	20	100.0	6,155	0.159
1634	ダイワ食品	987	987	0	19	19	0	41.2	425	0.019
1635	ダイワエネ	6,018	6,018	0	75	75	0	55.5	256	0.019
1636	ダイワ建設	20,198	20,198	0	324	324	0	83.7	997	0.053
1637	ダイワ素材	966	966	0	13	13	0	41.6	555	0.037
1638	ダイワ医薬	10,695	10,695	0	153	153	0	79.6	420	0.028
1639	ダイワ自動	17,077	17,077	0	326	326	0	84.9	703	0.033
1640	ダイワ鉄鋼	2,853	2,853	0	55	55	0	58.0	261	0.011

日本株（業種別） Japanese Equity (Sector)										
コード Code	略称 Names	年間売買高（単位：口） Trading Volume (Units)			年間売買代金(単位:百万円) Turnover (Million Yen)			値付率 Rate of Active Trading Days	純資産総額 (単位:百万円) Net Assets (Million Yen)	発行済口数 (単位:百万口) Number of Shares Issued (Million Units)
		合計 Total	立会内 Auction Trade	立会外 ToSTNeT	合計 Total	立会内 Auction Trade	立会外 ToSTNeT			
1641	ダイワ機械	3,484	3,484	0	81	81	0	49.8	547	0.019
1642	ダイワ電機	2,783	2,783	0	29	29	0	52.2	1,186	0.095
1643	ダイワ情報	6,200	6,200	0	69	69	0	53.9	478	0.032
1644	ダイワ電力	22,921	22,921	0	161	161	0	86.5	234	0.032
1645	ダイワ運輸	2,561	2,561	0	33	33	0	49.0	319	0.024
1646	ダイワ商社	1,496	1,496	0	36	36	0	46.5	580	0.022
1647	ダイワ小売	2,221	2,221	0	29	29	0	37.6	327	0.022
1648	ダイワ銀行	10,583	10,583	0	122	122	0	73.5	694	0.054
1649	ダイワ金融	18,809	16,809	2,000	201	179	22	73.5	344	0.027
1650	ダイワ不動産	28,034	28,034	0	992	992	0	90.6	364	0.009

日本株（規模別） Japanese Equity (Size)										
コード Code	略称 Names	年間売買高（単位：口） Trading Volume (Units)			年間売買代金(単位:百万円) Turnover (Million Yen)			値付率 Rate of Active Trading Days	純資産総額 (単位:百万円) Net Assets (Million Yen)	発行済口数 (単位:百万口) Number of Shares Issued (Million Units)
		合計 Total	立会内 Auction Trade	立会外 ToSTNeT	合計 Total	立会内 Auction Trade	立会外 ToSTNeT			
1310	ETF・コア30	3,966,320	1,487,320	2,479,000	2,350	905	1,445	100.0	1,787	2.603
1311	TOPIX30	12,060,630	10,284,630	1,776,000	7,527	6,461	1,067	100.0	8,897	12.604
1312	RN小型コア投信	70,095	70,095	0	817	817	0	95.9	4,365	0.309
1316	上場大型	1,865,430	1,315,200	550,230	1,350	966	384	98.4	1,207	1.376
1317	上場中型	928,720	594,120	334,600	1,083	714	369	89.0	1,170	0.836
1318	上場小型	1,060,240	693,240	367,000	1,340	908	433	94.3	1,356	0.917
1344	MXSコア30	12,256,170	4,966,170	7,290,000	7,954	3,028	4,926	100.0	4,027	5.906

日本株（テーマ別） Japanese Equity (Theme)										
コード Code	略称 Names	年間売買高（単位：口） Trading Volume (Units)			年間売買代金(単位:百万円) Turnover (Million Yen)			値付率 Rate of Active Trading Days	純資産総額 (単位:百万円) Net Assets (Million Yen)	発行済口数 (単位:百万口) Number of Shares Issued (Million Units)
		合計 Total	立会内 Auction Trade	立会外 ToSTNeT	合計 Total	立会内 Auction Trade	立会外 ToSTNeT			
1347	上場グリチ	158,640	73,520	85,120	593	278	315	78.8	821	0.180
1553	MXS東海	461,230	454,230	7,000	765	755	10	99.6	3,392	1.649
1556	上場日中	387,080	284,080	103,000	413	303	110	75.9	337	0.257
1562	YMアジア日	13,300	13,300	0	19	19	0	21.2	1,752	1.051
1577	高配当70	778,020	699,877	78,143	12,949	11,695	1,254	100.0	18,323	0.978
1585	ダイワEX-F	25,530	25,530	0	26	26	0	27.9	10,862	1.000
1586	上場TOPIX(除く金融)	11,777	11,777	0	12	12	0	87.7	1,510	0.140
1670	MXS三菱	29,054,800	13,040,500	16,014,300	3,588	1,605	1,983	98.4	3,321	23.813
1698	上場配当	2,589,800	2,211,680	378,120	3,149	2,706	443	100.0	4,868	3.574

