Market Information Client System
Manual

Ver. 3.0

Tokyo Stock Exchange, Inc

Table of Contents

1 About this Manual

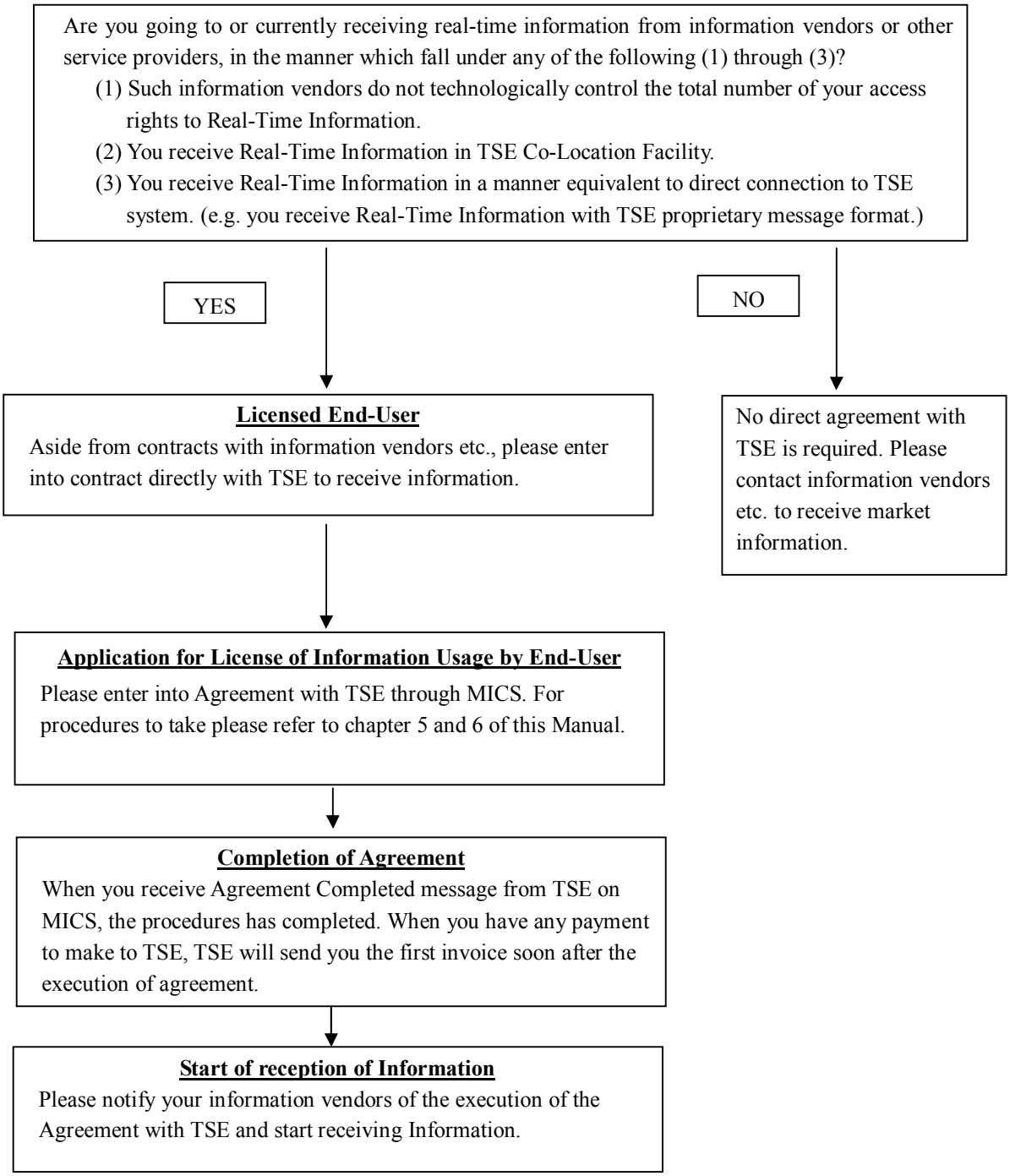
This Manual introduces the manner of usage of Market Information Client System (hereinafter referred to as "MICS"), which is the system for entering into contract with Tokyo Stock Exchange, Inc. (hereinafter referred to as "TSE") to acquire Market Information. Depending on the manner of Information Usage or Acquisition of Information, necessary procedures are different. Please follow the respective chapters in order.

Not receiving Information from TSE directly and Conducting Internal Usage of Information only.	Direct Acquisition of Information from TSE or Conducting External Distribution of Information
2.1 End-User License Agreement Please check if you need to enter into contract with TSE and see the flow of procedures to take to enter into End-User License Agreement with TSE.	**2.2 Information Provision and License Agreement (IPLA)** Please see the Flow of procedures to take for entering into IPLA with TSE
3 Access to MICS Please grasp the basic settings to access to MICS.	**3 Access to MICS** Please grasp the basic settings to access to MICS.
4 Basic Operation Please confirm basic operations of MICS.	**4 Basic Operation** Please confirm basic operations of MICS.
5 Procedures for End-User License Agreement **6 Instruction on Filling out Registration Forms (For End-User)** Please see the detail process of End-User License Agreement.	**7 Procedures for Information Provision and License Agreement** **8 Instruction on Filling out Registration Forms (For IPLA)** Please see the detail process of IPLA.
9 Management of User IDs **10 Other Functions** Please confirm other functions such as issuing sub-IDs or sending inquiry to TSE to optimize MICS.	**9 Management of User IDs** **10 Other Functions** Please confirm other functions such as issuing sub-IDs or sending inquiry to TSE to optimize MICS.

2 Flow of Procedures

2.1 End-User License Agreement

In case of indirectly receiving Information from information vendors etc. and using the Information only internally, usually such end-users do not have to enter into Agreement with TSE. However those who fall under any of the following are required to enter into contract with TSE.



2.2 Information Provision and License Agreement

Enter into IPLA in case of receiving Information directly from TSE or in case of conducting External Distribution of Information whether you are connecting directly to TSE or not.

Would you like to receive information directly from TSE?
(Please also refer to Policies Regarding Usage of Market Information"5. Acquisition of Information".)

YES

Directly from TSE

In order to connect directly to TSE system, you have to install equipments in Japan to receive TSE data. In addition, your operators have to understand Japanese language since all the correspondences about system operations are conducted in Japanese language.

For inquiries regarding network connection, please contact JPX ServiceDesk: servicedesk@jpx.co.jp

NO

Indirectly from Information Vendors

Please consult with staff of information vendor for necessary procedures. Please refer to "2.1 End-User License Agreement" of this Manual when you do not externally distribute the information.

Do you use indices published by TSE such as TOPIX?

YES

If you use indices published by TSE, you might need to execute TOPIX License Agreement in addition to Information Provision and License Agreement. Please tell TSE staff about your intention to use such indices

NO

Procedures through Market Information Client System

Please enter into contract with TSE through Market Information Client System. Please refer to chapter 7 and 8 for the procedures to take.

Start of your service

Through MICS, TSE will send you "Agreement Completion" message. You can provide your service from the date specified in the agreement. TSE will send you the first invoice soon after the execution of the agreement.

Send back one copy of Agreement

Based on the information provided through MICS, TSE will send 2 hardcopies of Information Provision and License Agreement with TSE stamp on it. Please sign both of them and return 1 copy. (The other copy of Information Provision and License Agreement is for your retention.)

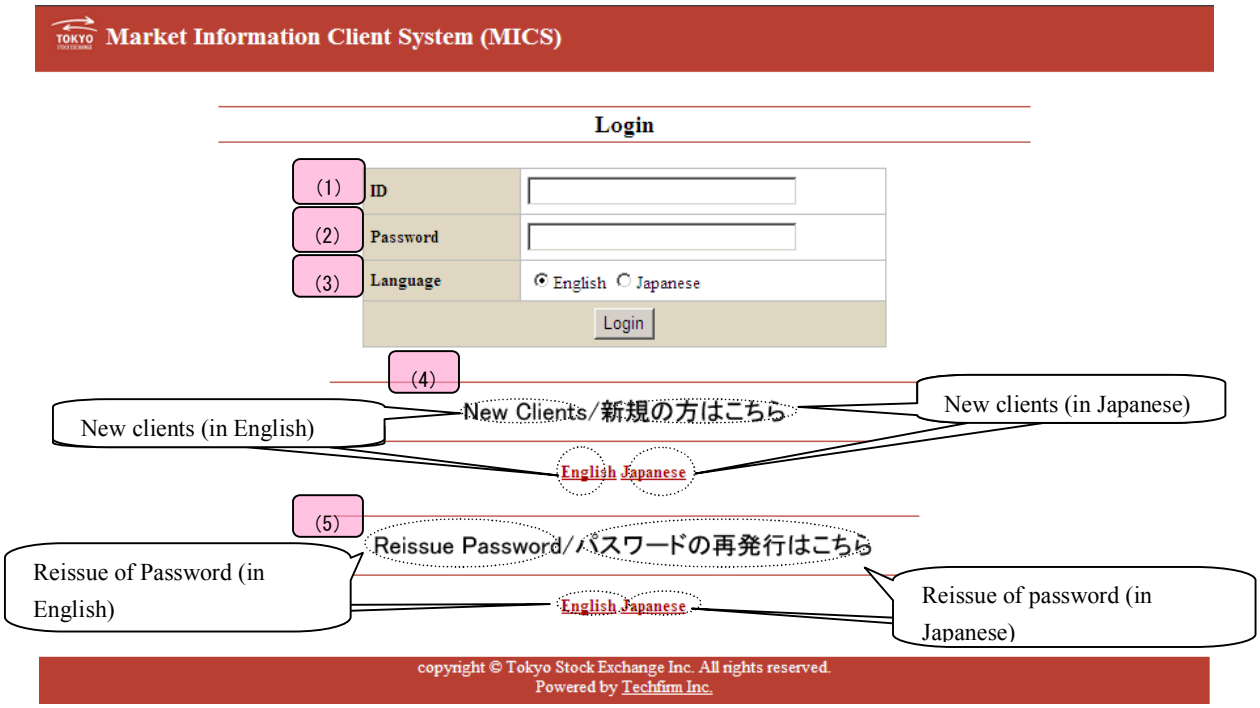
Other procedure

- ☐ **Receiving "Receipt of Deposit"**
  After confirming your payment of the deposit, TSE will issue Receipt of Deposit. Please keep the Receipt in safe place since it is required when you claim refund of your deposit.
- ☐ **Notice of URL(Optional)**
  If you wish to be listed as an authorized information vendor of TSE data in TSE website (please see below URL), please inform your URL to be linked.
  Japanese: http://www.tse.or.jp/about/link/vendor.html
  English: http://www.tse.or.jp/english/about/link/vendor.html

3 Access to MICS

3.1 Log-in

The Log-in screen is consisted of following contents.



(1) ID

Please enter the User ID issued at the "New Clients" form or the User ID distributed from the internal authority of MICS in your company. Please refer for "9.1 Types of IDs" for details.

(2) Password

When you log-in temporary password issued by MICS, you need to change your password. (Please refer to "3.3 Change Password")

(3) Language

Please select the language for display. The language you selected at the new contract process (please refer to 5.1 and 7.1) will become the language for the agreement.

(4) New Clients

You can register new company to issue Master ID. To view this form in English, please select "New Clients" or English, to view in Japanese, select Japanese.

- One Master ID will be issued per Licensee. However, if Licensee of IPLA also needs to enter into End-User License Agreement, please register from "New Clients" again and obtain another Master ID for End-User License.
- Please refer to "9.3 Create New ID" to create several IDs other than Master ID.

(5) Reissue Password

In case you lose your password, please enter your ID and E-mail address registered for that ID information in the screen shown, and reissue the password. To view this form in English, please select "Reissue Password" or English, to view in Japanese, select Japanese. If the ID and E-mail address is correct, temporary password will be reissued and sent to the referred E-mail address.

- In case you lose your master ID or E-mail address registered for the master ID, please contact TSE. (Please refer to "Types of IDs" for types of IDs)
- Please contact your internal administrator if you lose your ID other than your master ID or E-mail address registered for it. (TSE will not be able to give the information.)

3.2 Log-out

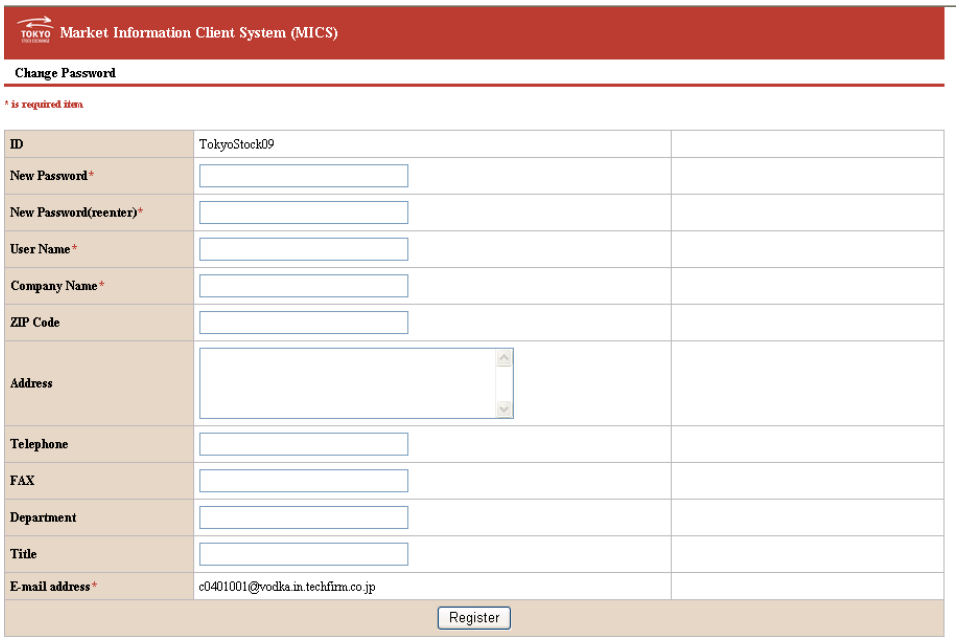
To log-out from MICS, please click "Logout" at the right of the header of screen. The screen will show you that you have logged out.

Please be aware that after you logged in to MICS, the system will automatically log-out if you do not use it for one hour.

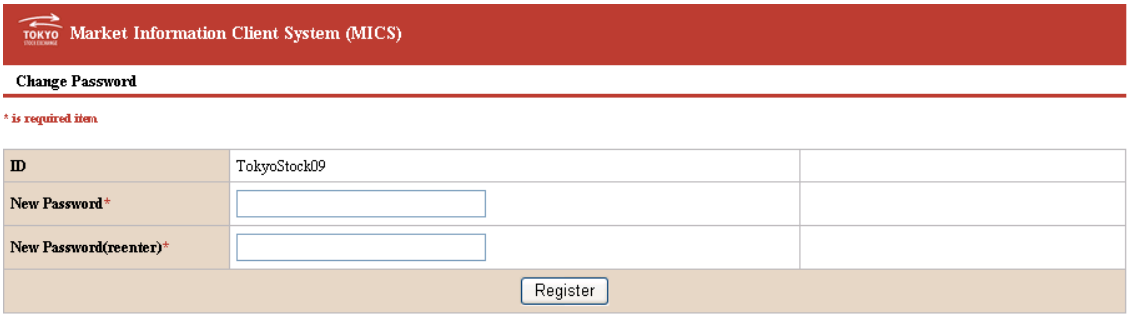
3.3 Change Password

You need to change your password when you log-in with temporary password. The password you register in this form will be the password from then on. When registering for the first time, you need to enter your company name and other information also.

[When logging in for the first time with temporary password]



【When logging in with the temporary password re-issued】



The information you need to enter is as below.

(1) ID
The current log-in ID will be shown. No change is possible.

(2) New Password [required]
Enter 8 to 20 characters or numbers.

Please note that the system understands capital letters and small letters as different characters.

(3) New Password (reenter) [required]
For confirmation, reenter the password entered in (2).

(4) User Name [required]
Enter within 200 characters.

(5) Company Name [required]
Enter within 128 characters.

(6) Zip Code
Enter within 20 characters.

(7) Address
Enter within 512 characters.

(8) Telephone
Enter within 32 characters.

(9) FAX
Enter within 32 characters.

(10) Department
Enter within 60 characters.

(11) Title
Enter within 60 characters.

(12) E-mail address
Enter within 250 characters.

4 Basic Operation

4.1 Basic contents of screen

Each page of MICS is consisted of following contents.

(1)Header

a. Link to Top Menu: Click to go to Top Menu.

b. Current Log-in User: Current Log-in ID will be shown. When logged in by Master ID it will be shown as "Master ID". (For the types of ID please see "9.1 Types of IDs")

c. Link to Log-out: Click to log-out.

d. Link to Help: Download link to help file.

(2)Variable space

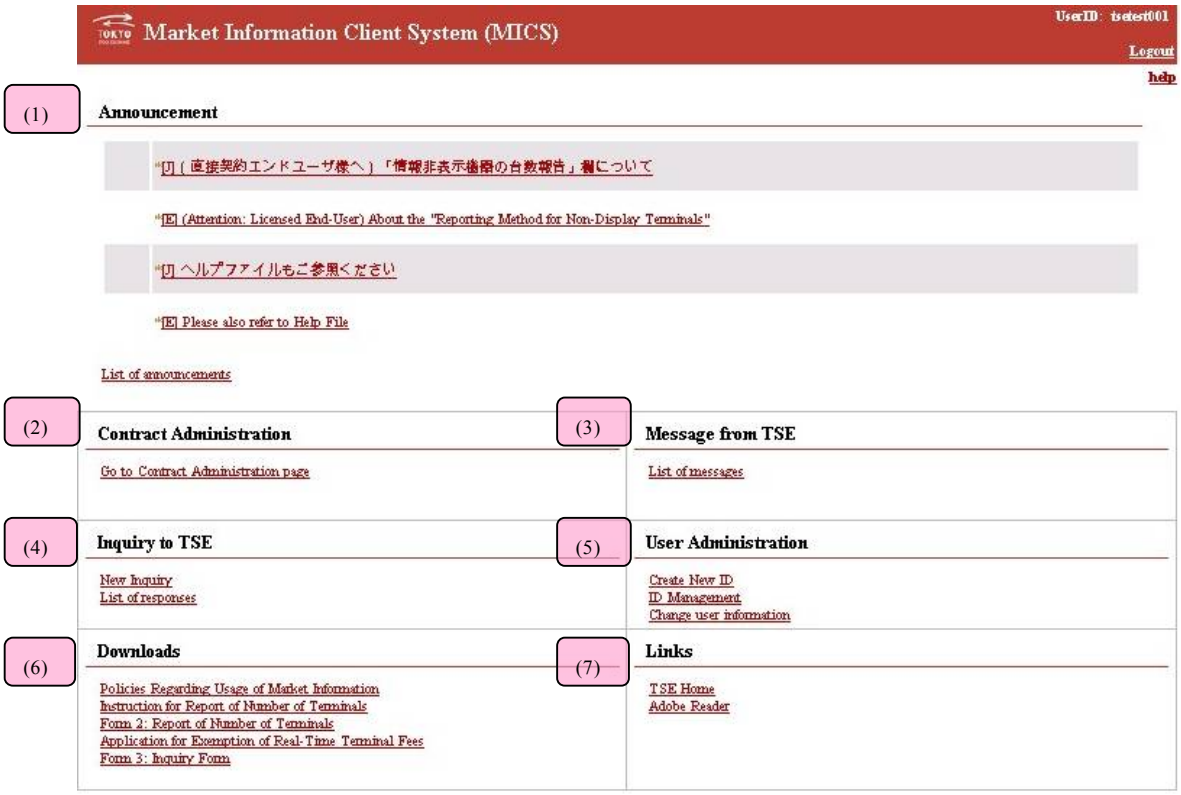
Content of each page will be shown in this space.