レバレッジ型・インバース型 Leveraged / Inverse										
コード Code	略称 Names	年間売買高（単位：口） Trading Volume (Units)			年間売買代金(単位:百万円) Turnover (Million Yen)			値付率 Rate of Active Trading Days	純資産総額 (単位:百万円) Net Assets (Million Yen)	発行済口数 (単位:百万口) Number of Shares Issued (Million Units)
		合計 Total	立会内 Auction Trade	立会外 ToSTNeT	合計 Total	立会内 Auction Trade	立会外 ToSTNeT			
1568	TPX2倍	87,172,120	86,308,270	863,850	1,602,100	1,585,987	16,113	100.0	28,276	1.240
1569	TPX-1倍	18,316,890	18,105,710	211,180	123,106	121,446	1,659	100.0	4,724	0.836
1570	日経レバETF	1,223,110,652	860,395,589	362,715,063	10,816,847	7,920,809	2,896,039	100.0	140,610	11.940
1571	日経インバETF	99,643,983	94,474,253	5,169,730	368,305	350,962	17,342	100.0	14,080	4.620
1572	H株ブル	358,690	334,690	24,000	4,152	3,838	315	100.0	504	0.040
1573	H株ベア	252,910	231,910	21,000	3,178	2,956	221	87.3	588	0.050
1579	日経2倍	56,433,700	56,257,050	176,650	568,354	566,643	1,711	100.0	10,005	0.810
1580	日経-1倍	8,210,260	8,178,710	31,550	77,121	76,806	315	100.0	6,165	0.752

エンハンスト型 Enhanced										
コード Code	略称 Names	年間売買高（単位：口） Trading Volume (Units)			年間売買代金(単位:百万円) Turnover (Million Yen)			値付率 Rate of Active Trading Days	純資産総額 (単位:百万円) Net Assets (Million Yen)	発行済口数 (単位:百万口) Number of Shares Issued (Million Units)
		合計 Total	立会内 Auction Trade	立会外 ToSTNeT	合計 Total	立会内 Auction Trade	立会外 ToSTNeT			
1565	日経カバコ	34,834	34,834	0	449	449	0	97.1	1,385	0.100
1567	MXSリスク5	27,980	27,980	0	31	31	0	48.6	341	0.300
1574	MXSリスク10	570,920	34,970	535,950	702	47	656	60.8	972	0.700

REIT REIT										
コード Code	略称 Names	年間売買高（単位：口） Trading Volume (Units)			年間売買代金(単位:百万円) Turnover (Million Yen)			値付率 Rate of Active Trading Days	純資産総額 (単位:百万円) Net Assets (Million Yen)	発行済口数 (単位:百万口) Number of Shares Issued (Million Units)
		合計 Total	立会内 Auction Trade	立会外 ToSTNeT	合計 Total	立会内 Auction Trade	立会外 ToSTNeT			
1343	REIT－ETF	71,973,730	65,897,350	6,076,380	109,521	100,605	8,915	100.0	78,925	49.121
1345	上場Jリート	39,125,300	33,003,500	6,121,800	56,757	48,163	8,595	100.0	50,636	33.038
1555	上場Aリート	2,900,450	2,828,240	72,210	3,837	3,730	107	100.0	2,737	2.200
1590	iS米国不動産株	59,456	59,456	0	387	387	0	100.0	371,264	0.079

外国株 Foreign Equity										
コード Code	略称 Names	年間売買高（単位：口） Trading Volume (Units)			年間売買代金(単位:百万円) Turnover (Million Yen)			値付率 Rate of Active Trading Days	純資産総額 (単位:百万円) Net Assets (Million Yen)	発行済口数 (単位:百万口) Number of Shares Issued (Million Units)
		合計 Total	立会内 Auction Trade	立会外 ToSTNeT	合計 Total	立会内 Auction Trade	立会外 ToSTNeT			
1309	上証50連動投信	777,547	743,247	34,300	15,605	14,880	725	100.0	10,054	0.497
1313	KODEX200	126,780	125,040	1,740	288	284	4	99.6	500,966	194.700
1322	上場パンタ	1,171,280	1,155,190	16,090	3,605	3,554	51	100.0	4,120	1.122
1323	南ア40連動投信	1,074,400	1,074,400	0	376	376	0	97.6	2,039	4.814
1324	RTS連動型投信	10,825,000	10,445,000	380,000	1,409	1,358	51	100.0	1,935	12.859
1325	ボベスパ	34,362,000	30,587,000	3,775,000	7,223	6,390	833	100.0	6,255	31.290
1545	NASDAQ投信	658,800	658,800	0	2,028	2,028	0	100.0	1,517	0.400
1546	NYダウ30投信	785,688	783,888	1,800	11,080	11,054	26	100.0	2,364	0.140
1547	上場米国	2,771,280	2,531,280	240,000	4,749	4,364	385	100.0	2,106	1.000
1548	上場チャイナ	1,736,970	1,576,970	160,000	1,743	1,583	160	100.0	443	0.400
1549	上場インド	1,398,550	1,398,550	0	1,361	1,361	0	100.0	656	0.600
1550	MXS外株	3,936,220	3,928,680	7,540	5,804	5,792	12	100.0	3,610	2.100
1552	VIX短期先物	43,788,795	43,447,686	341,109	73,270	72,770	499	100.0	9,852	8.860
1554	上場MS世	2,219,270	2,009,270	210,000	2,904	2,637	267	100.0	1,882	1.200
1557	SPDR500	759,441	321,441	438,000	12,103	5,261	6,842	100.0	18,357,794	952.482
1559	タイSET50	1,258,362	1,176,362	82,000	3,706	3,468	238	100.0	1,071	0.400
1560	ブルサKLCI	416,828	389,428	27,400	2,181	2,041	140	100.0	716	0.120
1561	国際VX中先	8,944	8,944	0	433	433	0	99.6	282	0.007
1575	中国A300	1,484,630	1,484,630	0	589	589	0	88.0	155,493	2.200
1576	南方A50	1,365,450	1,365,450	0	523	523	0	84.7	363,360	1.400
1581	iS先進国株	579,634	514,634	65,000	2,912	2,605	307	100.0	49,208	0.230
1582	iSエマージング株	410,793	410,793	0	2,002	2,002	0	100.0	353,953	0.220
1583	iSフロンティア株	296,676	296,676	0	948	948	0	100.0	45,701	0.169
1584	KODEXサムスン株	26,640	26,640	0	15	15	0	57.0	80,571	134.500
1587	iS米国超大型株	36,803	36,803	0	305	305	0	100.0	445,318	0.062
1588	iS米国小型株	65,031	65,031	0	742	742	0	100.0	2,835,085	0.065
1589	iS米国高配当株	71,132	71,132	0	513	513	0	100.0	335,173	0.071
1678	インドNIF	31,276,100	31,276,100	0	2,944	2,944	0	100.0	2,956	29.500
1679	NYダウ	473,600	370,000	103,600	6,530	5,158	1,373	100.0	1,819	0.109
1680	上場MSコク	5,741,950	5,574,970	166,980	8,771	8,534	236	100.0	7,777	4.300
1681	上場MSエマ	6,764,870	6,325,820	439,050	8,336	7,782	554	100.0	7,768	5.900