(3)Footer

Footer information will be shown.

4.2 Top Menu

The first page shown after logging into MICS.



(1)Announcement (see 10.1)	Announcement from TSE will be shown. List of announcements will show the past announcement not shown on the Top Menu.	
(2)Contract Administration (see 4.3)	Click to administer contracts with TSE.(e.g. Registration of Forms)	
(3)Message from TSE (see 10.2)	Click to view messages from TSE. When new messages arrive, announcements will be shown on the Top Menu.	
(4)Inquiry to TSE (see 10.3)	New Inquiry	Click to send new inquiries to TSE
	List of responses	Click to view the list of responses from TSE to the inquiries you made.
(5)User Administration (see 8)	Create new ID (Administrator only)	Click to register user IDs. (Only available to ID with Administrator authority)
	ID Management (Administrator only)	Click to change the information of User IDs registered.
	Change User information	Click to change the information of user currently Logged in.
(6)Downloads	Downloads of files of relevant Forms.	
(7)Links	Links to related websites.	

4.3 Contract Administration

This page is for administration of each contract. Screen is consisted of following contents.

[Licensee Information]

Basic information of Licensee will be shown.

Licensee information

Application Number	(Temporary Application Number:1309314930340)
Company Name	tousyou

Each indicates the content referred below.

Application number	Number will be given to each application. Please notify this number to TSE staff when making an inquiry. When you have an application under process, "Application Processing Number" will be shown, and when you have a working Forms before submission, "Temporary Application Number" will be shown.
Company Name	Company name registered in Master ID information.
Application Status	Only shown when you have an application under process.

[Type of Agreement]

(1) prior to completion of Agreement

When applying for new contracts, please select the type of Agreement. Select either one of the type of Agreement and click on "Select."

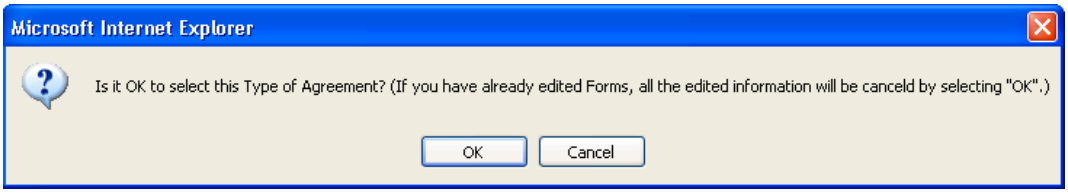
Type of Agreement

○ End-User License Agreement (applicable if you receive TSE data from information vendors etc. in specific manner (datafeed etc.) and use the data internally.)
○ Information Provision and License Agreement (applicable if you directly connect to TSE system, or externally distribute TSE data)

Select

- When receiving Information by datafeed or in other certain manner from information vendors etc. and using the Information internally, select "End-User License Agreement."
- When receiving information directly from TSE or conducting External Distribution "Information Provision and License Agreement."

Click "Select." Confirmation message will appear. Please click "OK" to proceed. The Registration Forms corresponding to the type of Agreement selected will be shown.



- Please be aware that by clicking "OK" all the saved Forms will be cleared.
- You cannot change the type of Agreement after the completion of Agreement.

(2) After the completion of Agreement

Type of Agreement

End-User License Agreement (applicable if you receive TSE data from information vendors etc. in specific manner (datafeed etc.) and use the data internally.)

[Registration Forms]

Registration Forms for each type of Agreement selected will appear.

(1) For End-User License

Application for License of Information Usage by End-User

* View Button : Display the latest infomation approved by TSE.
* Modify Button :
  - Before submitting application : Display the infomation currently working.
  - During processing application by TSE : Display the infomation under processing.

Name of document	Temporary saved documents status	
Terms		Confirm
Application Form		View Modify
Service Facilitators (Registration Form (Service Facilitator) is here.)		File select 参照... Update

Effective date of the latest infomation approved by TSE	Year: -- Month: -- Day: --
Effective date of this application	Year: -- Month: -- Day: --

"Confirm": Click to confirm the "Terms and Conditions about Information Provision and Licensing for End-User."

"View": Click to see the most recent Registration Form TSE approved.
"Modify": Click to modify Forms. When Form is under process by TSE, the content of the applied Form will be shown but you cannot modify them. (As for how each Form should be filled, please refer to "6 Instruction on filling out Registration Forms (For licensed End-User)")

"Temporary saved documents status": "Modified" appears when modified documents are saved. "Added" appears when new Forms are saved.

"Effective date of the latest information approved by TSE": The effective date of the latest Form TSE approved is shown.
"Effective date of this application": Enter the effective date of this application when you submit application.

To register and modify Registration Form for Service Facilitators, please refer to "＊How to upload and modify files" (page 29).

- Read-Only ID user can only view the Forms approved by TSE.
- Each Form will only be available after selecting "Agree" for the Term and Condition about Information Provision and Licensing for End-Users.

(2) For Information Provision and License Agreement

Forms

Registration Forms

* View Button : Display the latest infomation approved by TSE.
* Modify Button :
  - Before submitting application : Display the infomation currently working.
  - During processing application by TSE : Display the infomation under processing.

Name of document	Changed status from the TSE approved forms	
Agreement		Confirm
Basic Information		View Modify
Usage Manners		View Modify
Details about Direct Usage		View Modify
Details about Indirect Usage		View Modify
Secondary-Distributor		View Modify
Other Usage Manners		View Modify
Supplemental Registration Forms for upload# (Template file is here.)		TSE approved file SupForm.xls To be deleted
Attachments		File select 参照... Update
List of Licensed End-Users		View

For "Information Usage for Off-Exchange Transaction", "Index Information(External Distribution and Usage for Off-Exchange Transaction)", "Affiliated Company", "Service facilitator", "Registered Financial Instruments Intermediary Service Provider", "TOPIX Information Distributor", "Registered Newspaper".

Effective date of the latest infomation approved by TSE	Year: 2009 Month: 07 Day: 06
Effective date of this application	Year: -- Month: -- Day: --

"Confirmation": Click to view the Template of Information Provision and License Agreement and etc.

"View": Click to view the latest Form approved by TSE.
"Modification": Click to modify each Form. When Form is under process by TSE, the content of the applied Form will be shown but you cannot modify them. (As for how each Form should be filled, please refer to "8 Instruction on filling out Registration Forms (For IPL Agreement)")

"Temporary saved documents status": "Modified" appears when modified documents are saved. "Added" appears when new Forms are saved.

List of End-Users: When distributing Information to Licensed End-Users, the list of the End-Users approved by TSE who receive Information from you will be shown.

"Effective date of the latest information approved by TSE": The effective date of the latest Form TSE approved is shown.
"Effective date of this application": Enter the effective date of this application when you submit application.

To register and modify Supplemental Registration Forms for upload or Attachment files, please refer to "＊How to upload and modify files"(page 29).

- Read-Only ID user can only view the Forms approved by TSE.
- Forms are available only after selecting "Agree" for the Information Provision and License Agreement.
- Forms below Basic Information are available only after filling out Basic Information.

＊How to upload and modify files

(1) Preparation of Files for application

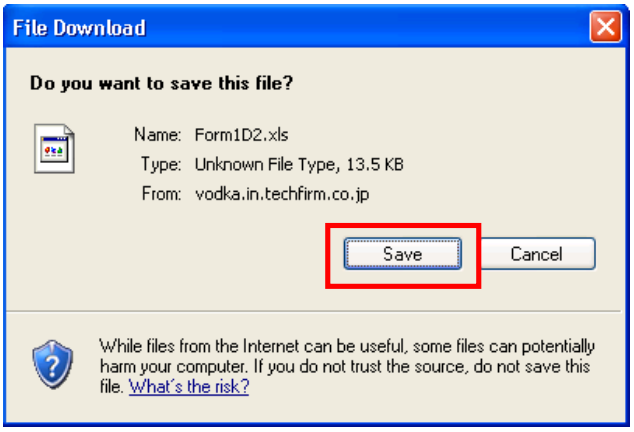
a. Registration Form (Service Facilitators) and Supplemental Registration Forms for upload

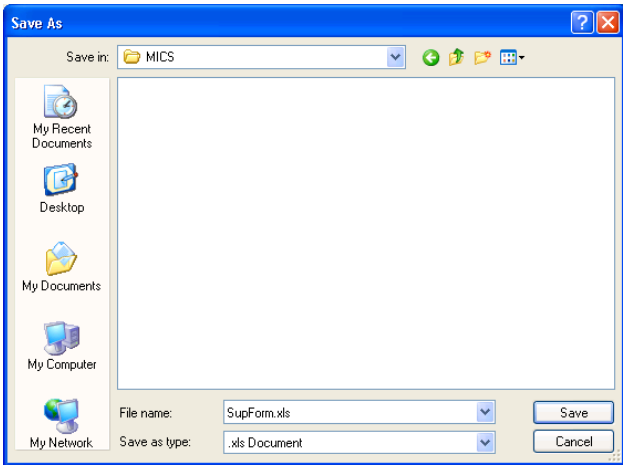
Download screen of each template of "Registration Form (Service Facilitators)" for End-User Agreement and "Supplemental Registration Forms for upload" for IPLA will appear by clicking to each link.

(For Licensed End-User)

(For other User)

Click "Save", and save the template in your convenient folder.





Fill out the necessary Forms (Please refer to "6.2 Service Facilitator" and "8.8 Supplemental Registration Form for Upload") and save the changes. Please proceed to (2).

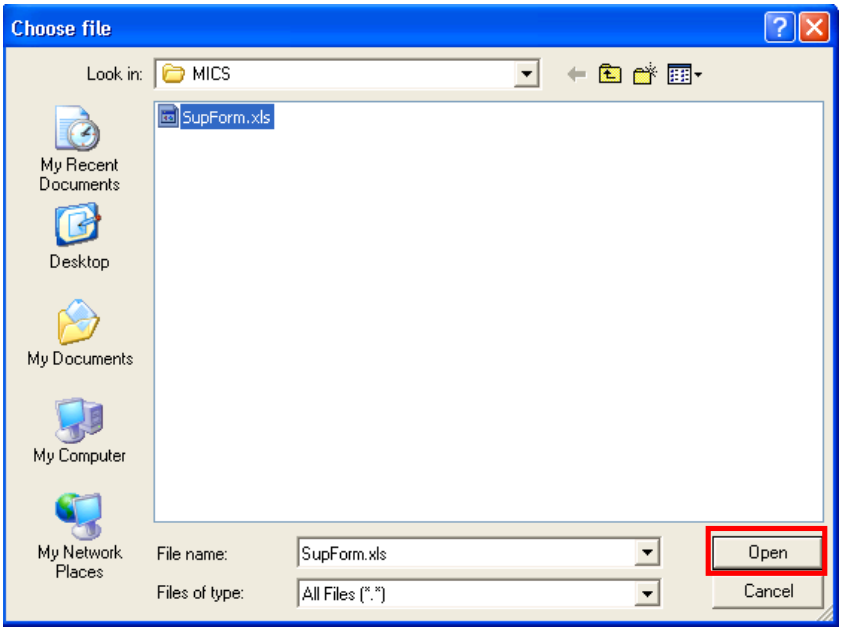
b. Attachment (For Information Provision and License Agreement)

There is no template for attachment file. Please prepare in manner convenient to you. If there are several attachment files, please pack them into one zip file.

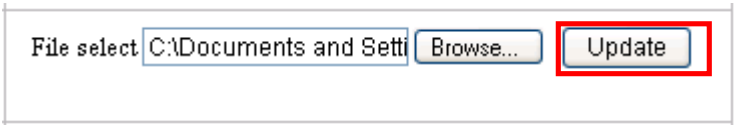
(2) Registration of files

Click “Browse” to register Forms.

Select the Forms prepared in (1), click “Open”.



The path of the selected file will be shown in “File select”. Please click “Update”.



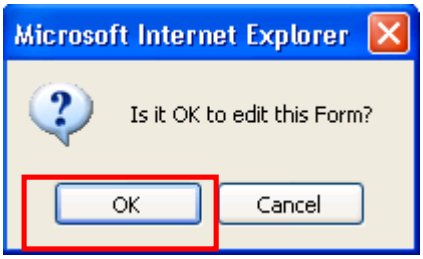
Please do not miss clicking “Update” to finalize registering files.

The screen will change to show the “Updated file to be applied”. By clicking the file name, you can download and see the content of registered file. Click “Delete” to cancel the registration of the file.

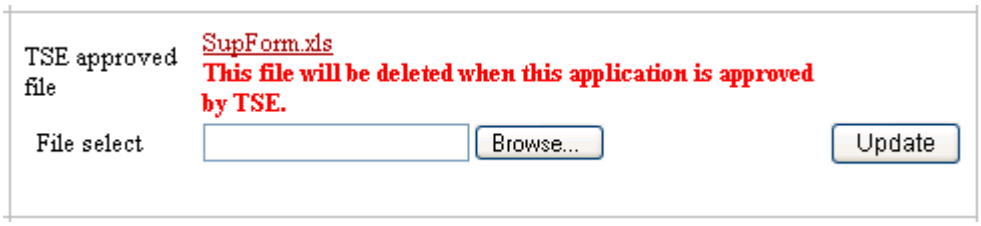
(3) Deleting or changing files approved by TSE

When there are files already approved by TSE, "TSE approved file" is shown. (You can download the file by clicking the file name.) Click "To be deleted." Confirmation message will appear.

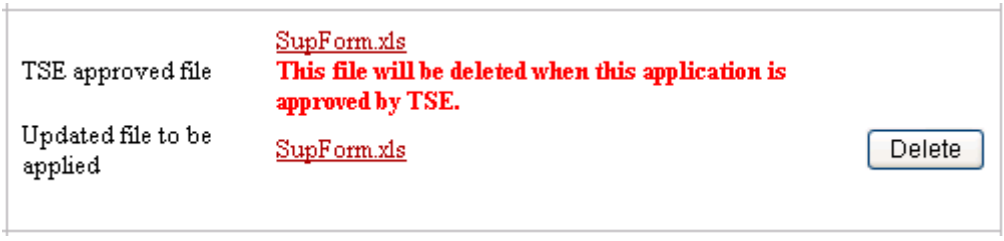
Click "OK."



The screen will change to "This file will be deleted when this application is approved by TSE". When only deleting the file approved by TSE, the process ends at this point.



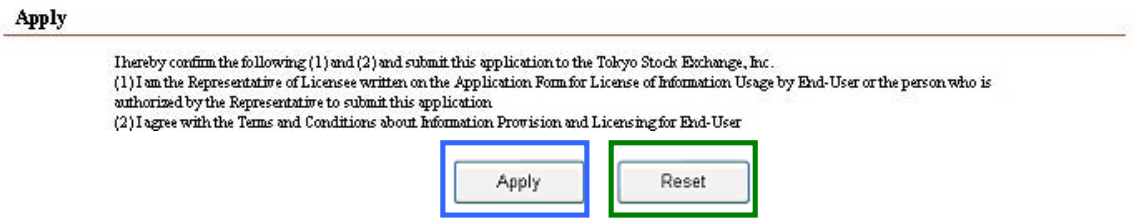
When submitting new file in place of the file to be deleted, upload the new file and register by following step (2). The upper row shows the file to be deleted, and lower row shows the file to be applied to TSE.



- When updating uploaded files, please do not forget to enter all the valid information at the "Effective date of this application." (If there is still valid information in the file to be deleted, please enter the information in the new file to be applied.)
- When canceling the deletion of file, click "Reset" (see page 32) to go back to the latest application approved by TSE. (Please be aware that in this case, saved changes will be all cleared.)

[Apply]

Click to apply or reset the Forms. (Read-Only ID User can not click.)



"Apply" : Click to officially apply to TSE the saved Forms.

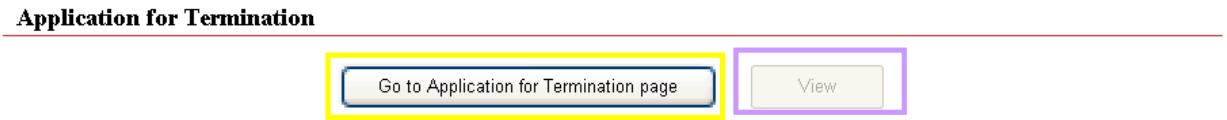
- If information in the saved Forms contradicts each other, confirmation message will appear. Please be aware that clicking "Apply" again, the application will be sent to TSE as it is.
- TSE will take care of the application after you click "apply". TSE will send application completion message through MICS message service. (see "9.2 Change user information")
- Modification cannot be done while TSE is processing the application.

"Reset": Delete all the saved application, and go back to the latest information approved by TSE.

Prior to entering into Agreement, the process will go back to selecting type of agreement.

[Application for Termination]

Click to apply to Termination page. (You cannot click before entering into agreement)



"Go to Application for Termination page": Click to view the Application for Termination page.

- Read-Only ID cannot click this button.
- Please be aware that by clicking "Go to Application for Termination page," all the saved information will be cancelled.

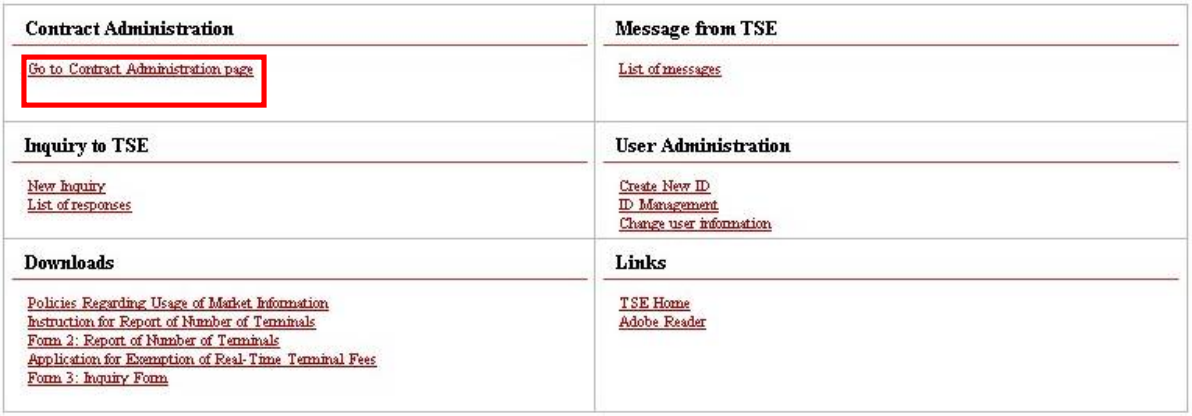
"View": Click to view the Application for Termination sent to TSE after the termination becomes effective.

5 Procedures for Licensed End-Users

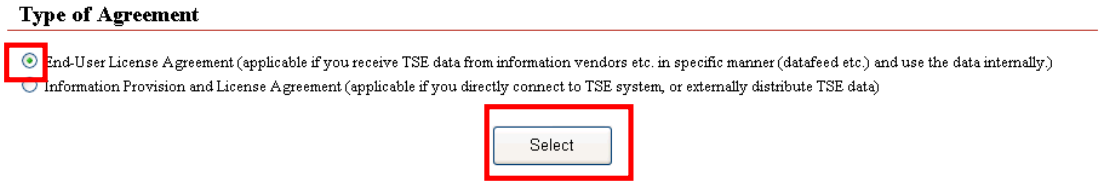
5.1 Newly applied

Please take the below procedures shown, when entering into End-User Agreement for the first time with TSE. Please refer to "6 Instruction on filling out Registration Forms (For licensed End-User)" for information to enter.

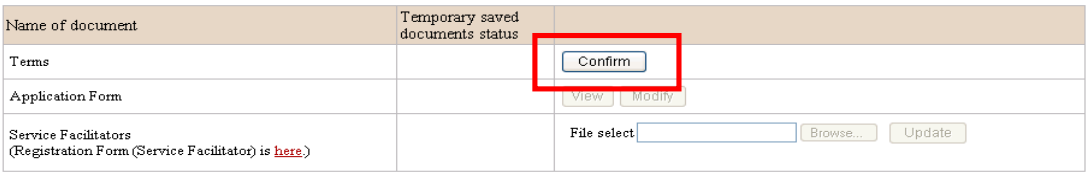
(1) Click "Go to Contract Administration page" and view the Contract Administration page.



(2) Select "End-User Licensed Agreement" and click "Select"



(3) Application Forms will be shown. Click "Confirm" to view the Terms and Conditions about Information Provision and Licensing for End-User.



(4) Enter the information of your company in "Licensee Information When Newly Applied," and confirm the Terms and Conditions about Information Provision and Licensing for End-User. Select "Agree" and click "Proceed to application."

Information Provision and Licensing for End-User Terms and Conditions

Information Provision and Licensing for End-User Terms and Conditions
Policies Regarding Usage of Market Information

Licensee Information When Newly Applied

Company Name*	
Address*	
Title of Representative*	
Name of Representative*	

* is required item

The above Representative or the person who is authorized by the Representative agrees to the above Information Provision and Licensing for End-User Terms and Conditions .

◉ Agree ○ Not Agree

Proceed to application | Cancel

You cannot proceed to application before selecting "Agree."

(5) Enter into Application Form. Click "Modify" to enter into Application Forms.

Forms

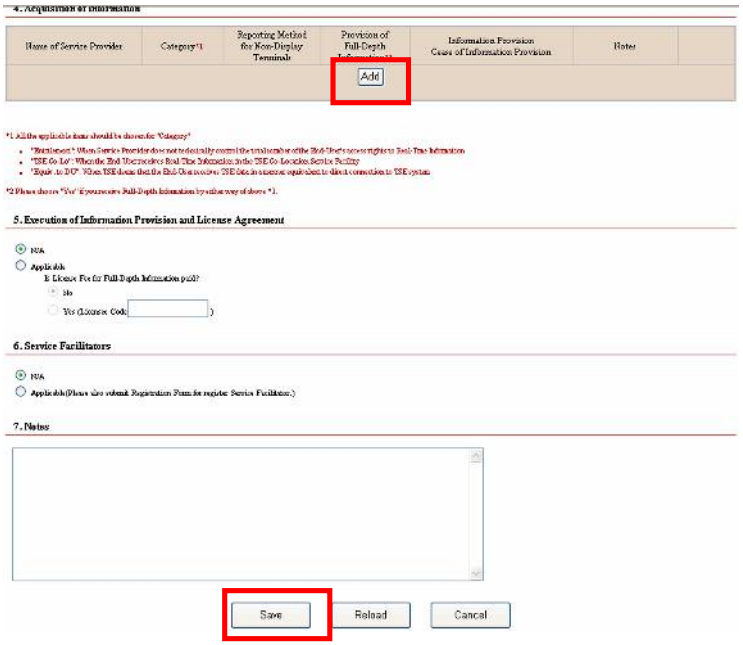
Application for License of Information Usage by End-User

- View Button : Display the latest infomation approved by TSE.
- Modify Button :
  - Before submitting application : Display the infomation currently working.
  - During processing application by TSE : Display the infomation under processing.

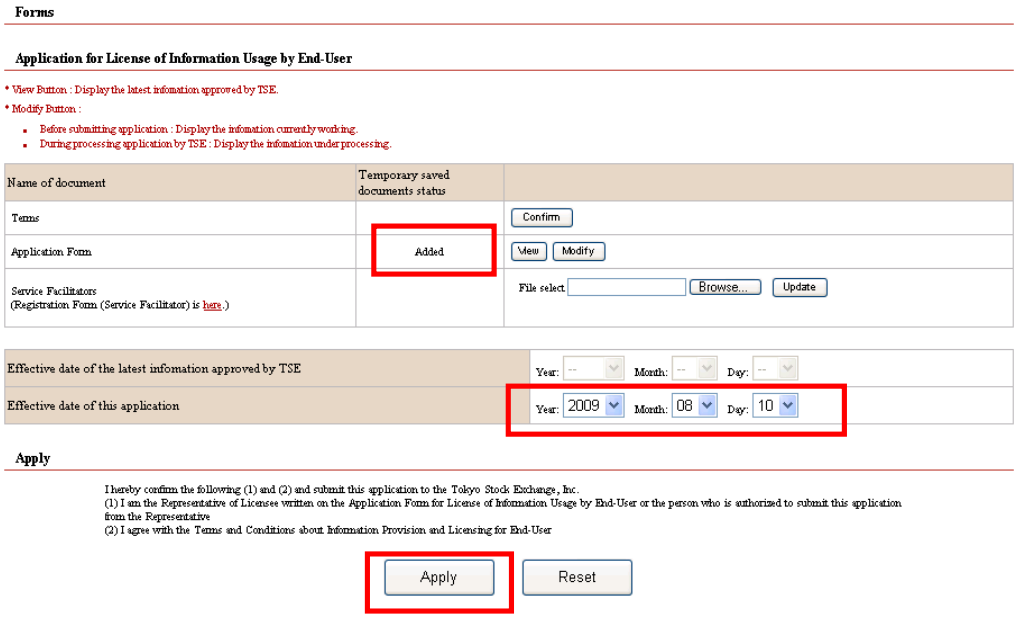
Name of document	Temporary saved documents status	
Terms		Confirm
Application Form		View Modify
Service Facilitators (Registration Form (Service Facilitator) is here.)		File select Browse... Update

(6) Input Application Form for License of Information Usage by End-User and click "Save." (Please refer to "6 Instruction on filling out Registration Forms (For licensed End-User)" for information to enter.)

For "4.Acquisition of Information," click "Add" and enter the information.



(6) Confirm that "Added" is shown in the line of Application Form, enter the "Effective date of this application" and click "Apply."



- For "Effective date of this application", please enter the date entered in "4.Acquisition of Information."(When receiving information from several information vendors, the earliest date.)

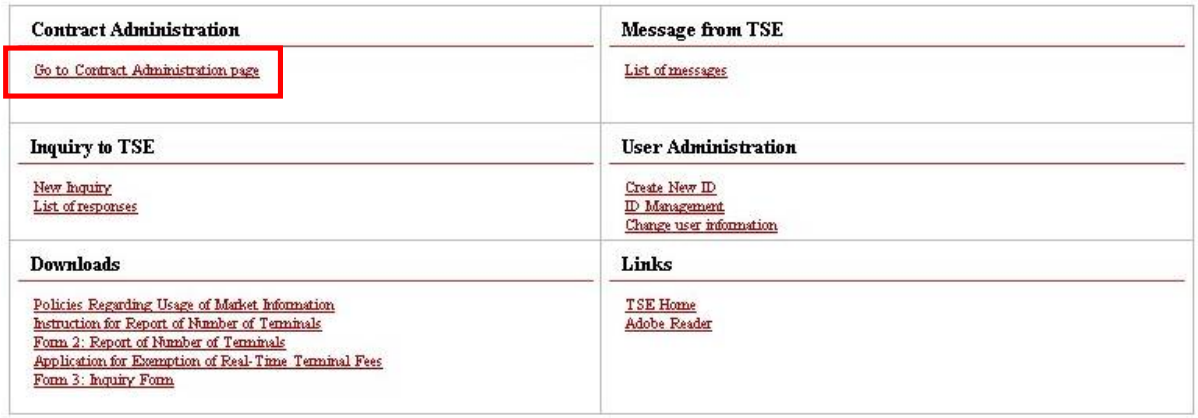
(7) Application completed page will be shown. TSE will take care of the application, please wait for message from TSE. (It will take about 2 week)

(8) When TSE approves the application, TSE will send you confirmation message to "Message from TSE" (see "10.2 Message from TSE"). (E-mail will be sent to Master ID User to notify the arrival of the message from TSE.) The procedures for End-User Agreement have completed. Please inform the information vendors of the completion of agreement and start receiving information.

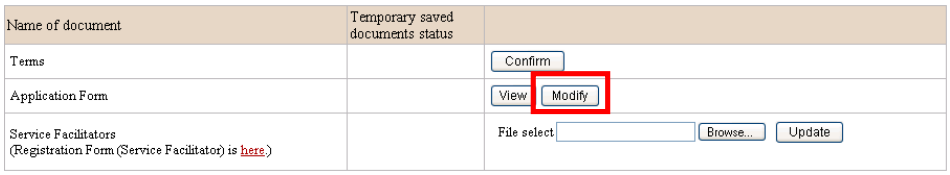
5.2 Update Application Forms

Please take the procedures below, when updating Application Forms for Licensed End-User Agreement with TSE. Please refer to "6 Instruction on filling out Registration Forms (For licensed End-User)" for entering information.

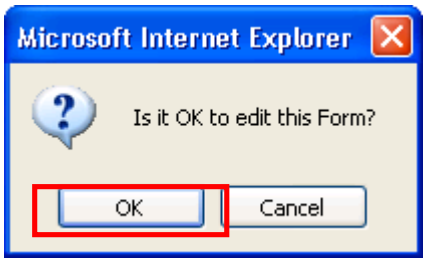
(1) Click "Go to Contract Administration" and go to Contract Administration page.



(2) Click "Modify" to update Registration Forms.



(3) Click "OK" when the below dialog box appear.



(4) Enter updated information and click "Save." (Please refer to "6 Instruction on filling out Registration Forms (For licensed End-User)" for information to enter.)

For "4. Acquisition of Information",

- When changing information vendors, add the new information vendor and enter the "Date of cease of information Provision" for the prior information vendors.
- When modifying the information for the same information vendor, enter the "Date of cease of information Provision" and add the same information vendor with modified information.
- When inputting multiple dates, please input the earliest date among those dates in "Effective date of this application" (As for the below example, "Effective date of this application" shall be 2010/3/31)

4. Acquisition of Information

Name of Service Provider	Category*1	Reporting Method for Non-Display Terminals	Provision of Full-Depth Information*2	Information Provision Cease of Information Provision	Notes	
TSEmarket	☑ Entitlement ☐ TSE Co-Lo ☐ Equiv. to DU	◉ Normal ○ Fixed Fee	◉ Yes ○ No	Year / Month / Day 2009 / 05 / 28 - 2010 / 03 / 31		
TSEmarket	☑ Entitlement ☑ TSE Co-Lo ☐ Equiv. to DU	○ Normal ◉ Fixed Fee	○ Yes ◉ No	Year / Month / Day 2010 / 04 / 01 - -- / -- / --		Delete
				Add		

Please enter the Date of Cease of Information Provision for old information, and add the modified information in another line even when you modify the information about the same service provider.

(5) Confirm that "Modified" is shown in the line of Application Form and enter "Effective Date of this Application." Click "Apply".

Application for License of Information Usage by End-User

* View Button : Display the latest information approved by TSE.
* Modify Button :
  - Before submitting application : Display the information currently working.
  - During processing application by TSE : Display the information under processing.

Name of document	Temporary saved documents status	
Terms		Confirm
Application Form	Modified	View Modify
Service Facilitators (Registration Form (Service Facilitator) is here.)		File select Browse... Update

Effective date of the latest information approved by TSE	Year: 2010 Month: 01 Day: 04
Effective date of this application	Year: -- Month: -- Day: --

Apply

I hereby confirm the following (1) and (2) and submit this application to the Tokyo Stock Exchange, Inc.
(1) I am the Representative of Licensee written on the Application Form for License of Information Usage by End-User or the person who is authorized by the Representative to submit this application
(2) I agree with the Terms and Conditions about Information Provision and Licensing for End-User

Apply Reset

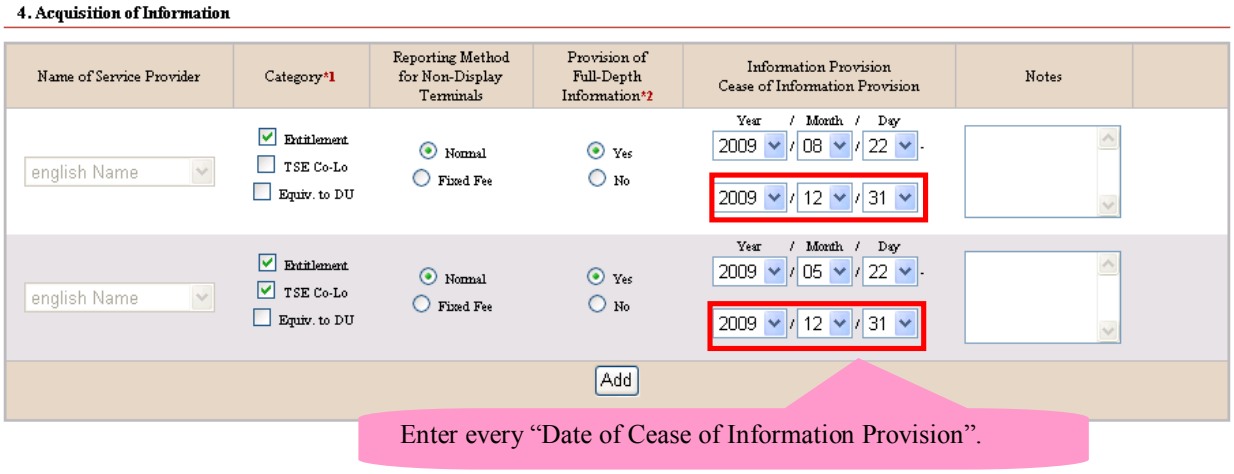
(6) Application completed page will be shown. TSE will take care of the application, please wait for message from TSE. (It will take about 2 week)

(7) When TSE approves the application, TSE will send you confirmation message to "Message from TSE" (see "10.2 Message from TSE"). (E-mail will be sent to Master ID User to notify the arrival of message from TSE.) The procedures for Updating End-User Agreement have completed.

5.3 Termination of End-User Agreement

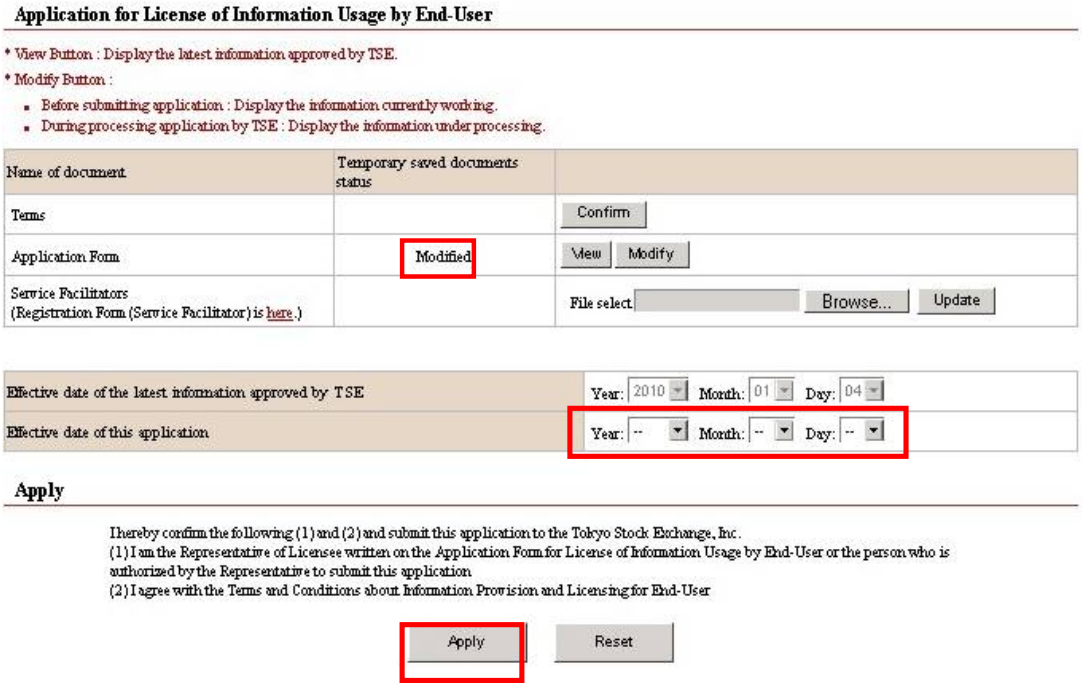
Prior to termination of End-User Agreement, please enter the "Date of Cease of Information Provision" for each information vendor registered. (Please follow the procedures in "5.2 Update Application Forms" to register the "Date of Cease of Information Provision".) Apply for the termination of End-User Agreement after the update.