外国債券

Foreign Bond

コード Code	略称 Names	年間売買高（単位：口） Trading Volume (Units)			年間売買代金(単位:百万円) Turnover (Million Yen)			値付率 Rate of Active Trading Days	純資産総額 (単位:百万円) Net Assets (Million Yen)	発行済口数 (単位:百万口) Number of Shares Issued (Million Units)
		合計 Total	立会内 Auction Trade	立会外 ToSTNeT	合計 Total	立会内 Auction Trade	立会外 ToSTNeT			
1349	アジア債券	59,217	55,713	3,504	734	690	44	100.0	325,806	25.607
1566	上場EM債	45,101	38,701	6,400	2,840	2,435	406	100.0	1,996	0.032
1677	上場外債	121,760	107,240	14,520	6,108	5,391	717	100.0	5,149	0.096

商品・商品指数

Commodity

コード Code	略称 Names	年間売買高（単位：口） Trading Volume (Units)			年間売買代金(単位:百万円) Turnover (Million Yen)			値付率 Rate of Active Trading Days	純資産総額 (単位:百万円) Net Assets (Million Yen)	発行済口数 (単位:百万口) Number of Shares Issued (Million Units)
		合計 Total	立会内 Auction Trade	立会外 ToSTNeT	合計 Total	立会内 Auction Trade	立会外 ToSTNeT			
1671	WTI原油ETF	1,470,510	1,459,510	11,000	8,642	8,571	70	100.0	2,236	0.334
1682	プラチナ先物ETF	1,550,300	1,550,300	0	416	416	0	94.3	514	1.940
1683	金先物連動ETF	67,400	67,380	20	289	289	0	84.5	133	0.034
1326	SPDRゴール	3,969,505	3,965,383	4,122	53,178	53,118	60	100.0	3,322,425	264.800
1327	EASY商品	95,229	95,229	0	418	418	0	100.0	16,687	3.545
1328	金連動投信	3,861,480	3,818,440	43,040	15,408	15,230	178	100.0	7,714	2.076
1540	純金信託	22,038,978	19,661,781	2,377,197	97,042	86,348	10,694	100.0	28,141	6.979
1541	純プラ信	1,325,705	1,309,795	15,910	6,114	6,046	67	100.0	2,166	0.473
1542	純銀信託	1,801,614	1,801,614	0	13,202	13,202	0	100.0	4,432	0.678
1543	純バラ信	77,392	77,392	0	1,696	1,696	0	100.0	600	0.026
1699	野村原油	1,176,730	1,176,730	0	1,146	1,146	0	100.0	696	0.640

商品（外国投資法人債券）

Commodity (ETC)

コード Code	略称 Names	年間売買高（単位：口） Trading Volume (Units)			年間売買代金(単位:百万円) Turnover (Million Yen)			値付率 Rate of Active Trading Days	純資産総額 (単位:百万円) Net Assets (Million Yen)	発行済口数 (単位:百万口) Number of Shares Issued (Million Units)
		合計 Total	立会内 Auction Trade	立会外 ToSTNeT	合計 Total	立会内 Auction Trade	立会外 ToSTNeT			
1672	金ETF	6,119	6,119	0	82	82	0	52.7	442,917	35.250
1673	銀ETF	64,240	64,240	0	141	141	0	61.2	69,802	34.214
1674	プラチナETF	10,594	10,594	0	145	145	0	35.5	47,751	3.364
1675	パラジETF	23,002	23,002	0	155	155	0	30.6	33,692	4.578
1676	キンゾクETF	236	236	0	3	3	0	4.5	12,777	1.233
1684	商品ETF	5,810	5,810	0	8	8	0	13.5	38,465	28.438
1685	エネルギーETF	18,130	18,130	0	16	16	0	17.6	18,136	18.169
1686	メタルETF	2,150	2,150	0	3	3	0	13.9	18,361	13.319
1687	アグリETF	114,020	113,340	680	82	81	1	56.3	42,962	59.822
1688	穀物ETF	208,910	207,910	1,000	127	127	1	48.2	2,703	4.672
1689	ガスETF	52,584,900	52,584,900	0	641	641	0	98.8	14,053	1084.727
1690	原油ETF	20,310	20,310	0	48	48	0	31.0	18,335	7.434
1691	ガソリンETF	3,190	3,190	0	17	17	0	2.9	1,398	0.264
1692	アルミETF	8,200	8,200	0	3	3	0	5.7	4,230	11.982
1693	銅ETF	23,010	23,010	0	77	77	0	44.1	25,852	7.167
1694	ニッケルETF	1,350	1,350	0	2	2	0	9.4	4,831	3.072
1695	小麦ETF	964,500	964,500	0	151	151	0	77.1	6,435	46.118
1696	コーンETF	222,000	222,000	0	44	44	0	55.5	2,120	12.706
1697	大豆ETF	18,140	18,140	0	40	40	0	38.0	1,755	0.686