(1) Follow the procedures in "5.2 Update Application Forms" to register "Date of Cease of Information Provision" for each information vendor registered in "4. Acquisition of Information". Then click "Save".



(2)Confirm that "Modified" is shown in the line of Application Form. Enter "Effective date of this application" and click "Apply".

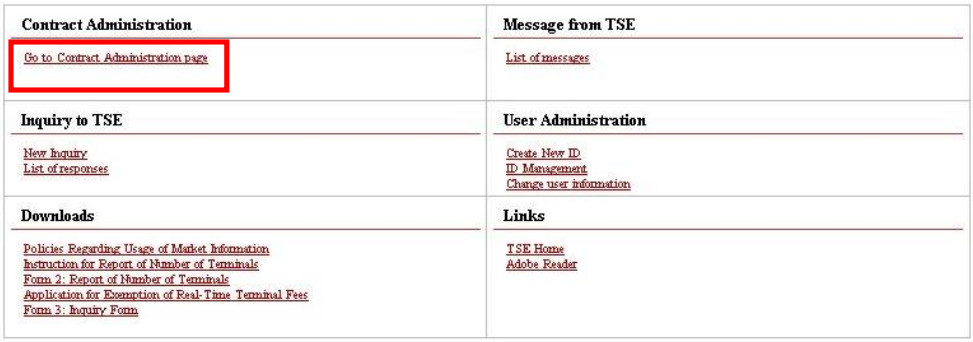
"Effective date of this application" and "Date of Cease of Information Provision" entered in (1) should be the same date. (If different dates are set for multiple Service Providers, please input the earliest date.)



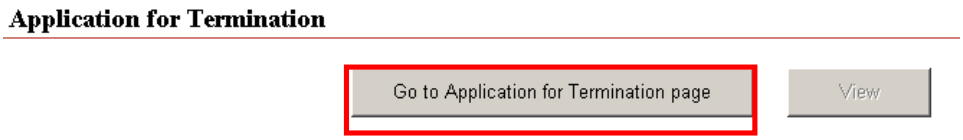
(3) Application completed page will be shown. TSE will take care of the application, please wait for message from TSE. (It will take about 2 week)

(4) When TSE approves the application, TSE will send you confirmation message to "Message from TSE" (see "10.2 Message from TSE"). (E-mail will be sent to Master ID User to notify the arrival of message from TSE.) The procedures for Updating End-User Agreement have completed. Please go on to the termination of Agreement.

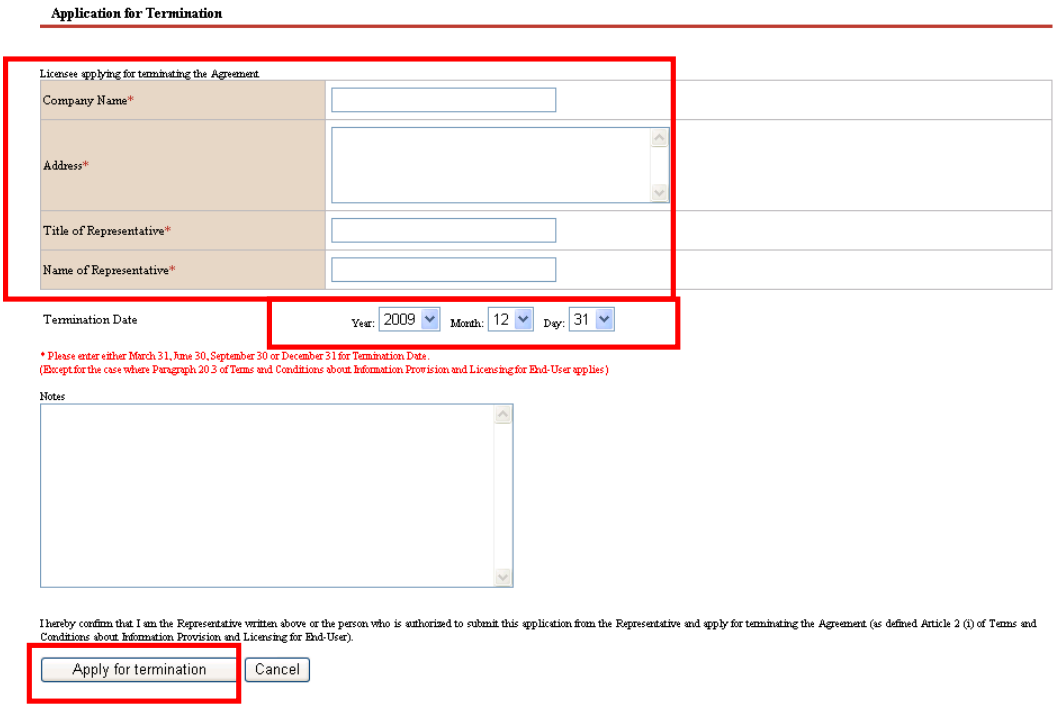
(5) Click "Go to Contract Administration" and go to contract administration page.



(6) Click "Go to Application for Termination page" and view the termination page.

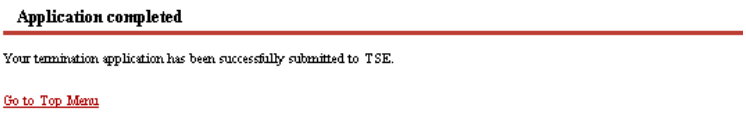


(7) Enter "Licensee applying for terminating the Agreement" and "Termination Date" and click "Apply for termination." (Please leave the "Notes" blank, unless TSE advises to do otherwise.)



"Termination Date" needs to be either 31st of March, 30th of June, 30th of September or 31st of December. (Other dates are possible technologically, but TSE will ask you to reapply in those instances.)

(8)Termination application completed page will be shown. TSE will handle the application, please wait for message from TSE. (It will take about 2 week)



(9) When TSE approves the application, TSE will send you confirmation message to "Message from TSE" (see "10.2 Message from TSE"). (E-mail will be sent to Master ID User to notify the arrival of message from TSE.) The procedures for Termination of End-User Agreement have completed.

6 Instruction on filling out Registration Forms (For licensed End-User)

6.1 Application for License of Information Usage by End-user

"1. Licensee Information" "2. Contact Information" "3. Billing Address"

Please fill out the information according to the screen.* is required item.

1. Licensee Information

Company Name*	
Title of Representative*	
Name of Representative*	
Status of Licensee*	○ General ○ General Trading Participant ○ Other Trading Participant

* is required item

2. Contact Information

Company Name*	
ZIP Code	
Address*	
Department	
Contact Person*	
Tel*	
FAX	
E-mail*	

* is required item

3. Billing address (Please fill when different from "2.Contact information")

Company Name	
ZIP Code	
Address	
Department	
Contact Person	
Tel	
FAX	
E-mail	

Contact person's name will not appear on the invoice

- Please be aware that E-mail from MICS will not be sent to the E-mail address entered in 2. Contact information. (E-mail from MICS will be sent to the address registered in Master ID information in "Change user information".(see "9.1 Types of IDs")
- Please enter 3. Billing Address only when it is different from 2. Contact Information.

"4.Acquisition of Information"

Please enter the information of Service Provider etc. Click "Add" to add new lines.

4. Acquisition of Information

Name of Service Provider	Category*1	Reporting Method for Non-Display Terminals	Provision of Full-Depth Information*2	Information Provision Cease of Information Provision	Notes	
			Add			

*1 All the applicable items should be chosen for "Category"

- "Entitlement": When Service Provider does not technically control the total number of the End-User's access rights to Real-Time Information
- "TSE Co-Lo": When the End-User receives Real-Time Information in the TSE Co-Location Service Facility
- "Equiv. to DU": When TSE deems that the End-User receives TSE data in a manner equivalent to direct connection to TSE system

*2 Please choose "Yes" if you receive Full-Depth Information by either way of above *1.

When newly applying, no line is available. Please click "Add" to enter the information of the Service Provider.

4. Acquisition of Information

Name of Service Provider	Category*1	Reporting Method for Non-Display Terminals	Provision of Full-Depth Information*2	Information Provision Cease of Information Provision	Notes	
C040106666.Inc	☐ Entitlement ☐ TSE Co-Lo ☐ Equiv. to DU	◉ Normal ○ Fixed Fee	◉ Yes ○ No	Year / Month / Day 2009 / 05 / 08 - -- / -- / --		Delete
			Add			

*1 All the applicable items should be chosen for "Category"

- "Entitlement": When Service Provider does not technically control the total number of the End-User's access rights to Real-Time Information
- "TSE Co-Lo": When the End-User receives Real-Time Information in the TSE Co-Location Service Facility
- "Equiv. to DU": When TSE deems that the End-User receives TSE data in a manner equivalent to direct connection to TSE system

*2 Please choose "Yes" if you receive Full-Depth Information by either way of above *1.

[Name of Service Provider]

Please select the name of the information vendor who provides the information to your company.

[Category]

Please select every case that applies to your company.

Entitlement	When information vendor does not technologically control the total number of your company's access rights to Real-Time Information.
TSE Co-Location	When your company receives Real-Time Information in TSE Co-Location Facility.
Equiv. to DU	When your company receives Real-Time Information in a manner equivalent to direct connection to TSE system.

(Please refer to Policies Regarding Usage of Market Information "3.1.4 Licensed End-User" for detail.)

[Reporting Method for Non-Display Terminals]

When using non-display terminals, please select your company's reporting method. (The outline of reporting is stated below, please see Policies Regarding Usage of Market Information "7.4.4 Report by Licensed End-User" for detail.)

Reporting method for Non-Display Terminals

- Normal method: Report the number of terminals to Information Vendors etc..
- Fixed Fee method: No need to report the number of terminals to Information vendors.
  Instead pay directly to TSE the fixed fee.

[Provision of Full-Depth Information]

When you are receiving Full-Depth Information in either case selected in "Category," please select "Yes".

[Information Provision/Cease of Information Provision]

Enter the date below, in the upper row.

When starting to receive information from the information vendor	The starting date of reception of information
When modifying the acquisition of information from the vendor	The effective date of the modified information. (See below "How to enter modified information.")

Enter the date below, in the lower row. (When not applied, leave the row blank.)

When terminating information reception from the vendor	The date when information provision is ceased.
When modifying the acquisition of information from the vendor	The date when current acquisition of information ceases. (See below "How to enter modified information.)

How to enter modified information

When you need to modify information of the information vendor already registered in your agreement, please take the procedures as below.

(1) Enter the date when current reception of information ceases in "Cease of Information Provision"

(2) Create new row by clicking "Add" and enter the modified information, then enter the effective date of the information in "Information Provision".

* Please use two rows for the modification of information even when the change is related to one information vendor.

* Please do not change the "Information Provision" date of rows approved by TSE.

4. Acquisition of Information

Name of Service Provider	Category*1	Reporting Method for Non-Display Terminals	Provision of Full-Depth Information*2	Information Provision Cease of Information Provision	Notes	
TSEmarket	☑ Entitlement ☐ TSE Co-Lo ☐ Equiv. to DU	◉ Normal ○ Fixed Fee	◉ Yes ○ No	Year / Month / Day 2009 / 05 / 28 - 2010 / 03 / 31		
TSEmarket	☑ Entitlement ☑ TSE Co-Lo ☐ Equiv. to DU	○ Normal ◉ Fixed Fee	○ Yes ◉ No	Year / Month / Day 2010 / 04 / 01 - -- / -- / --		Delete
			Add			

[Notes]

Please use for reporting the client number for your information vendors and etc. (Please ask your information vendor for detail. Otherwise it may be left blank also.)

[Delete]

Click to delete the selected row. (It will not appear in the rows approved by TSE)

"5. Execution of Information Provision and License Agreement"

Please select if you have Information Provision and License Agreement with TSE aside from End-User Agreement because you are directly connecting to TSE or conducting External Distribution of Information.

5. Execution of Information Provision and License Agreement

- (●) N/A
- ( ) Applicable
  - Is License Fee for Full-Depth Information paid?
    - (●) No
    - ( ) Yes (Licensee Code [ ])

- If your company has Information Provision and License Agreement aside from Licensed End-User Agreement select "Applicable," if not select "N/A."
- If "Applicable," please specify whether you pay License Fee for Full-Depth Information.
- When you do pay License Fee for Full-Depth Information, please enter Licensee code for monthly reporting.

"6. Service Facilitator"

Please specify whether you relegate your system operations etc. to the third parties when receiving market information.

6. Service Facilitators

- (●) N/A
- ( ) Applicable(Please also submit Registration Form in Excel format to register Service Facilitator.)

- Please select "Yes" when you have a third party (Service Facilitator) processing TSE Information and submit Registration Form (Service Facilitator). Download the template of the form from Contract Administration Screen. (see page 29)
- Please refer to Policies Regarding Usage of Market Information "3.2.3 Service Facilitator" for details about Service Facilitator.

"7. Note"

Please leave it blank. TSE will inform you when you need to enter information.

7. Notes

Buttons

"Save" (Administrator ID only)	Save the information entered and go back to Contract Administration page.
"Reload" (Administrator ID only)	Cancel the working modification, and show the below content. ● When you have saved information: The saved form ● When you do not have saved information: The latest form approved by TSE.
"Cancel"	Go back to Contract Administration page without saving modified information.

6.2 Service Facilitator

Please submit this form when you have selected "Yes" for "6. Service Facilitator" in "Application Form for License of Information Usage by End-User" because you relegate system operations etc. to the third party in receiving TSE Information. Upload this form from Contract Administration screen. (see page 16)

Application Form for License of Information Usage by End-User (Service Facilitator)

Name of Licensee		The Effective Date you entered in MICS	YYYY/MM/DD

* Please add boxes as appropriate if there are not enough ones.

Name of the company	
Contact	
Functions to be consigned, Description of how Information is used by Service Facilitator, Description of controls over the Service Facilitator's usage of Information, etc.	

[Name of Licensee]

Please enter your company name.

[Effective Date you entered in MICS]

The date entered in "Effective date of this Application" in the Contract Administration page.

[Name of the company]

Please enter the official name of the Service Facilitator. Information usage by the Service Facilitator is limited to area which is necessary for operating your service. Please make sure to establish effective administration system to prevent their improper data usage, including proper contractual restriction.

[Contact]

Please provide the detailed contact information (address, telephone, E-mail, department in charge etc.) so that TSE can directly contact this Service Facilitator.

[Functions to be cosigned, Description of how to Information is used by Service Facilitator, Description of controls over the Service Facilitator's usage of Information, etc.]

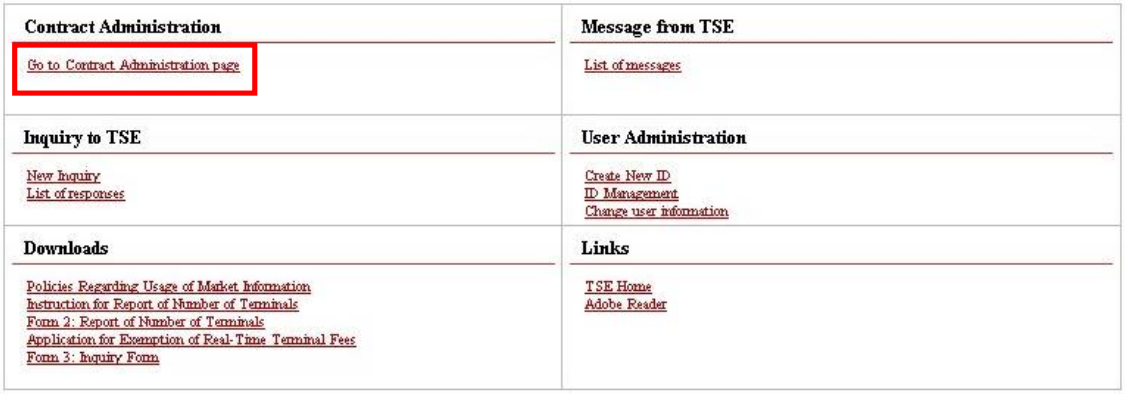
Please enter details of how TSE Information is processed by the Service Facilitator.

7 Procedures for Information Provision and License Agreement

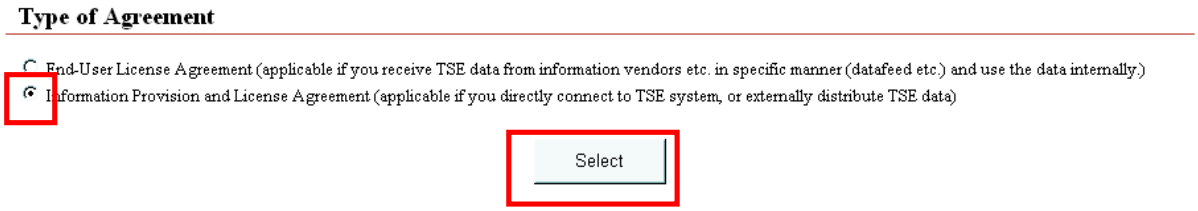
7.1 Newly Applied

Please take the below procedures shown, when newly entering into Information Provision and License Agreement with TSE. Please refer to "8 Instruction on filling out Registration Forms (For IPL Agreement)" for information to enter.

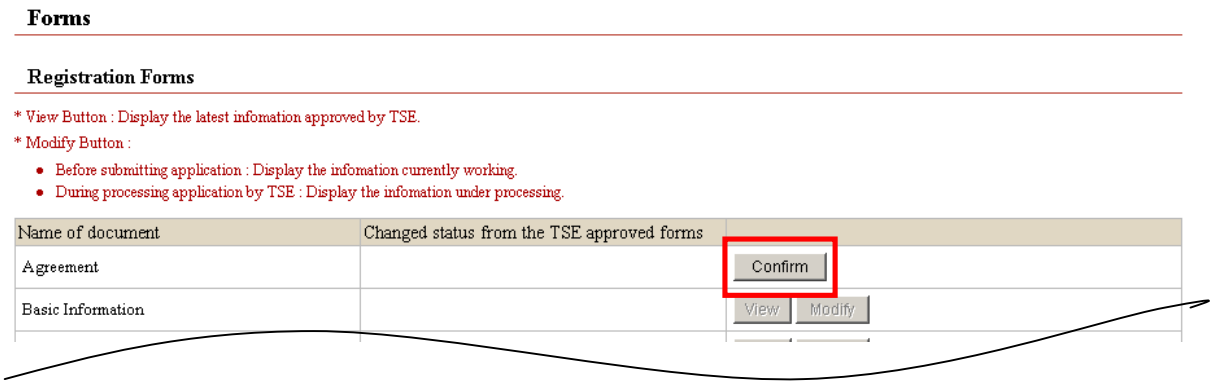
(1) Click "Go to Contract Administration page" and view the Contract Administration page.



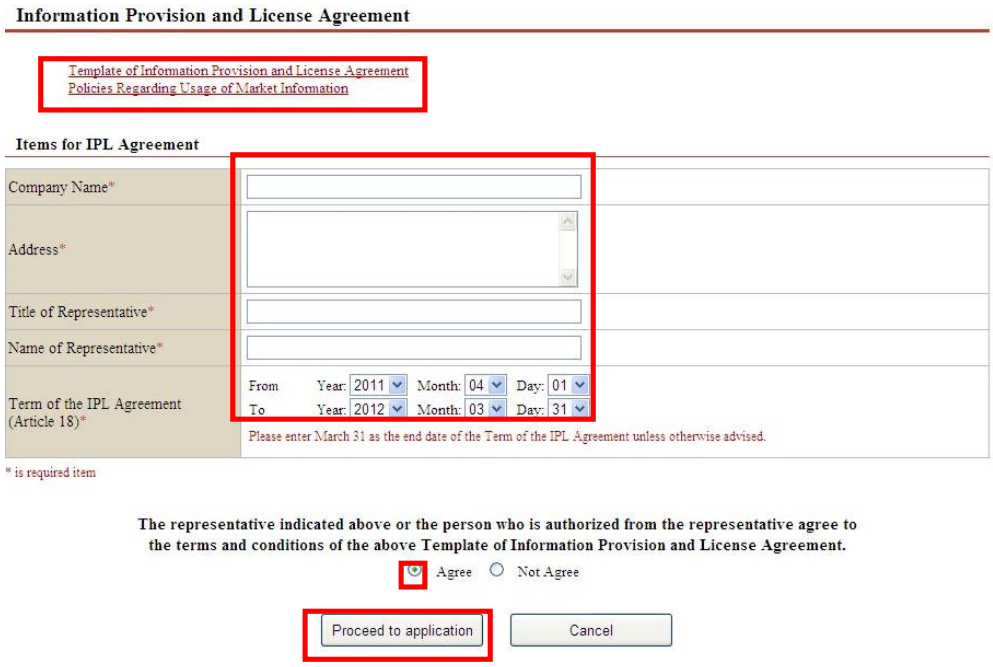
(2) Select "Information Provision and License Agreement" and click "Select."



(3) Registration Forms will be shown. Click "Confirm" to view the template of Information Provision and License Agreement and input necessary information for creating original hardcopies.

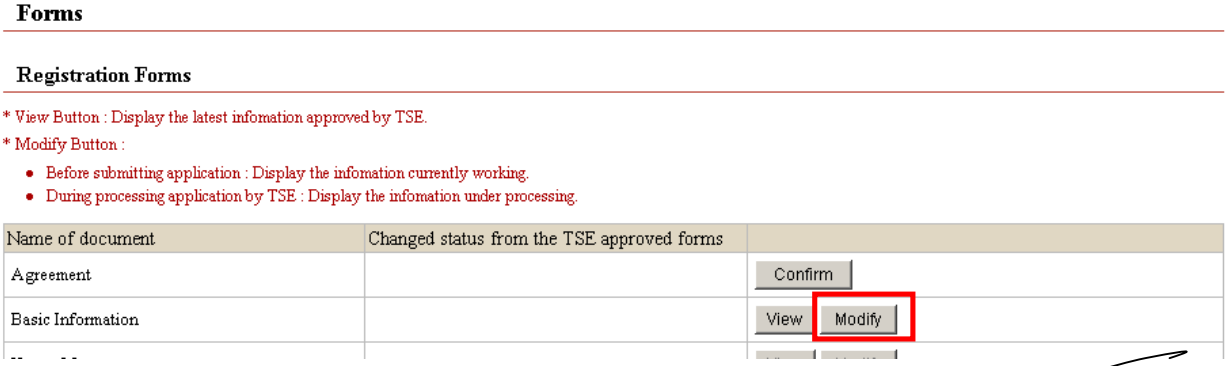


(4) Enter your company information in the "Items for IPL Agreement" and confirm the content of IPL Agreement. Select "Agree" and click to "Proceed to application." You cannot proceed to application without selecting "Agree."



- TSE will prepare the hardcopy of IPL Agreement based on the information entered in this form.
- Term of the IPL Agreement is in principle from the date selected to the 31st of Next March.

(5) Enter into Registration Forms. Click "Modify" to enter into Basic Information Form.



(6) Enter into Registration Form (Basic Information) and click "Save." (Please refer to "8.2 Basic Information" for information to enter.)

Registration Form (Basic Information)

1. Licensee Information

Company Name*	

Save Reload Cancel

(7) Please fill out the Registration Form for Usage Manner. Click "Modify" to enter into Registration Form.

Forms

Registration Forms

* View Button : Display the latest infomation approved by TSE.
* Modify Button :
  - Before submitting application : Display the infomation currently working.
  - During processing application by TSE : Display the infomation under processing.

Name of document	Changed status from the TSE approved forms	
Agreement		Confirm
Basic Information	Added	View Modify
Usage Manners		View Modify

(8) Enter into Registration Form (Usage Manner) and click "Save". (Please refer to "8.3 Usage Manner" for information to enter.)

Market Information Client System (MICS)

UserID: tsetest001

Logout

help

Registration Form (Usage Manner)

(1) Usage Manner Not Involving External Distribution

Usage Manner	Information to be used

Cash/Derivatives Products

		Cash	Derivatives
Real-Time Information	Full-Depth	☐	☐
	BBO8	☐	
	BBO5	☐	
	Best Bid/Ask prices during the trading session	☐	

Save Reload Cancel

(9) Enter into necessary Registration Forms for application. Please click "Modify" of the necessary Forms and enter the information. (Please refer to "8 Instruction on filling out Registration Forms (For IPL Agreement)"for information to enter)

Name of document	Changed status from the TSE approved forms	
Agreement		Confirm
Basic Information	Added	View Modify
Usage Manners	Added	View Modify
Details about Direct Usage		View Modify
Details about Indirect Usage		View Modify
Secondary-Distributor		View Modify
Other Usage Manners		View Modify
Supplemental Registration Forms for upload# (Template file is here.)		File select 参照... Update
Attachments		File select 参照... Update
List of Licensed End-Users		View

For "Information Usage for Off-Exchange Transaction", "Index Information(External Distribution and Usage for Off-Exchange Transaction)", "Affiliated Company", "ServiceFacilitator", "Registered Financial Instruments Intermediary Service Provider", "TOPIX Information Distributor", "Registered Newspaper".

(10) After all the necessary Forms have been filled out, enter the "Effective date of this application" and click "Apply."

Effective date of the latest information approved by TSE	Year: 2010 Month: 01 Day: 04
Effective date of this application	Year: -- Month: -- Day: --

Apply

I hereby confirm the following (1) and (2) and submit this application to the Tokyo Stock Exchange, Inc.
(1) I am the Representative of Licensee written on the Application Form for License of Information Usage by End-User or the person who is authorized by the Representative to submit this application
(2) I agree with the Terms and Conditions about Information Provision and Licensing for End-User

Apply Reset

- By clicking the "Apply" button, official application will be sent to TSE.
- When saved Registration Forms have contradiction, message will appear in clicking "Apply." Please modify the Forms to fix the contradiction. Please be aware that the forms will be sent to TSE with contradiction if you click "Apply" again.
- TSE will handle the application after you have sent it. Application cannot be modified while TSE is processing.

(11) Application completed page will be shown. TSE will take care of the application, please wait for message from TSE. (It will take about 2 week)

Application completed

Your application has been successfully submitted to TSE.

Go to Top Menu

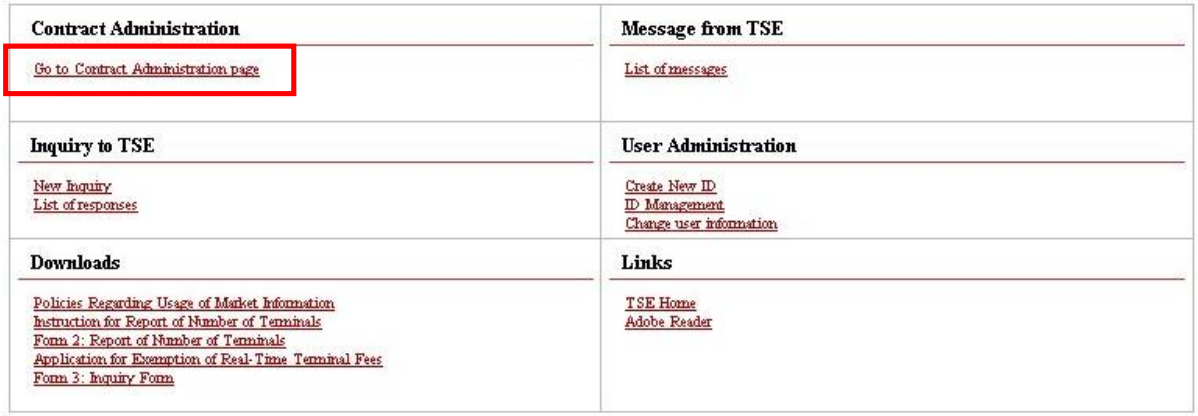
(12) When TSE approves the application, TSE will send you confirmation message to "Message from TSE" (see "10.2 Message from TSE"). (E-mail will be sent to Master ID User to notify the arrival of message from TSE.) The procedures for newly applying the Information Provision and License Agreement have completed.

(13) TSE will send 2 hardcopies of IPL Agreement based on the information entered in (4). Please sign both and send 1 copy back to TSE.

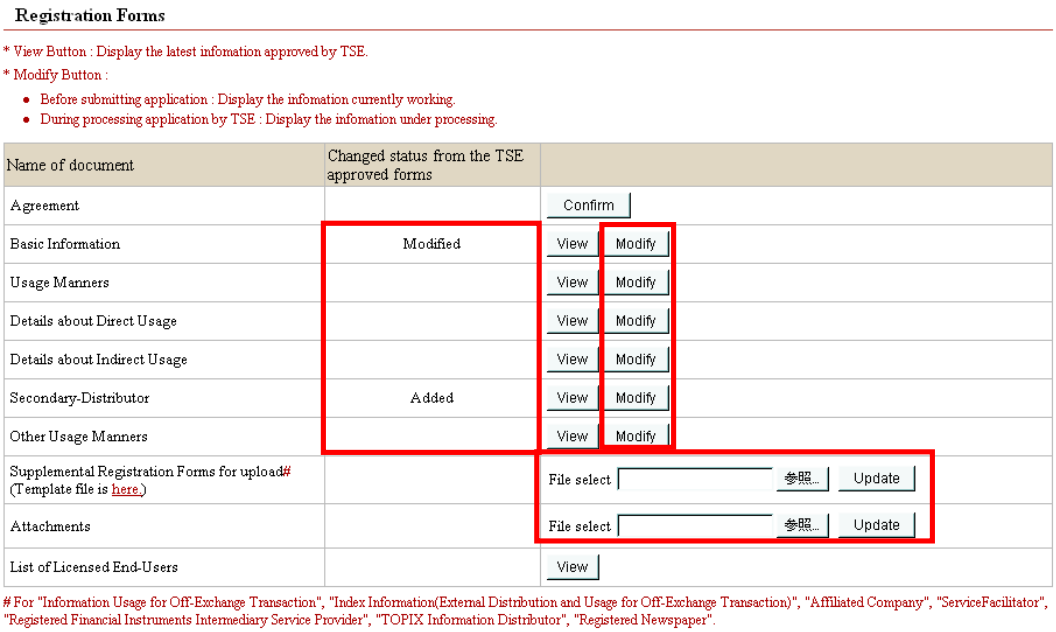
7.2 Update Registration Forms

Please take the procedures below, when updating Registration Forms for IPL Agreement with TSE. Please refer to "8 Instruction on filling out Registration Forms (For IPL Agreement)" for entering information.

(1) Click "Go to Contract Administration" and view the Contract Administration page.



(2) Click "Modify" to update necessary Registration Forms. Please update necessary Attachment. (Please refer to "8 Instruction on filling out Registration Forms (For IPL Agreement)" for information to enter. For updating Supplemental Registration Forms and Attachment files please see page 16)



When newly entering Registration Forms "Changed status from the TSE approved forms" will be "Added" and when modifying already registered Forms from Basic Information to Other Usage Manner, the status will be "Modified".

(3)When completing necessary modification, please enter the "Effective date of this Application" and click "Apply".

Effective date of the latest infomation approved by TSE	Year: 2009 Month: 05 Day: 29
Effective date of this application	Year: 2009 Month: 11 Day: 26

- Please be aware that when you have several effective dates, the application cannot be done on one form. Please apply for each modification with different dates.
- Please enter all the information in the Forms that is effective on the day of "Effective date of this information".

  (e.g.) Information A starting on May 1st and adding information B on 1st June.

  1. Modify Application for information A, May 1st as "Effective date."
  2. After TSE approves 1, apply for information B also entering information A in the forms, June 1st as "Effective date"

  (If information A is not in application of 2, TSE recognizes 2 as application for cancellation of information A)

  In the same example, if you have already registered B effective as of June 1st, please be aware that you need to:

  1. Apply information A (deleting information B), May 1st as "Effective date."
  2. Apply for information A and B, June 1st as "Effective date"

Apply

I hereby confirm the following (1) and (2) and submit this application to the Tokyo Stock Exchange, Inc.
(1) I am the Representative of Licensee written on the Application Form for License of Information Usage by End-User or the person who is authorized by the Representative to submit this application
(2) I agree with the Terms and Conditions about Information Provision and Licensing for End-User

Apply Reset

- By clicking the "Apply" button, official application will be sent to TSE.
- When saved Registration Forms have contradiction, message will appear in clicking "Apply". Please modify the Forms. If you click "Apply" again, the forms will be sent to TSE with contradiction.
- TSE will handle the application after you have sent it. Application cannot be modified while TSE is processing.

(4) Application completed page will be shown. TSE will take care of the application, please wait for message from TSE. (It will take about 2 week)

Application completed

Your application has been successfully submitted to TSE.

Go to Top Menu

(5) When TSE approves the application, TSE will send you confirmation message to "Message from TSE" (see "10.2 Message from TSE"). (E-mail will be sent to Master ID User to notify the arrival of message from TSE.) The procedures for Updating IPL Agreement have completed.

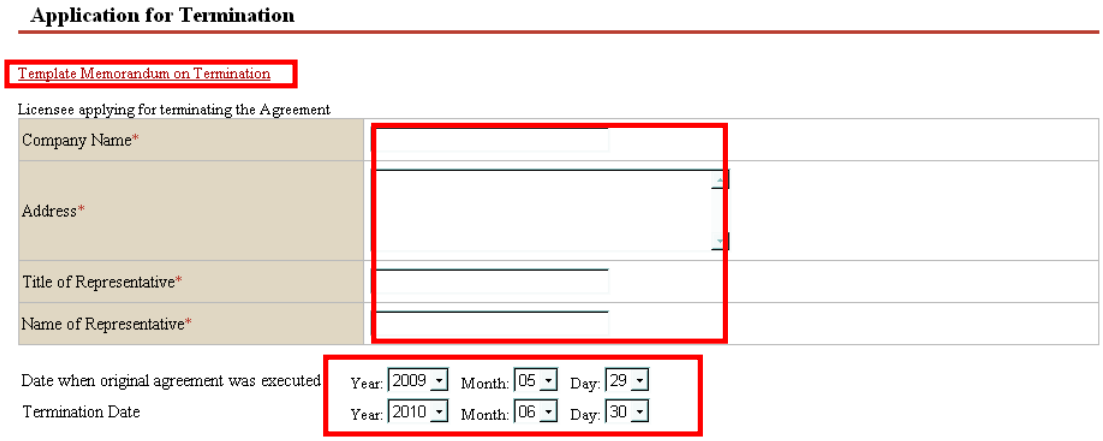
7.3 Termination of IPL Agreement

Prior to termination of IPL Agreement, please register the "Date of Cease of Information Provision" for each information provision line and information vendors registered according to "7.2 Update Registration." Then apply for the termination of IPL Agreement.

(1)Follow "7.2 Update Registration" to register "Date of Cease of Information Provision" for each information provision line and information vendors registered in "4. Acquisition of Information."

(2)When TSE approved the above update of application, click "Go to Termination of Application page" and view the termination page.

(3) Confirm the Template Memorandum on Termination and enter the information required. (Please leave the "Notes" blank, unless TSE advises to do otherwise.)



[Licensee applying for terminating the Agreement]

The information entered here is the base for Memorandum of Termination.

[Date when original agreement was executed]

Please enter the date when original IPL Agreement was executed.

[Termination Date]

Please enter the termination date of IPL Agreement.

TSE will prepare the hardcopy of Memorandum of Termination based on the information entered.

(4) Click "Apply for termination" and send the application for termination to TSE.

I hereby confirm the following (1) and (2) and apply for terminating Information Provision and License Agreement to the Tokyo Stock Exchange, Inc.
(1) I am the Representative written above or the person who is authorized to submit this application from the Representative;
(2) I agree with the terms and conditions of above Template Memorandum on Termination.

Apply for termination | Cancel

- By clicking the "Apply for termination", official application will be sent to TSE.
- TSE will handle the application after you have sent it. Application cannot be modified while TSE is processing

(5) Termination application completed page will be shown. TSE will handle the application, please wait for message from TSE. (It will take about 2 week)

Application completed

Your termination application has been successfully submitted to TSE.

Go to Top Menu

(6) When TSE approves the application, TSE will send you confirmation message to "Message from TSE" (see "10.2 Message from TSE"). (E-mail will be sent to Master ID User to notify the arrival of message from TSE.)

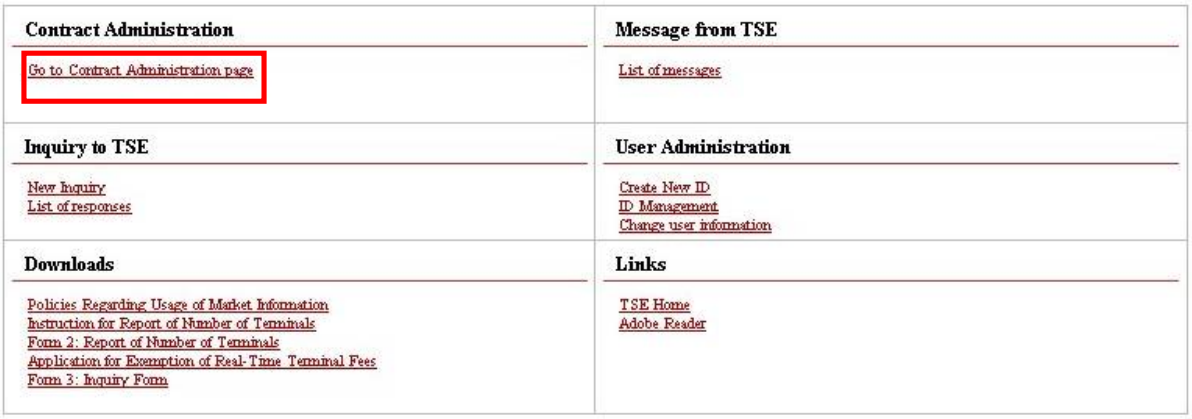
(7) Based on the information entered in (3), TSE will prepare 2 hardcopies of the Memorandum of Termination and send them to Contract Administrator via mail. Please sign both of them and send 1 copy back to TSE. The procedures for Termination of IPL Agreement have completed.