ETN										
ETN										
コード Code	略称 Names	年間売買高 (単位:口) Trading Volume (Units)			年間売買代金(単位:百万円) Turnover (Million Yen)			値付率 Rate of Active Trading Days	純資産総額 (単位:百万円) Net Assets (Million Yen)	発行済口数 (単位:百万口) Number of Shares Issued (Million Units)
		合計 Total	立会内 Auction Trade	立会外 ToSTNeT	合計 Total	立会内 Auction Trade	立会外 ToSTNeT			
2021	i商品	2,640	2,640	0	14	14	0	42.9	573	0.100
2022	i貴金属	2,259	2,259	0	14	14	0	41.6	556	0.100
2023	iメタル	1,380	1,380	0	6	6	0	32.7	433	0.100
2024	iエネルギー	1,996	1,996	0	10	10	0	48.2	593	0.100
2025	iアグリ	4,087	4,087	0	24	24	0	53.1	557	0.100
2026	i穀物	31,638	28,638	3,000	199	179	19	88.6	580	0.100
2027	iソフト	6,239	6,239	0	30	30	0	52.2	483	0.100
2028	i畜産物	1,937	1,937	0	9	9	0	50.6	509	0.100
2029	iVIX中	33,711	33,711	0	81	81	0	95.5	251	0.125
2030	iVIX短	4,872,571	4,872,571	0	1,302	1,302	0	100.0	334	1.875
2031	ハンセンブル	281,972	186,936	95,036	2,572	1,715	857	85.2	3,232	0.300
2032	ハンセンベア	185,989	142,856	43,133	2,014	1,549	465	83.8	1,598	0.150
2033	KOSPIブル	132,868	125,268	7,600	1,287	1,222	64	73.1	3,392	0.300
2034	KOSPIベア	121,543	109,043	12,500	1,311	1,167	143	98.1	1,745	0.150
2035	日経VI	28,292	14,520	13,772	3,924	1,925	1,999	99.4	1,396	0.012
2036	金ブル	416,638	249,393	167,245	3,818	2,272	1,546	99.4	1,278	0.150
2037	金ベア	46,511	39,099	7,412	477	401	76	94.8	617	0.060
2038	原油ブル	44,966	32,064	12,902	522	368	153	80.9	871	0.060
2039	原油ベア	49,729	46,429	3,300	446	415	31	89.6	472	0.060
2040	NYダウブルETN	40,570	29,369	11,201	442	317	125	100.0	680	0.060
2041	NYダウベアETN	130,192	69,041	61,151	1,273	669	603	100.0	1,024	0.110
2042	マザーズETN	187,443	102,051	85,392	1,924	1,040	883	100.0	644	0.060

(2) Ranking (Turnover・Net Assets)

売買代金ランキング

Ranking (Turnover)

順位 Rank	コード Code	銘柄名 Names	管理会社 Issuers	年間売買代金（百万円） Turnover (Million Yen)			前年比増加率 annual rate of increase
				合計 Total	立会内 Auction Trades	立会外 ToST NeT	
1	1570	日経レバETF	野村AM	10,816,847	7,920,809	2,896,039	1517%
2	1321	225投信	野村AM	3,137,349	2,700,496	436,853	357%
3	1330	上場225	日興	1,929,818	1,769,594	160,224	290%
4	1306	TOPIX投	野村AM	1,693,585	1,500,096	193,489	296%
5	1568	TPX2倍	シンプレクス	1,602,100	1,585,987	16,113	1937%
6	1320	ETF・225	大和	995,898	650,753	345,146	258%
7	1579	日経2倍	シンプレクス	568,354	566,643	1,711	2013年5月9日上場
8	1308	上場TPX	日興	465,291	258,981	206,309	241%
9	1571	日経インバETF	野村AM	368,305	350,962	17,342	480%
10	1305	ETF・TPX	大和	347,148	205,616	141,531	360%
11	1346	MXS225	三菱UFJ投	332,836	209,303	123,533	126%
12	1569	TPX－1倍	シンプレクス	123,106	121,446	1,659	423%
13	1343	REIT－ETF	野村AM	109,521	100,605	8,915	727%
14	1615	銀行	野村AM	99,852	95,522	4,329	393%
15	1540	純金信託	三菱UFJ信	97,042	86,348	10,694	142%
16	1348	MXSトピクス	三菱UFJ投	93,350	48,359	44,991	195%
17	1580	日経－1倍	シンプレクス	77,121	76,806	315	2013年5月9日上場
18	1551	JASDAQ20	シンプレクス	73,403	73,332	71	14114%
19	1552	VIX短期先物	国際	73,270	72,770	499	80%
20	1345	上場Jリート	日興	56,757	48,163	8,595	596%

純資産総額ランキング（外国ETFを除く）

Ranking (Net Assets)

2013年12月末現在

順位 Rank	コード Code	銘柄名 Names	管理会社 Issuers	純資産総額 (単位：百万円) Net Assets (Million Yen)	前年比増加率 annual rate of increase
1	1321	225投信	野村AM	1,844,558	182%
2	1306	TOPIX投	野村AM	1,566,535	162%
3	1330	上場225	日興	965,740	194%
4	1305	ETF・TPX	大和	840,169	241%
5	1320	ETF・225	大和	820,972	198%
6	1308	上場TPX	日興	784,879	186%
7	1346	MXS225	三菱UFJ投	438,985	193%
8	1348	MXSトピクス	三菱UFJ投	157,435	313%
9	1570	日経レバETF	野村AM	140,610	870%
10	1343	REIT－ETF	野村AM	78,925	414%
11	1329	iS225	ブラックロックJP	59,486	680%
12	1345	上場Jリート	日興	50,636	328%
13	1615	銀行	野村AM	31,209	111%
14	1568	TPX2倍	シンプレクス	28,276	742%
15	1540	純金信託	三菱UFJ信	28,141	109%
16	1577	高配当70	野村AM	18,323	2013年3月7日上場
17	1571	日経インバETF	野村AM	14,080	137%
18	1319	300投信	野村AM	12,296	152%
19	1585	ダイワEX－F	大和	10,862	2013年9月20日上場
20	1309	上証50連動投信	野村AM	10,054	72%

3. Listing Criteria for ETPs

Listing Criteria for ETFs

As of the end of December 2013

Eligibility	- Creation & redemption in stocks - Creation in cash; redemption in stocks - Creation & redemption in cash
Product-related (1) Matters to be included in contract documents (2) Authorized Participants (3) Indicators (4) Composition of assets Correlation between Net Asset Value and Indicators.	· Fund management policy is to achieve investment performance tracking a specific indicator. · There is no specific period of validity for the investment trust. · Investors are unable to cancel their creation during the investment trust agreement period. (Only applicable for money trust-type ETFs. With exceptions.) · The calculation period is at least 1 month. · The solicitation for acquisition of beneficiary certificates shall be conducted by a public offering. · The beneficiary certificates shall be listed on a financial instruments exchange. · If the beneficiary certificates are delisted, procedures to terminate the investment trust shall commence on the delisting date. · If there is a partial cancellation of the investment trust agreement by request from a beneficiary, the management company shall provide an instruction to the trustee to perform the equivalent conversion to investment trust assets, etc. (Only applicable for in-kind-type ETFs.) · At least 2 Authorized Participants of qualified institutional investors *1 · Indicators shall be calculated in an objective and fair manner etc. · Fund assets must represent at least 95% of the stocks by market capitalization of the underlying index (if a simple average index, then 100% coverage) etc.
Other (1) Management company (2) False statements or "unfair" representations *2 (3) Investor protection and public interest	· A member of the Investment Trusts Association, Japan. · An unqualified opinion or qualified fair opinion with exception items is expressed in the audit reports for the two most recent years. · An unqualified opinion, etc. is expressed in the audit reports in the most recent year. · Must be deemed eligible in terms of investor protection and public interest (including check on fund manager's operations, compliance and financial stability)

Note: 1. According to the Financial Instruments and Exchange Act, securities companies, banks and other organizations qualified and experienced in securities investment, may manage funds.

2. Only applies to those funds with past securities reports.

Listing Criteria for ETNs

As of the end of December 2013

Issuer Criteria	· The issuer is an entity equivalent to a financial instruments business operator or registered financial institution in continuous business operations for at least three years. · There are no false statements in the issuer's financial statements for the two most recent years. · An unqualified opinion or qualified fair opinion with exception items is expressed in the audit reports for the two most recent years. · An unqualified opinion, etc. is expressed in the audit reports in the most recent year.
Financial Soundness Criteria	· The net assets (net worth) of the issuer shall be at least JPY 500 billion. · Internationally active bank must satisfy the criteria - The common equity Tier 1 ratio shall be above 4.5% , the Tier 1 Capital Ratio shall be above 6% , and the Total Capital Ratio shall be above 8% . (Registered financial institution other than an internationally active bank or insurance company: The Capital Adequacy Ratio shall be above 8% . - Insurance company: The solvency margin ratio shall be above 400% . - Financial instruments business operator: The net capital regulation ratio shall be above 200% . · An entity other than Registered financial institution or Financial instruments business operator: The level of financial soundness is above the level deemed appropriate by TSE. · A credit rating equivalent to "A -" or above shall be given by a credit rating agency, etc. · The sum of 1) the total outstanding amount of ETNs (including the guaranteed redemption amount of ETNs issued by other companies) and 2) the scheduled issuance amount at the time of initial listing shall not exceed 25% of the net assets.
Merchantability Criteria	· The redemption/purchase value shall be calculated based on a specific indicator. · The ETNs or ETF-JDRs shall be redeemed or purchased within five business days. - upon request from a party holding at least a certain amount/value of the securities. · The period until the final redemption date, etc shall exceed five years at the time of initial listing.
Other Criteria	· The listing examination criteria shall be equivalent to those for foreign ETFs or foreign ETF trust beneficiary certificates (JDRs whose entrusted securities are foreign ETFs).

AP list (as of Dec. 16, 2013)