7.4 Information Distribution to Licensed End-User

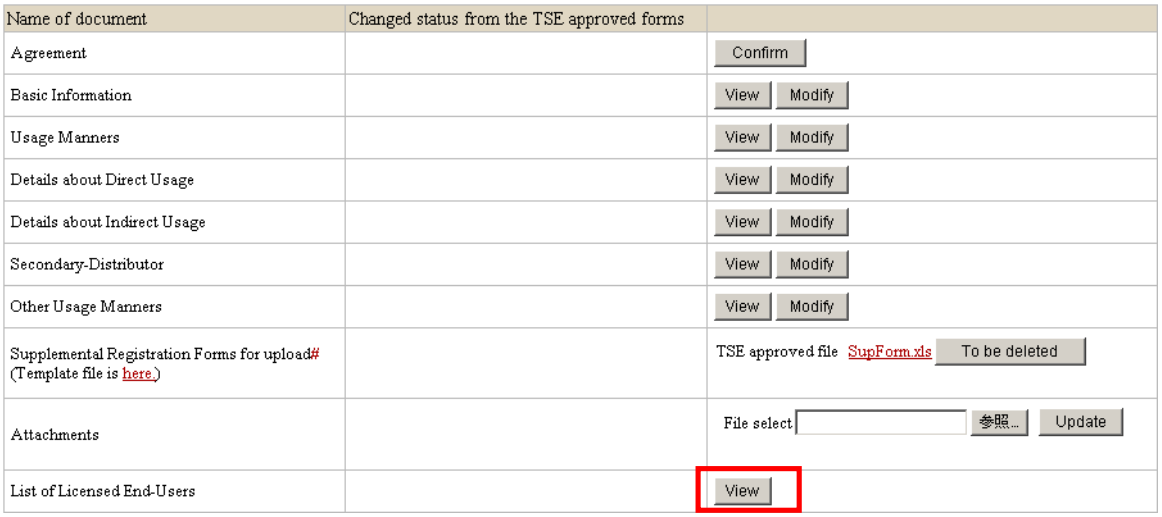
When your company is distributing information to Licensed End-User, you can see the list of the end-users in "List of Licensed End-User" in "Contract Administration." When starting the distribution of TSE market information to end-user, please confirm by this List whether the end-users have completed the procedures with TSE.
(Please refer to 3.1.4 of Policies Regarding Usage of Market Information and page 58 of this Manual for detail of Information distribution to Licensed End-User.)

TSE will send a message to "Message from TSE" of MICS (refer to "10.2 Message from TSE"), when agreement of Licensed End-User has been modified. (e.g. Newly applied, modification of Forms, etc.) Notification E-mail for arrival of the message will be sent to the address registered for Master ID.

(1)Click "Go to Contract Administration" and go to contract administration page.



(2) Click '"View" of "List of Licensed End-Users" row.



Name of document	Changed status from the TSE approved forms	
Agreement		Confirm
Basic Information		View Modify
Usage Manners		View Modify
Details about Direct Usage		View Modify
Details about Indirect Usage		View Modify
Secondary-Distributor		View Modify
Other Usage Manners		View Modify
Supplemental Registration Forms for upload# (Template file is here.)		TSE approved file SupForm.xls To be deleted
Attachments		File select 参照... Update
List of Licensed End-Users		View

(3)The list of End-Users who receive information from your company will appear.

List of Licensed End-Users

1 - 2/out of 2 Top Page Previous Page [1] Next Page Last Page

Name of Licensed End-User	Category*1	Reporting Method for Non-Display Terminals	Provision of Full-Depth Information	Information Provision Cease of Information Provision	Notes
End User 2	Entitlement TSE Co-Lo Equiv. to DU	Normal	No	2009/05/15 --/--/--	
End User 1	Entitlement	Normal	No	2009/05/15 --/--/--	

*1 "Category"

- "Entitlement": When Service Provider does not technically control the total number of the End-User's access rights to Real-Time Information
- "TSE Co-Lo": When the End-User receives Real-Time Information in the TSE Co-Location Service Facility
- "Equiv. to DU": When TSE deems that the End-User receives TSE data in a manner equivalent to direct connection to TSE system

Back

(If there is no Licensed End-User approved by TSE, the screen will be as below.)

List of Licensed End-Users

There is no data to be displayed.

Back

Go to Top Menu

8 Instruction on filling out Registration Forms (For IPL Agreement)

8.1 Contents of the Registration Forms

Basic Information Usage Manner	**Mandatory:** Used for registering your basic information

The following forms are **optional**. You need to fill out only when your cases apply.

Details about Direct Usage	Required when you directly connect to TSE system.
Detail about Indirect Usage	Required when you receive information from information vendors or MAINS Connection Provider.
Secondary -Distributor	Required when **your clients** externally distribute TSE data.
Other Usage Manner	Off-Exchange Transaction Required when you use TSE data for off-exchange transaction. Index Information Required when you calculate/publish **your own** indices using TSE data.

The following forms are forms for upload when you need to submit them.

Affiliated Company	Required when you wish to provide your services through your affiliated companies.
Service Facilitators	Required when you relegate your system operations etc. to the third party.
Registered Financial Instrument Intermediary Service Provider	**Relevant only to Japanese brokerage house.** (Japanese brokerage houses can distribute TSE data through them by submitting this.)
TOPIX Information Distributor	Required when **your clients** externally distribute TOPIX Information. (NOT required when you distribute TOPIX Information but your clients don't)
Registered Newspaper	Required when **your clients** use TSE data to create stock price table on newspaper.
Details about Information Usage for Off-Exchange Transaction	Required when you checked "Off-Exchange Transaction" in the above "Other Usage Manner".
Details about Index Information	Required when you checked "Index Information" in the above "Other Usage Manner".
iNAV Information Distributor	Required when **your clients** externally distribute iNAV Information. (NOT required when you distribute iNAV Information but your clients don't)

8.2 Basic Information

"1. Licensee Information" "2. Contact Information" "3. Billing Address"

Please enter information for each category.*is required. For "Status of Licensee", please select "General Trading Participant" if you are a trading participant of TSE. If not, please select "General."

Registration Form (Basic Information)

1. Licensee Information

Company Japanese Name*	
Company Name*	
Title of Representative*	
Name of Representative*	
Status of Licensee*	○ General ● General Trading Participant

* is required item

2. Contact Information

Company Name*	
ZIP Code	
Address*	
Department	
Contact Person*	
Tel*	
FAX	
E-mail*	

* is required item

3. Billing address (Please fill when different from "2.Contact information")

Company Name	
ZIP Code	
Address	
Department	
Contact Person	
Tel	
FAX	
E-mail	

Contact person's name will not appear on the invoice

- Please be aware that E-mail from MICS will not be sent to the E-mail address entered in 2. Contact information. (E-mail from MICS will be sent to the address registered in Master ID information in “Change user information”.(see “9.1 Types of IDs”)
- Please enter “Billing address” only when it is different from “Contact Information”.

"4. Information to be Licensed"

Please check all the information you will use.

If you select Information not checked in this form in other Forms, alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.

4. Information to be Licensed

(1) Types of Information

Cash/Derivatives Products

		Cash	Derivatives
Real-Time Information	Full-Depth	☐	☐
	BBO8	☐	
	BBO5	☐	
	Best Bid/Ask prices during the trading sessions	☐	
	Last Sale Prices/ Special Quotations / Continuous Execution Quotes	☐	
Delayed Information	Delayed Details Information	☐	☐
	Delayed Last Sales Information	☐	☐
Closing Information	Closing Information	☐	☐
Base Price of Daily Price Limit Information		☐	
To STNet Information Only		☐	

TSE Index Information

	TOPIX Information	Other TSE Index Information	High-Speed Indices
Less than 20 minutes after distribution from TSE	☐	☐	☐
Delayed 20 minutes or more after distribution from TSE	☐	☐	

[(1) Type of Information]

Please select all the information you will use.

- "Cash" are information of equity and convertibles. "Derivative Products" are information of futures and options.
- "TOPIX Information" includes information of TOPIX, TOPIX Core30, TOPIX Large70, TOPIX 100, TOPIX Mid400, TOPIX 500, TOPIX Small, and TOPIX 1000. If you use other TSE indices, please choose "Other TSE Index Information." If you use "High Speed Index Service", please check "High Speed Indices".
- For license of real-time information of BBO5 for Cash, please check as follows:

Only last traded price	BBO5	☐
	Best Bid/Ask prices during the trading sessions	☐
	Last Sale Prices/ Special Quotations / Continuous Execution Quotes	☑
Last traded price and best bid/ask prices	BBO5	☐
	Best Bid/Ask prices during the trading sessions	☑
	Last Sale Prices/ Special Quotations / Continuous Execution Quotes	☑
Above information plus other quotes	BBO5	☑
	Best Bid/Ask prices during the trading sessions	☑
	Last Sale Prices/ Special Quotations / Continuous Execution Quotes	☑

- Please be aware that quote information is not included in Delayed Last Sales Information.
- Please do not forget to check Base Price of Daily Limit Price to receive Base Price of Daily Limit Price information.

[(2) Restrictions about Real-Time Information]

Please check when externally distributing only your Originally Created Works, but not TSE data.

[(3) Exchanges]

Please select the exchanges whose information you will use.

"5. Acquisition of Information"

- Please fill in whether you are directly connecting to receive the information (Direct Usage) or receiving the information from information vendor (Indirect Usage), and the information you are going to receive. (If both are applicable, please fill in both.)
- Please refer to Policies Regarding Usage of Market Information "5 Acquisition of Information".

Please select at least one of either Direct Usage or Indirect Usage. (If you select neither one, alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.)

5. Acquisition of Information

☐ **Direct Usage (If applicable, please also fill in "Details about Direct Usage")**

	Systems to be connected	Number of Lines or ITMs	Connection at TSE Co-Location Facility
☐	FLEX Full		○ No ○ Yes
☐	FLEX Standard		○ No ○ Yes
☐	FLEX Light		○ No ○ Yes
☐	Tdex+API		○ No ○ Yes

[Direct Usage]

- When directly connecting to TSE, please select "Direct Usage" and enter "Systems to be connected," "Number of Lines (number of ITMs for connecting to Tdex+API)" of the system selected. (Number is 2 usually, including the lines for backup)
- If you receive the information from the system selected in TSE Co-Location Facility, select "Yes", for "Connection at TSE Co-Location Facility".

If you do not input Form "Details about Direct Usage" when you select Direct Usage here, alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.

☑ **Indirect Usage (If applicable, please also fill in "Details about Indirect Usage")**

(Information to be received indirectly)

Cash/Derivatives Products

		Cash	Derivatives
Real-Time Information	Full-Depth	☐	☐
	BBO8	☐	
	BBO5	☐	
	Best Bid/Ask prices during the trading sessions	☐	
	Last Sale Prices/ Special Quotations / Continuous Execution Quotes	☐	
Delayed Information	Delayed Details Information	☐	☐
	Delayed Last Sales Information	☐	☐
Closing Information	Closing Information	☐	☐
Base Price of Daily Price Limit Information		☐	

TSE Index Information

	TOPIX Information	Other TSE Index Information
Less than 20 minutes after distribution from TSE	☐	☐
Delayed 20 minutes or more after distribution from TSE	☐	☐

[Indirect Usage]

- When receiving information from information vendors or MAINS Service Provider, please select "Indirect Usage" and enter all the Information received indirectly.
- Please enter the details of the information vendors in "Details about Indirect Usage".

- If you select no "Information to be received indirectly" when selecting Indirect Usage here, alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.
- If information not selected in "4. Information to be Licensed" of "Registration Form (Basic Information)" is checked, alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.
- If you do not input Form "Details about Indirect Usage" when you select Indirect Usage here, alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.

"6. Notes"

- Please leave it blank unless instructed otherwise by TSE.

6. Notes

Buttons

"Save" (Administrator ID only)	Save the information entered and go back to Contract Administration page.
"Reload" (Administrator ID only)	Cancel the working modification, and show the below content. ● When you have saved information: The saved form ● When you do not have saved information: The latest form approved by TSE.
"Cancel"	Go back to Contract Administration page without saving modified information.

8.3 Usage Manner

Please make sure to confirm if your services comply with all the required items in 6.1 of Policies Regarding Usage of Market Information. Specifically, please make sure your contract with your clients cover all the matters required by the Policy.

【Examples of usages and applied Usage Manner】

Directly receiving data from TSE and use the information for dealing	→	Internal Usage
Indirectly receiving data through information vendors and use it for dealing	→	This is regarded as a terminal of the information vendor. Please report the number of terminals to your information vendor. However, you may need to enter into End-User Agreement, please refer to 3.1.4 of Policies Regarding Usage of Market Information for details.
Developing internal monitoring system to support your external distribution service	→	You do not need to check "Internal Usage." Please just check applicable external distribution service. (Please report the number of terminals using real-time data for this purpose separately as those for "system operation.")
Only your clients who have a contractual relationship with you can see the information under ID/Password protected environment	→	Subscription-Based Terminal Service
Anyone can see the information without executing particular contract with you: • Viewers can choose the information to be displayed, such as internet service • Viewers cannot choose the information to be displayed, such as large news displays at the counter	 → →	 Open-Access Terminal Service Stock Price Board Service
Providing raw data to your clients without displaying functions	→	Data Feed for End-User

(1) Internal Usage

- Please select all the information received directly from TSE and used internally.
- If applicable, check "Internal Usage" and check all the information internally.

Usage Manner	Information to be used
☐ Internal Usage	

Cash/Derivatives Products

		Cash	Derivatives
Real-Time Information	Full-Depth	☐	☐
	BBO8	☐	
	BBO5	☐	
	Best Bid/Ask prices during the trading session	☐	
	Last Sale Price / Special Quotation / Continuous Execution Quote	☐	
Delayed Information	Delayed Details Information	☐	☐
	Delayed Last Sales Information	☐	☐
Closing Information	Closing Information	☐	☐
Base Price of Daily Price Limit Information		☐	

TSE Index Information

	TOPIX Information	Other TSE Index Information
Less than 20 minutes after distribution from TSE	☐	☐
Delayed 20 minutes or more after distribution from TSE	☐	☐

- If information not selected in "4. Information to be Licensed" of "Registration Form (Basic Information)" is checked, alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.
- Please be aware that if "Internal Usage" check is canceled, the selected information will all be canceled.

(2) Usage Manner Involving Distribution to End-User

[Information to be used in "Usage Manner Involving Distribution to End-User"]

For Information to be used in "Usage Manner Involving Distribution to End-User", please select all the information to be used in manner of "Subscription-Based Terminal" to "Information Provision with Printed Medium".

Information to be used in "Usage Manner Involving Distribution to End-User"

Cash/Derivatives Products

		Cash	Derivatives
Real-Time Information	Full-Depth	☐	☐
	BBO8	☐	
	BBO5	☐	
	Best Bid/Ask prices during the trading session	☐	
	Last Sale Price / Special Quotation / Continuous Execution Quote	☐	
Delayed Information	Delayed Details Information	☐	☐
	Delayed Last Sales Information	☐	☐
Closing Information	Closing Information	☐	☐
Base Price of Daily Price Limit Information		☐	

TSE Index Information

	TOPIX Information	Other TSE Index Information
Less than 20 minutes after distribution from TSE	☐	☐
Delayed 20 minutes or more after distribution from TSE	☐	☐

☐ Provide Information to Licensed End-Users who need direct agreement with TSE

- If information not selected in Registration Form (Basic Information) is checked, alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.
- When distributing information to your client in the manner which fall under any of the following (1) through (3), your client needs to enter into Licensed End-User Agreement. (Please refer to 3.1.4 of Policies Regarding Usage of Market Information.) Please do not forget to check "Provide Information to Licensed End-Users who need direct agreement with TSE" in this form. (Otherwise, your client cannot make necessary procedures.)
  (1) You do not technologically control the total number of the End-User's access rights to Real-Time Information.
  (2) Your client receives Real-Time Information in TSE Co-Location Facility.
  (3) Your client receives the Real-Time Information in a manner equivalent to direct connection to TSE system(e.g. in the case that the End-User receives Real-Time Information with TSE proprietary message format.)

[Subscription-Based Terminal Service]

When distributing information in manner of "Subscription-Based Terminal" (see 6.3.1 of Policies Regarding Usage of Market Information), check "Subscription-Based Terminal Service" and select all the information used in the service.

☐ Subscription-Based Terminal Service

Cash/Derivatives Products

		Cash	Derivatives
Real-Time Information	Full-Depth	☐	☐
	BBO8	☐	
	BBO5	☐	
	Best Bid/Ask prices during the trading session	☐	
	Last Sale Price/ Special Quotation / Continuous Execution Quote	☐	
Delayed Information	Delayed Details Information	☐	☐
	Delayed Last Sales Information	☐	☐
Closing Information	Closing Information	☐	☐
Base Price of Daily Price Limit Information		☐	

TSE Index Information

	TOPIX Information	Other TSE Index Information
Less than 20 minutes after distribution from TSE	☐	☐
Delayed 20 minutes or more after distribution from TSE	☐	☐

☐ Snap-Shot Information Display Terminal (for Real-Time Information)

- If information not selected in "4. Information to be Licensed" of "Registration Form (Basic Information)" is checked, alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.
- Please be aware that if checked "Subscription-Based Terminal Service" is canceled, the information checked will all be canceled.
- Please select "Snap-Shot Information Display Terminal" when a terminal which displays real-time information but updates with more than 15 minutes interval is used. (Please refer to 7.2.3 of the Policy.)
- If you select "Snap-Shot Information Display Terminal" without selecting Real-Time Information in"4. Information to be Licensed" of "Registration Form (Basic Information)," alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.

[Open-Access Terminal Service]

When distributing information in manner of "Open-Access Terminal Service" (see 6.3.2 of Policies Regarding Usage of Market Information), check "Open-Access Terminal Service" and select all the information used in the service.