Issuer	Code	Fund Name	ABN AMRO Clearing Tokyo Co., Ltd.	SMBC Nikko Securities Inc.	OKASAN SECURITIES CO., LTD.	Credit Agricole Securities Asia B.V.	Credit Suisse Securities (Japan) Limited	Cosmo Securities Co. Ltd.	Goldman Sachs Securities Japan Co., Ltd.	JPMorgan Securities Japan Co., Ltd.	Citigroup Global Markets Japan Inc.	Societe Generale Securities (North Pacific) Ltd.	Daiwa Securities Co. Ltd.	Deutsche Securities Inc.	Nomura Securities Co. Ltd.	Barclays Capital Japan Limited	BNP Paribas Securities (Japan) Limited	Mizuho Securities Co. Ltd.	Mitsubishi UFJ Morgan Stanley Securities Co. Ltd.	Merrill Lynch Japan Securities Co. Ltd.	Morgan Stanley MIFG Securities Co. Ltd.	UHS Securities Japan Ltd.	Others
KOKUSAI AM	1552	KOKUSAI S&P500 VIX SHORT-TERM FUTURES INDEX ETF	✓						✓										✓				
KOKUSAI AM	1561	KOKUSAI S&P500 VIX MID-TERM FUTURES INDEX ETF	✓						✓										✓				
Simplex AM	1551	JASDAQ-TOPIX ETF		✓									✓			✓							
Simplex AM	1563	TSE Mothers Core ETF		✓							✓												
Simplex AM	1565	Nikkei 225 Covered Call ETF		✓					✓														
Simplex AM	1568	TOPIX Bull 2x ETF	✓	✓					✓		✓	✓					✓	✓			✓		
Simplex AM	1569	TOPIX Bear -1x ETF	✓	✓					✓		✓	✓					✓	✓			✓		
Simplex AM	1572	China H-share Bull 2x ETF	✓	✓							✓												
Simplex AM	1573	China H-share Bear -1x ETF	✓	✓							✓												
Simplex AM	1579	Nikkei 225 Bull 2x ETF	✓	✓							✓	✓									✓		
Simplex AM	1580	Nikkei 225 Bear -1x ETF	✓	✓							✓	✓									✓		
Simplex AM	1671	Simplex WTI ETF		✓							✓												
Simplex AM	1679	Simple-X NY Dow Jones Index ETF		✓							✓												
Daiwa AM	1305	Daiwa ETF-TOPIX	✓	✓			✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Daiwa AM	1310	Daiwa ETF-TOPIX Core30	✓				✓		✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Daiwa AM	1320	Daiwa ETF - Nikkei 225	✓	✓		✓			✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	Tokai Tokyo Securities Co. Ltd.
Daiwa AM	1585	Daiwa ETF-TOPIX Ex Financials					✓						✓										
Daiwa AM	1610	Daiwa ETF-TOPIX Electric Appliances	✓				✓		✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Daiwa AM	1612	Daiwa ETF-TOPIX Banks	✓				✓		✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Daiwa AM	1634	Daiwa ETF-TOPIX17 FOODS	✓						✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Daiwa AM	1635	Daiwa ETF-TOPIX17 ENERGY RESOURCES	✓						✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Daiwa AM	1636	Daiwa ETF-TOPIX17 CONSTRUCTION & MATERIALS	✓						✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Daiwa AM	1637	Daiwa ETF-TOPIX17 RAW MATERIALS & CHEMICALS	✓						✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Daiwa AM	1638	Daiwa ETF-TOPIX17 PHARMACEUTICAL	✓						✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Daiwa AM	1639	Daiwa ETF-TOPIX17 AUTOMOBILES & TRANSPORTATION EQUIPMENT	✓						✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Daiwa AM	1640	Daiwa ETF-TOPIX17 STEEL & NONFERROUS METALS	✓						✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Daiwa AM	1641	Daiwa ETF-TOPIX17 MACHINERY	✓						✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	

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Daiwa AM	1642	Daiwa ETF・TOPIX17 ELECTRIC APPLIANCES PRECISION INSTRUMENTS	✓						✓		✓		✓	✓		✓		✓					
Daiwa AM	1643	Daiwa ETF・TOPIX17 SERVICES/OTHERS	✓						✓		✓		✓	✓		✓		✓					
Daiwa AM	1644	Daiwa ETF・TOPIX17 ELECTRIC POWER & GAS	✓						✓		✓		✓	✓		✓		✓					
Daiwa AM	1645	Daiwa ETF・TOPIX17 TRANSPORTATION & LOGISTICS	✓						✓		✓		✓	✓		✓		✓					
Daiwa AM	1646	Daiwa ETF・TOPIX17 COMMERCIAL & WHOLESALE TRADE	✓						✓		✓		✓	✓		✓		✓					
Daiwa AM	1647	Daiwa ETF・TOPIX17 RETAIL TRADE	✓						✓		✓		✓	✓		✓		✓					
Daiwa AM	1648	Daiwa ETF・TOPIX17 BANKS	✓						✓		✓		✓	✓		✓		✓					
Daiwa AM	1649	Daiwa ETF・TOPIX17 FINANCIALS (EX BANKS)	✓						✓		✓		✓	✓		✓		✓					
Daiwa AM	1650	Daiwa ETF・TOPIX17 REAL ESTATE	✓						✓		✓		✓	✓		✓		✓					
Nikko AM	1308	Nikko Exchange Traded Index Fund TOPIX	✓	✓		✓	✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Nikko AM	1314	Listed Index Fund S&P Japan Emerging Equity 100	✓	✓			✓		✓	✓	✓		✓	✓	✓	✓		✓		✓	✓		
Nikko AM	1316	Listed Index Fund TOPIX100 Japan Large Cap Equity	✓	✓			✓		✓	✓	✓		✓	✓	✓	✓		✓		✓	✓		
Nikko AM	1317	Listed Index Fund TOPIX Mid400 Japan Mid Cap Equity	✓	✓			✓		✓	✓	✓		✓	✓	✓	✓		✓		✓	✓		
Nikko AM	1318	Listed Index Fund TOPIX Small Japan Small Cap Equity	✓	✓			✓		✓	✓	✓		✓	✓	✓	✓		✓		✓	✓		
Nikko AM	1322	Listed Index Fund China A Share (Panda) CSI 300	✓	✓			✓		✓	✓	✓		✓	✓	✓	✓		✓				Tokai Tokyo Securities Co. Ltd.	
Nikko AM	1330	Nikko Exchange Traded Index Fund 225	✓	✓	✓	✓	✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Nikko AM	1345	Listed Index Fund J-REIT (Tokyo Stock Exchange REIT Index) Bi-Monthly Dividend Payment Type	✓	✓					✓		✓			✓	✓	✓		✓		✓	✓		
Nikko AM	1347	Listed Index Fund FTSE Japan Green Chip 35	✓	✓			✓		✓		✓		✓		✓	✓		✓		✓	✓		
Nikko AM	1544	Listed Index Fund Japan Equity (MSCI Japan)	✓	✓					✓		✓				✓	✓	✓			✓	✓		
Nikko AM	1547	Listed Index Fund US Equity (S&P500)	✓	✓							✓				✓	✓							
Nikko AM	1548	Listed Index Fund China H-share (Hang Seng China Enterprises)	✓	✓							✓				✓	✓				✓			
Nikko AM	1549	Listed Index Fund S&P CNX Nifty Futures (India Equity)	✓	✓							✓				✓	✓				✓			
Nikko AM	1554	Listed Index Fund World Equity (MSCI ACWI) ex Japan	✓	✓							✓				✓	✓							
Nikko AM	1555	Listed Index Fund Australian REIT (S&P/ASX200 A-REIT)	✓	✓							✓				✓	✓							
Nikko AM	1556	Listed Index Fund Nikkei China Related 50	✓	✓					✓		✓				✓	✓	✓	✓		✓			

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Nikko AM	1566	Barclays Capital Emerging Markets Local Currency Government - 10% Country Capped Index	✓	✓							✓				✓								
Nikko AM	1578	Listed Index Fund Nikkei 225 (Mn)		✓							✓			✓		✓							
Nikko AM	1586	Listed Index Fund TOPIX Ex-Financials		✓							✓				✓								
Nikko AM	1677	Listed Index Fund International Bond (Citigroup WGBI) Monthly Dividend Payment Type	✓	✓					✓		✓				✓					✓			
Nikko AM	1680	Listed Index Fund International Developed Countries Equity (MSCI Kokosai)	✓	✓					✓		✓				✓					✓			
Nikko AM	1681	Listed Index Fund International Emerging Countries Equity (MSCI Emerging Market)	✓	✓					✓		✓				✓					✓			
Nikko AM	1698	Listed Index Fund Japan High Dividend (TSE Dividend Focus 100)	✓	✓					✓		✓				✓					✓			
Nomura AM	1306	TOPIX Exchange Traded Fund	✓	✓			✓		✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Nomura AM	1309	SE50 Index Linked Exchange Traded Fund									✓				✓								
Nomura AM	1311	TOPIX Core 30 Exchange Traded Fund	✓	✓			✓		✓		✓	✓		✓	✓	✓		✓	✓	✓	✓		
Nomura AM	1312	Russell/Nomura Small Cap Core Index Linked Exchange Traded Fund	✓	✓			✓	✓	✓		✓				✓	✓					✓		
Nomura AM	1319	Nikkei 300 Stock Index Listed Fund		✓	✓				✓			✓	✓									✓	ALZAWA SECURITIES CO. LTD. KAGAWA SECURITIES CO., LTD. AIZAWA Securities Inc. Jinfo Securities Co., Ltd. TAKAGI Co., Ltd. THE SECURITIES CO., LTD. THE FACHIBANA SECURITIES CO., LTD. NAGANO SECURITIES CO., LTD. MATSU SECURITIES CO., LTD. Marubishi Securities Co., LTD. YAHATA SECURITIES CO., LTD.
Nomura AM	1321	Nikkei 225 Exchange Traded Fund	✓	✓	✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	Tokai Tokyo Securities Co., Ltd.
Nomura AM	1323	NEXT FUNDS FTSE/ JSE Africa Top40 Linked Exchange Traded Fund									✓				✓								
Nomura AM	1324	NEXT FUNDS Russia RTS Linked Exchange Traded Fund									✓				✓								
Nomura AM	1325	NEXT FUNDS Ibovespa Linked Exchange Traded Fund									✓				✓								Barclay Securities Co., Ltd.
Nomura AM	1328	Gold-Price-Linked Exchange Traded Fund									✓				✓								
Nomura AM	1343	NEXT FUNDS REIT INDEX ETF	✓	✓					✓	✓	✓			✓	✓	✓	✓	✓	✓	✓	✓	✓	
Nomura AM	1545	NEXT FUNDS NASDAQ- 100/Exchange Traded Fund	✓						✓		✓				✓								The Nomura Trust and Banking Co., Ltd.
Nomura AM	1546	NEXT FUNDS Dow Jones Industrial Average Exchange Traded Fund	✓						✓		✓				✓								The Nomura Trust and Banking Co., Ltd.