☐ Open-Access Terminal Service

Cash/Derivatives Products

		Cash	Derivatives
Delayed Information	Delayed Details Information	☐	☐
	Delayed Last Sales Information	☐	☐
Closing Information	Closing Information	☐	☐
Base Price of Daily Price Limit Information		☐	

TSE Index Information

	TOPIX Information	Other TSE Index Information
Less than 20 minutes after distribution from TSE	☐	☐
20 minutes or more delayed after distribution from TSE	☐	☐

Registration website

URL :
URL :
URL :
URL :
URL :
URL :
URL :
URL :
URL :
URL :

- If information not selected in "4. Information to be Licensed" of "Registration Form (Basic Information)" is checked, alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.
- Please be aware that if checked "Open-Access Terminal Service" is canceled, the entered Information and URLs will be cleared.
- For URL of "Registration Website" of Open-Access Terminal Service, please fill in all the URLs at which TSE data is actually displayed. (If TSE data is not displayed at the top page of URL of your company, do not fill in this top URL.)
- Real-Time Information shall not be provided by "Open-Access Terminal Service".
- If you select "Snap-Shot Information Display Terminal" without selecting Real-Time Information in"4. Information to be Licensed" of "Registration Form (Basic Information)," alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.

[Data Feed for End-User]

When distributing information in manner of "Data Feed for End-User" (see 6.3.3 of Policies Regarding Usage of Market Information), check "Data Feed for End-User" and select all the information used in the service.

☐ Data Feed for End-User

Cash/Derivatives Products

		Cash	Derivatives
Real-Time Information	Full-Depth	☐	☐
	BBO8	☐	
	BBO5	☐	
	Best Bid/Ask prices during the trading session	☐	
	Last Sale Price/ Special Quotation / Continuous Execution Quote	☐	
Delayed Information	Delayed Details Information	☐	☐
	Delayed Last Sales Information	☐	☐
Closing Information	Closing Information	☐	☐
Base Price of Daily Price Limit Information		☐	

TSE Index Information

	TOPIX Information	Other TSE Index Information
Less than 20 minutes after distribution from TSE	☐	☐
Delayed 20 minutes or more after distribution from TSE	☐	☐

☐ Snap-Shot Information Display Terminal (for Real-Time Information)

- If information not selected in "4. Information to be Licensed" of "Registration Form (Basic Information)" is checked, alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.
- Please be aware that if checked "Data Feed for End-User" is canceled, the information checked will all be canceled.
- Please select "Snap-Shot Information Display Terminal" when a terminal which displays real-time information but updates with more than 15 minute interval is used. (Please refer to 7.2.3 of the Policy.)
- If you select "Snap-Shot Information Display Terminal" without selecting Real-Time Information in"4. Information to be Licensed" of "Registration Form (Basic Information)," alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.

[Information Provision with Data Storage Medium]

[Information Provision with Audio Voice]

When distributing information in manner of "Information Provision with Data Storage Medium" (see 6.3.4 of Policies Regarding Usage of Market Information), check "Information Provision with Data Storage Medium". When distributing information in manner of "Information Provision with Audio Voice" (see 6.3.5 of Policies Regarding Usage of Market Information), check "Information Provision with Audio Voice".

☐ Information Provision with Data Storage Medium	
☐ Information Provision with Audio Voice	

[Stock Price Board Service]

When distributing information in manner of "Stock Price Board Service" (see 6.3.6 of Policies Regarding Usage of Market Information), check "Stock Price Board Service" and select all the information used in the service.

☐ Stock Price Board Service

Cash/Derivatives Products

		Cash	Derivatives
Real-Time Information	Full-Depth	☐	☐
	BBO8	☐	
	BBO5	☐	
	Best Bid/Ask prices during the trading session	☐	
	Last Sale Price/ Special Quotation / Continuous Execution Quote	☐	
Delayed Information	Delayed Details Information	☐	☐
	Delayed Last Sales Information	☐	☐
Closing Information	Closing Information	☐	☐
Base Price of Daily Price Limit Information		☐	

TSE Index Information

	TOPIX Information	Other TSE Index Information
Less than 20 minutes after distribution from TSE	☐	☐
Delayed 20 minutes or more after distribution from TSE	☐	☐

☐ Snap-Shot Information Display Terminal (for Real-Time Information)

- If information not selected in "4. Information to be Licensed" of "Registration Form (Basic Information)" is checked, alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.
- Please be aware that if checked "Stock Price Board Service" is canceled, the information checked will all be canceled.
- Please select "Snap-Shot Information Display Terminal" when a terminal which displays real-time information but updates with more than 15 minute interval is used. (Please refer to 7.2.3 of the Policy.)
- If you select "Snap-Shot Information Display Terminal" without selecting Real-Time Information in"4. Information to be Licensed" of "Registration Form (Basic Information)," alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.

[TV Broadcasting]

When distributing information in manner of "TV Broadcasting" (see 6.3.7 of Policies Regarding Usage of Market Information), check "TV Broadcasting" and select all the information used in the service.

☐ TV Broadcasting

Cash/Derivatives Products

		Cash	Derivatives
Real-Time Information	Full-Depth	☐	☐
	BBO8	☐	
	BBO5	☐	
	Best Bid/Ask prices during the trading session	☐	
	Last Sale Price/ Special Quotation / Continuous Execution Quote	☐	
Delayed Information	Delayed Details Information	☐	☐
	Delayed Last Sales Information	☐	☐
Closing Information	Closing Information	☐	☐
Base Price of Daily Price Limit Information		☐	

TSE Index Information

	TOPIX Information	Other TSE Index Information
Less than 20 minutes after distribution from TSE	☐	☐
Delayed 20 minutes or more after distribution from TSE	☐	☐

- If information not selected in "4. Information to be Licensed" of "Registration Form (Basic Information)" is checked, alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.
- Please be aware that if checked "TV Broadcasting" is canceled, the information checked will all be canceled.

[Radio Broadcasting]

[Information Provision with Printed Medium]

When distributing information in manner of "Radio Broadcasting" (see 6.3.8 of Policies Regarding Usage of Market Information), check "Radio Broadcasting". When distributing information in manner of "Information Provision with Printed Medium" (see 6.3.9 of Policies Regarding Usage of Market Information), check "Information Provision with Printed Medium".

☐ Radio Broadcasting	
☐ Information Provision with Printed Medium	

(3) Usage Manner Involving Distribution to Secondary-Distributor

When your clients distribute Information externally, check "Usage Manner Involving Distribution to Secondary-Distributor" and select all the information used in the service.

(3) Usage Manner Involving Distribution to Secondary-Distributor

(If applicable, please also fill in "Secondary-Distributor.")

☐ Usage Manner Involving Distribution to Secondary-Distributor

- If applicable, please also fill in Supplementary Form for "Secondary-Distributor".
- Your client cannot enter into IPL Agreement, if you have not checked "Usage Manner Involving Distribution to Secondary-Distributor"

(4) Other Usage Manner

- Please check "Information Usage for Off-Exchange Transaction" if you use TSE data for off-exchange transactions on PTS etc.
- Please check "Index Information" if you calculate/publish <u>your own</u> indices using TSE data. You do NOT need to check "Index Information" if you just use indices published by TSE, such as TOPIX.

(4) Other Usage Manners

(If applicable, please also fill in "Other Usage Manners" and submit supplemental Registration Form for upload.)

☐ Information Usage for Off-Exchange Transaction
☐ Index Information (External Distribution and Usage for Off-Exchange Transaction)

If checked either one of the Other Usage Manner, please also fill in Form "Other Usage Manners" and submit supplemental Registration Form for upload if required.

(5) Related Parties

Please check each applicable item.

(5) Related Parties

(If applicable, please also submit supplemental Registration Form for upload.)

- ☐ Affiliated Company
- ☐ Service Facilitator
- ☐ Registered Financial Instruments Intermediary Service Provider
- ☐ TOPIX Information Distributor
- ☐ iNAV Information Distributor
- ☐ Registered Newspaper

[Affiliated Company]

If you provide your service through your affiliates, please check "Affiliated Company".

- Affiliated Companies can be, in principle, approved when you provide the same service through different legal entities due to legal requirements etc. Just 50%+ ownership is not enough for approval
- Your company is solely responsible for data usage by Affiliated Companies. Please read 3.2.2 of the Policy and establish necessary administration system. Please also make sure that Affiliated Companies well understand the Policy.
- Please fill in the "Affiliated Company" sheet of "Supplemental Registration Form for upload" and upload the file. (For instruction on filling out the sheet, see page 95, for uploading file see page 29)

[Service Facilitator]

If you relegate your system operations etc. to a third party, please check "Service Facilitator"

- Information usage by the Service Facilitators is limited to the area which is necessary for operating your service. Please make sure to establish effective administration system to prevent their improper data usage, including proper contractual restriction.
- Your company is solely responsible for data usage by Service Facilitators. Please see 3.2.3 of the Policy for further details.
- Please fill in the "Service Facilitator" sheet of "Supplemental Registration Form for upload" and upload the file. (For instruction on filling out the sheet, see page 96, for uploading file see page 29)

[Registered Financial Instruments Intermediary Service Provider]

If you are a Japanese brokerage house and provide information through Financial Instruments Intermediary Service Provider, please check "Registered Financial Instruments Intermediary Service Provider". (However, please be aware that depending on the information provision scheme, TSE may not render approval.)

- This is relevant only to Japanese brokerage houses.
- Information usage by the Registered Financial Instruments Intermediary Service Provider is limited to the area which is necessary for operating financial intermediary service. Please make sure to establish effective administration system to prevent their improper data usage, including proper contractual restriction.
- For details about Registered Financial Instruments Intermediary Service Provider, please see 3.2.4 of the Policy.
- Please fill in the "Registered Financial Instruments Intermediary Service Provider" sheet of "Supplemental Registration Form for upload" and upload the file. (For instruction on filling out the sheet, see page 97, for uploading file see page 29)

[TOPIX Information Distributor]

If **your clients** externally distribute TOPIX Information, please check "TOPIX Information Distributor"(You do not need to check if you externally distribute TOPIX information but your clients do not.)

- By being registered as TOPIX Information Distributor, your clients are exempted from executing Information Provision and License Agreement with TSE. However, they may be required to execute TOPIX License Agreement. Please notify TSE staff to receive necessary documents.
- For details about TOPIX Information Distributor, please see 3.2.5 of the Policy and make necessary measure.
- Please fill in the "TOPIX Information Distributor" sheet of "Supplemental Registration Form for upload" and upload the file. (For instruction on filling out the sheet, see page 98, for uploading file see page 29)

[iNAV Information Distributor]

If **your clients** externally distribute only iNAV Information, please check "iNAV Information Distributor".

- By being registered as iNAV Information Distributor, your clients are exempted from executing Information Provision and License Agreement with TSE.
- In case of directly connecting to TSE for receiving and using only Indicative iNAV Information, please enter into "Application for direct usage of Indicative NAV" instead of "Information Provision and License Agreement".

[Registered Newspaper]

If **your clients** wish to create stock price table on the newspaper, please check "Registered Newspaper".

- "Registered Newspaper" applies only when **your clients** wish to create stock price table on the newspaper. By being registered as Registered Newspaper, your clients are exempted from executing Information Provision and License Agreement with TSE.
- For details about Registered Newspaper, please see 3.2.6 of the Policy and take necessary measure.
- Please fill in the "Registered Newspaper" sheet of "Supplemental Registration Form for upload" and upload the file. (For instruction on filling out the sheet, see page 98, for uploading file see page 29)

Buttons

"Save" (Administrator ID only)	Save the information entered and go back to Contract Administration page.
"Reload" (Administrator ID only)	Cancel the working modification, and show the below content. ● When you have saved information: The saved form ● When you do not have saved information: The latest form approved by TSE.
"Cancel"	Go back to Contract Administration page without saving modified information.

8.4 Details about Direct Usage

Please enter Circuit IDs etc. when directly connecting to TSE for market data.

If you input this Form but Direct Usage is not selected in "5. Acquisition of Information" of "Registration Form (Basic Information)," alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.

Registration Form (Details about Direct Usage)

Circuit ID	
	☐ Connection at TSE Co-Location Facility
Connected System*	☐ FLEX Full ☐ #1 Channel ☐ #2 Channel ☐ FLEX Standard ☐ #1 Channel ☐ #2 Channel ☐ FLEX Light ☐ #1 Channel ☐ #2 Channel ☐ Tdex+API Number of ITMs
Date of Connection	Year: -- Month: -- Date: --
Date of Information Provision	Year: -- Month: -- Date: -- ○ Fixed ○ Open
Date of Cease of Usage (If applicable)	Year: -- Month: -- Date: -- ○ Fixed ○ Open
Starting Date of Usage as a Backup for New Line (If applicable)	Year: -- Month: -- Date: --
Notes	
	Add Clear

* is required item

Regarding Tdex+API, please fill in items related to external distribution if you are TSE trading participant

Back to Contract Administration page

There is no data to be displayed.

Go to Top Menu

[Circuit ID]

Please fill in the router ID (TSS circuit ID) given from Tosho System Service.

[Connection at TSE Co-Location]

Please check the box if the circuit is in the TSE Co-Location facility.

[Connecting System]

Please select the system and channel to be connected with the circuit.

- As for Tdex+API, please write the number of ITMs associated with the circuit. (If each ITM is not uniquely associated with specific circuit, please write the total number of ITMs in either of circuit for Tdex+API.)
- For trading participants, please enter only the number of ITMs which is used for external distribution of Tdex+API Information.

[Date of connection]

Please write the date when the circuit is connected and starts receiving the Information.

[Date of Information Provision]

Please fill in the date when you start using data for your business after finishing developing/testing period.

- You will be charged from this date. (However, if it is more than 6 months after the Date of Connection, you will be charged from the date of 6 month after the Date of Connection.)
- If the date has not been determined, please choose "Open" or leave this field blank and submit this Form again after the date has been determined.
- As for ITM, please fill in the date when you start using the ITM, not the circuit, for your business. If several ITMs with different Date of Information Provision are associated with one circuit, please use multiple rows by such dates with the same circuit ID.

[Date of Cease of Usage]

Please fill in the date when you cease usage of the circuit.

[Starting Date of Usage as Backup for New Line]

Please fill in the date when you start using the line for backup of the new line. (Fees based on Information Provision and License Agreement associated with this line are not charged up to 6 month after the Starting Date of Usage as Backup for New Line.)

By default, it would be displayed "There is no data to be displayed" in the lower part of the page. If you push "add" button after inputting necessary items, input information would be added as a list.

[Buttons in each line]

Displayed buttons are different depending on the authority of your ID

(Administrator)

Circuit ID	Connected System	Connection Info-Provision	Cease of Usage Start as Backup	Notes	
5555	FLEX Full #1	2009/10/09 2009/12/09 Fixed	--/--/-- --/--/--		Modify Delete

(Read-Only)

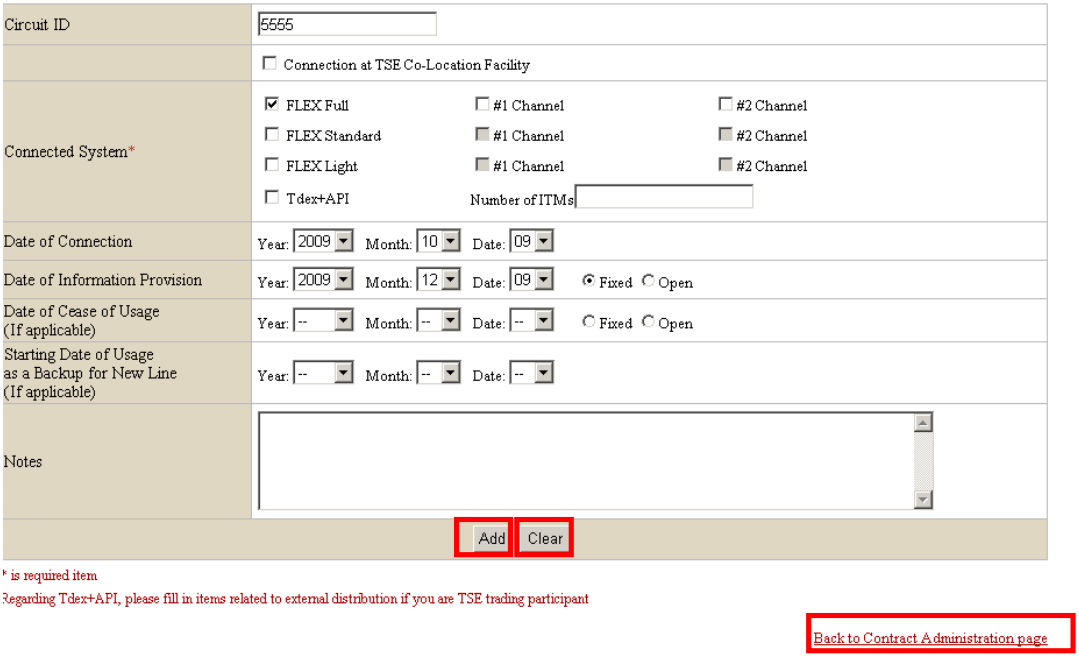
5555	FLEX Full #1	2009/10/09 2009/12/09 Fixed	--/--/-- --/--/--		Details

"Modify" (Administrator ID) "Details" (Read-Only ID)	By pushing this button, details of the line will appear on the upper part of the page.
"Delete" (This button will not appear if the line is already approved by TSE.)	By pushing this button, the line will be deleted.

[Buttons of upper part of the screen when details are displayed]

When you display details on the upper part of the screen by pushing "Modify" or "Details," buttons will change.

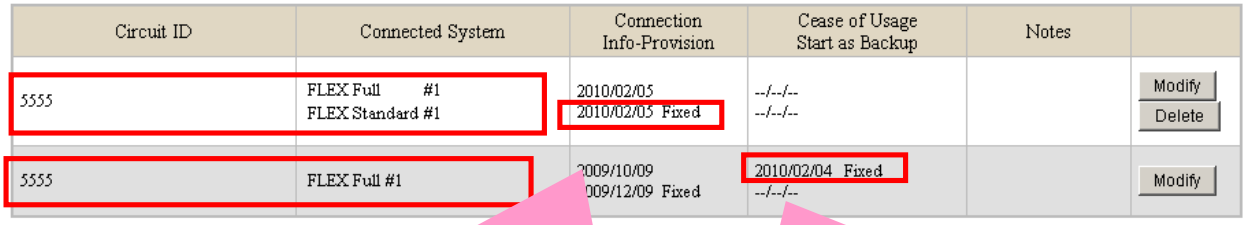
(If your ID is Read-Only, only "Clear" button will appear but it cannot be pushed.)