Issuer	Code	Fund Name	ABN AMRO Clearing Tokyo Co. Ltd.	SMBC Nikko Securities Inc.	OKASAY SECURITIES CO. LTD.	Credit Agricole Securities Asia B.V.	Credit Suisse Securities (Japan) Limited	Cosmo Securities Co. Ltd.	Goldman Sachs Japan Co. Ltd.	JPMorgan Securities Japan Co. Ltd.	Citigroup Global Markets Japan Inc.	Societe Generale Securities (North Pacific) Ltd.	Daiwa Securities Co. Ltd.	Deutsche Securities Inc.	Nomura Securities Co. Ltd.	Bardays Capital Japan Limited	BNP Paribas Securities (Japan) Limited	Mizuho Securities Co. Ltd.	Mitsubishi UFJ Morgan Stanley Securities Co. Ltd.	Merrill Lynch Japan Securities Co. Ltd.	Morgan Stanley MFG Securities Co. Ltd.	UBS Securities Japan Ltd	Others
Nomura AM	1559	NEXT FUNDS Thial Stock SET50 Exchange Traded Fund	✓						✓		✓				✓								The Nomura Trust and Banking Co. Ltd.
Nomura AM	1560	NEXT FUNDS FTSE Bursa Malaysia KLCI Exchange Traded Fund	✓						✓		✓				✓								The Nomura Trust and Banking Co. Ltd.
Nomura AM	1570	NEXT FUNDS Nikkei 225 Leveraged Index Exchange Traded Fund	✓	✓					✓		✓		✓	✓	✓	✓	✓	✓	✓	✓	✓		The Nomura Trust and Banking Co. Ltd.
Nomura AM	1571	NEXT FUNDS Nikkei 225 Inverse Index Exchange Traded Fund	✓	✓					✓		✓		✓	✓	✓	✓	✓	✓	✓	✓	✓		The Nomura Trust and Banking Co. Ltd.
Nomura AM	1577	NEXT FUNDS Nomura Japan Equity High Dividend 70 Exchange Traded Fund													✓	✓		✓					
Nomura AM	1613	TOPIX Electric Appliances Exchange Traded Fund	✓	✓			✓		✓	✓	✓			✓	✓	✓		✓		✓	✓		
Nomura AM	1615	TOPIX Banks Exchange Traded Fund	✓	✓			✓		✓	✓	✓			✓	✓	✓	✓	✓		✓	✓		
Nomura AM	1617	NEXT FUNDS TOPIX-17 FOODS ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1618	NEXT FUNDS TOPIX-17 ENERGY RESOURCES ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1619	TOPIX-17 CONSTRUCTION & MATERIALS ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1620	NEXT FUNDS TOPIX-17 RAW MATERIALS & CHEMICALS ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1621	NEXT FUNDS TOPIX-17 PHARMACEUTICAL ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1622	NEXT FUNDS TOPIX-17 AUTOMOBILES TRANSPORTATION EQUIPMENT ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1623	NEXT FUNDS TOPIX-17 STEEL & NONFERROUS ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1624	NEXT FUNDS TOPIX-17 MACHINERY ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1625	NEXT FUNDS TOPIX-17 ELECTRIC & PRECISION INSTRUMENTS ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1626	NEXT FUNDS TOPIX-17 IT & SERVICES OTHERS ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1627	NEXT FUNDS TOPIX-17 ELECTRIC POWER & GAS ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1628	NEXT FUNDS TOPIX-17 TRANSPORTATION & LOGISTICS ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1629	NEXT FUNDS TOPIX-17 COMMERCIAL & WHOLESALE TRADE ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	

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Nomura AM	1630	NEXT FUNDS TOPIX-17 RETAIL TRADE ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1631	NEXT FUNDS TOPIX-17 BANKS ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1632	NEXT FUNDS TOPIX-17 FINANCIALS (EX BANKS) ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1633	NEXT FUNDS TOPIX-17 REAL ESTATE ETF	✓	✓			✓	✓	✓	✓	✓		✓		✓	✓	✓	✓			✓	✓	
Nomura AM	1678	NEXT FUNDS CNX Nifty Linked Exchange Traded Fund									✓				✓								
Nomura AM	1682	NEXT FUNDS Nikkei-TOCOM Platinum Index Linked Exchange Traded Fund	✓						✓		✓				✓								
Nomura AM	1699	NOMURA Crude Oil Long Index Linked Exchange Traded Fund	✓						✓		✓				✓								The Nomura Trust and Banking Co., Ltd.
BlackRock Japan Mizuho AM	1329	i Shares Nikkei 225 ETF	✓				✓		✓	✓	✓		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Sumitomo Mitsui AM	1683	Mizuho Gold ETF	✓						✓		✓				✓								
Mitsubishi UFJ TB	1562	YOUREMIRAI TOPIX Asia Focus ETF		✓							✓												
Mitsubishi UFJ TB	1540	Japan Physical Gold ETF											✓		✓				✓				
Mitsubishi UFJ TB	1541	Japan Physical Platinum ETF											✓		✓				✓				
Mitsubishi UFJ TB	1542	Japan Physical Silver ETF											✓		✓				✓				
Mitsubishi UFJ TB	1543	Japan Physical Palladium ETF											✓		✓				✓				
Mitsubishi UFJ AM	1344	MAXIS TOPIX Core30 ETF	✓				✓		✓	✓	✓	✓	✓	✓	✓		✓	✓	✓	✓	✓	✓	
Mitsubishi UFJ AM	1346	MAXIS NIKKEI225 ETF	✓	✓			✓		✓	✓	✓	✓	✓	✓	✓		✓	✓	✓	✓	✓	✓	Tokai Tokyo Securities Co., Ltd.
Mitsubishi UFJ AM	1348	MAXIS TOPIX ETF	✓	✓			✓		✓	✓	✓	✓	✓	✓	✓		✓	✓	✓	✓	✓	✓	
Mitsubishi UFJ AM	1550	MAXIS Global Equity (MSCI Kokusai) ETF	✓				✓		✓						✓				✓		✓		
Mitsubishi UFJ AM	1567	TOPIX Risk Control Index (Volatility 5%)																	✓		✓		
Mitsubishi UFJ AM	1574	MAXIS TOPIX Risk Control (10%) ETF																	✓		✓		
Mitsubishi UFJ AM	1670	MAXIS S&P Mitsubishi Group ETF	✓				✓		✓	✓	✓	✓	✓	✓	✓		✓	✓	✓	✓	✓	✓	