"Update"	By pushing this button, the details displayed with "Modify" button will be updated with the edited information.
"Clear"	By pushing this button, edited information is cleared.

When you finish inputting all the necessary information, please go back to Contract Administration page by clicking "Back to Contract Administration page."

- You cannot edit Forms when TSE is processing your application.
- As for the rows already approved by TSE, please limit your modification only to entering the date in blank box. (For other modification, please enter the Date of Cease of Information Provision in the row before modifying information and "Add" modified information with effective date of modified information as the Date of Information Provision. (as below))



In case of modifying information already approved by TSE, enter the Date of Cease of Usage to the row to be modified and add new row to fill in modified information, except for the case of filling the date into blank box.

8.5 Details about Indirect Usage

Please input this Form when you indirectly receive Information from information vendors etc. If you receive information from several information vendors etc., please input each information vendor's information separately.

If you input this Form but Indirect Usage is not selected in "5. Acquisition of Information" of "Registration Form (Basic Information)," alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.

Registration Form (Details about Indirect Usage)

Name of Licensee/ MAINS Connection Provider supplying Information*	--
Date of Test Connection	Year: -- Month: -- Date: --
Date of Information Provision	Year: -- Month: -- Date: --
Date of Cease of Information Provision (If applicable)	Year: -- Month: -- Date: --
Types of Information to be received	Cash/Derivatives Products
Notes	
	Add Clear

Cash/Derivatives Products

		Cash	Derivatives
Real-Time Information	Full-Depth	☐	☐
	BBO8	☐	
	BBO5	☐	
	Best Bid/Ask prices during the trading session	☐	
	Last Sale Price / Special Quotation / Continuous Execution Quote	☐	
Delayed Information	Delayed Details Information	☐	☐
	Delayed Last Sales Information	☐	☐
Closing Information	Closing Information	☐	☐
Base Price of Daily Price Limit Information		☐	

TSE Index Information

	TOPIX Information	Other TSE Index Information
Less than 20 minutes after distribution from TSE	☐	☐
Delayed 20 minutes or more after distribution from TSEE	☐	☐

[Name of Licensee / MAINS Connection Provider supplying information]

Please select the information vendor from the list of licensed vendors.

Only the legal entity who have License with TSE will appear in the list. If your information vendor does not appear in the list, please consult TSE staff. (Please refer to page 114 for inquiry to TSE)

[Date of Information Provision]

Please input the date when you actually start your service with TSE data provided by the information vendors etc.

You will be charged your Fee from the date entered in Date of Information Provision.

[Date of Test Connection]

When receiving Information prior to starting your service, please enter the date when you start receiving information for test connection.

[Type of Information]

Please check all the Information received from the information vendor etc.

If information not selected in "4. Information to be Licensed" of "Registration Form (Basic Information)" is checked, alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.

[Notes]

Please leave it blank unless otherwise instructed by TSE staff.

By default, it would be displayed "There is no data to be displayed" in the lower part of the page. If you push "add" button after inputting necessary items, input information would be added as a list.

[Buttons in each line]

Displayed buttons are different depending on the authority of your ID

(Administrator ID)

BcompanyE	2009/08/08 2009/10/18 --/--/--	Real-Time			Modify Delete

(Read-Only ID)

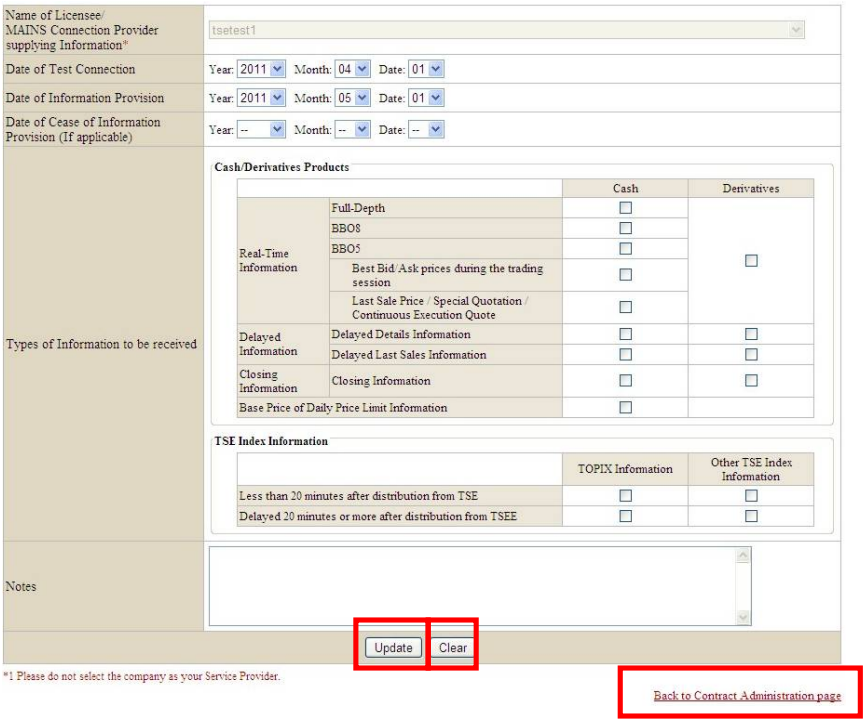
BcompanyE	2009/08/08 2009/10/18 --/--/--	Real-Time			Details

"Modify" (Administrator) "Details" (Read-Only)	By pushing this button, details of the line will appear on the upper part of the page.
"Delete" (This button will not appear if the line is already approved by TSE.)	By pushing this button, the line will be deleted.

[Buttons of upper part of the screen when details are displayed]

When you display details on the upper part of the screen by pushing "Modify" or "Details," buttons will change.

(If your ID is Read-Only, only "Clear" button will appear but it cannot be pushed.)



"Update"	By pushing this button, the details displayed with "Modify" button will be updated with the edited information.
"Clear"	By pushing this button, edited information is cleared.

When you finish inputting all the necessary information, please go back to Contract Administration page by clicking "Back to Contract Administration page."

- You cannot edit Forms when TSE is processing your application.
- As for the rows already approved by TSE, please limit your modification only to entering the date in blank box. (For other modification, please enter the Date of Cease of Information Provision in the row before modifying information and "Add" modified information with effective date of modified information as the Date of Information Provision. (as below))

Name of Licensee/ MAINS Connection Provider	Test Connection Info-Provision Cease of Info-Prov.	Types of Information (Cash/Derivatives)	Types of Information (TSE Index Information)	Notes	
BcompanyE	2009/11/27 2009/11/27 --/--/--	Real-Time Delayed			Modify Delete
BcompanyE	2009/06/07 2009/06/07 2009/11/26	Real-Time			Modify

In case of modifying information already approved by TSE, enter the Date of Cease of Usage to the row to be modified and add new row to fill in modified information, except for the case of filling the date into blank box.

8.6 Secondary-Distributor

Please input this Form when your clients externally distribute TSE data. * is required item.

If you input this Form but "(3) Usage Manner Involving Distribution to Secondary-Distributor" is not selected in Registration Form (Usage Manner), alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.

Registration Form (Secondary-Distributor)

Name of Secondary-Distributor*	
Status	☐ Licensed Sub-Vendor ☐ TSE Listed Company (for displaying own share prices)
Usage Manner	☐ Data Feed for Secondary Distributor ☐ Support Service for Secondary Distributor
Date of Information Provision*	Year: -- Month: -- Date: --
Date of Cease of Information Provision (If applicable)	Year: -- Month: -- Date: --
Notes	

Add Clear

[Name of Secondary-Distributor]

Please fill in the names of your clients externally distributing TSE data.

[Status]

Please choose the status of the client. Usually it is "Licensed Sub-Vendor." If the client is TSE listed displaying only its own share price for investor relation purpose, please choose "TSE Listed Company."

[Usage Manner]

- If you provide only raw data to the client, and the client develops its service by itself, please choose "Data Feed for Secondary-Distributor."
- If you operate a part of function of the client service, please choose "Support Service for Secondary-Distributor" and explain the function you operate in Notes. (You can say "See attachment" in Notes and attach separate material. Please upload the file as Attachments from the Contract Administration screen in this case.)

[Date of Information Provision]

Please input the date when you actually provide TSE data to the Secondary-Distributor.

[Date of Cease of Information Provision]

If applicable, please input the date when you stop providing TSE data to the Secondary-Distributor.

[Notes]

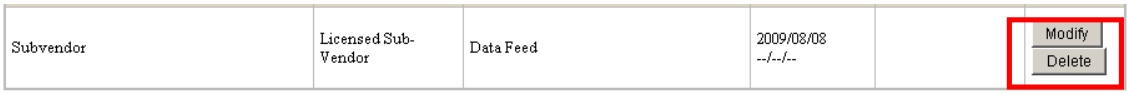
In case of "Support Service for Secondary-Distributor," please input the explanation about the function you operate. In other case, please leave it blank unless instructed otherwise by TSE.

By default, it would be displayed "There is no data to be displayed" in the lower part of the page. If you push "add" button after inputting necessary items, input information would be added as a list.

[Buttons in each line]

Displayed buttons are different depending on the authority of your ID.

(Administrator)



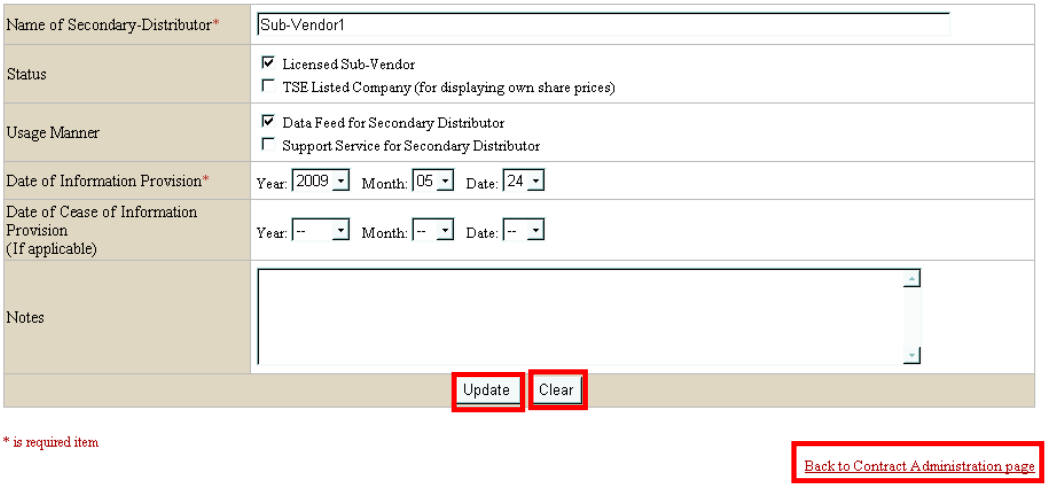
(Read-Only)

"Modify" (Administrator) "Details" (Read-Only)	By pushing this button, details of the line will appear on the upper part of the page.
"Delete" (This button will not appear if the line is already approved by TSE.)	By pushing this button, the line will be deleted.

[Buttons of upper part of the screen when details are displayed]

When you display details on the upper part of the screen by pushing "Modify" or "Details," buttons will change.

(If your ID is Read-Only, only "Clear" button will appear but it cannot be pushed.)



"Update"	By pushing this button, the details displayed with "Modify" button will be updated with the edited information.
"Clear"	By pushing this button, edited information is cleared.

When you finish inputting all the necessary information, please go back to Contract Administration page by clicking "Back to Contract Administration page."

- You cannot edit Forms when TSE is processing your application.
- As for the rows already approved by TSE, please limit your modification only to entering the date in blank box. (For other modification, please enter the Date of Cease of Information Provision in the row before modifying information and "Add" modified information with effective date of modified information as the Date of Information Provision. (as below))

Subvendor	Licensed Sub-Vendor	Support Service		2009/11/11 --/--/--		Modify Delete
Subvendor	Licensed Sub-Vendor	Data Feed		2009/05/07 2009/11/10		Modify

In case of modifying information already approved by TSE, enter the Date of Cease of Usage to the row to be modified and add new row to fill in modified information, except for the case of filling the date into blank box.

8.7 Other Usage Manners

Please input this form when you use Information for off-exchange transaction or calculating / publishing your own indices.

If you input this Form but items of "(4) Other Usage Manners" are not selected in Registration Form (Usage Manner), alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.

(1) Information Usage for Off-Exchange Transaction

Please select all the Information used in "Information Usage for Off-Exchange Transaction" (please see 6.5.1 of the Policies Regarding Usage of Market Information.)

(1)Information Usage for Off-Exchange Transaction

Cash/Derivatives Products

		Cash	Derivatives
Real-Time Information	Full-Depth	☐	☐
	BBO8	☐	
	BBO5	☐	
	Best Bid/Ask prices during the trading session	☐	
	Last Sale Price / Special Quotation / Continuous Execution Quote	☐	
Delayed Information	Delayed Details Information	☐	☐
	Delayed Last Sales Information	☐	☐
Closing Information	Closing Information	☐	☐
Base Price of Daily Price Limit Information		☐	

TSE Index Information

	TOPIX Information	Other TSE Index Information
Less than 20 minutes after distribution from TSE	☐	☐
Delayed 20 minutes or more after distribution from TSE	☐	☐

* Please also submit supplemental Registration Form for upload.

- If you do not select "Information Usage for Off-Exchange Transaction" in "(4) Other Usage Manners" of Form "Usage Manner," alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.
- You cannot edit Forms when TSE is processing your application.
- Please also upload Supplemental Registration Form after filling out the "Details about Information Usage for Off-Exchange Transaction" sheet. (Please see page 99 for instruction on how the sheet should be filled and page 29 for the instruction on how the file can be uploaded.)

(2) Index Information

Please select all the Information used when you calculate/ publish your own indices.

(2)Index Information

Cash/Derivatives Products

		Cash	Derivatives
Real-Time Information	Full-Depth	☐	☐
	BBO8	☐	
	BBO5	☐	
	Best Bid/Ask prices during the trading session	☐	
	Last Sale Price / Special Quotation / Continuous Execution Quote	☐	
Delayed Information	Delayed Details Information	☐	☐
	Delayed Last Sales Information	☐	☐
Closing Information	Closing Information	☐	☐
Base Price of Daily Price Limit Information		☐	

TSE Index Information

	TOPIX Information	Other TSE Index Information
Less than 20 minutes after distribution from TSE	☐	☐
Delayed 20 minutes or more after distribution from TSE	☐	☐

(1) The Index Information is calculated on the basis of the Closing Information of TSE.
(2) The number of TSE listed issues accounting for the composites of the Index Information is less than 1/3.
(3) The Index Information is provided only through the Services falling under "6.3 Usage Manner Involving Distribution to End-User."

- Is there Index Information to which none of the items (1) through (3) above apply?

◉ No ○ Yes

If Yes, please also submit supplemental Registration Form for upload to register the names of the Index Information.

- Do you provide Index Information to which none of the items (1) through (3) above apply as the underlying asset of a financial derivative instrument traded on a market operated by an entity (excluding TSE) who is engaged in the business of operating a Financial Instruments Market or PTS?

◉ No ○ Yes

If Yes, please input the total number of Indexes (if the Index Information is provided to multiple Financial Instruments Markets/PTSs, please count one Index per Financial Instruments Market/PTS for the number of Indexes) in the box below. Also, please submit supplemental Registration Form for upload to register the names of the Index Information and Financial Instruments Markets/PTSs.

Number of Index Information applicable to Index Information Usage for Off-Exchange Transaction

[ ] indices

- If you do not select "Index Information (External Distribution and Usage for Off-Exchange Transaction)" in "(4) Other Usage Manners" of Form "Usage Manner," alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.
- You cannot edit Forms when TSE is processing your application.
- Please also upload supplemental Registration Form after filling out the "Details about Index Information" sheet when there is an index which falls under none of the criteria (1) through (3). (Please see page 100 for instruction on how the sheet should be filled and page 29 for the instruction on how the file can be uploaded.)
- When there is an index which falls under any one of the criteria (1) through (3) and the index is provided as underlying asset of derivative product of other exchanges etc, please select "Yes" for the second question and input the number of such indices. (if the index is listed on several exchanges, please count the number of the exchanges for the index.)Also please input necessary matters in the Supplemental Registration Form.

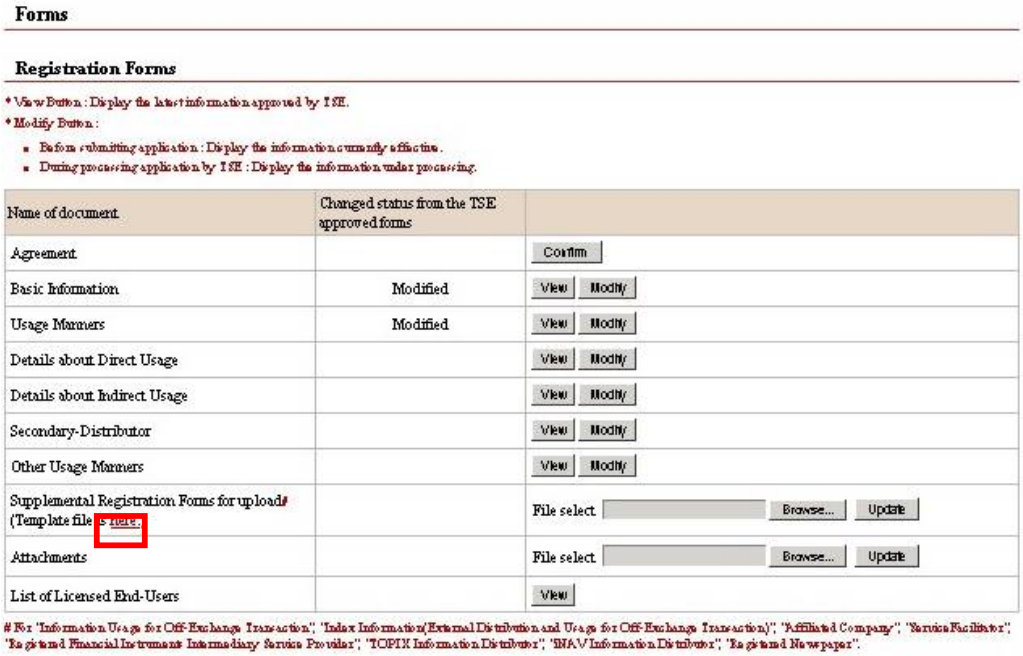
Buttons

"Save" (Administrator ID only)	Save the information entered and go back to Contract Administration page.
"Reload" (Administrator ID only)	Cancel the working modification, and show the below content. ● When you have saved information: The saved form ● When you do not have saved information: The latest form approved by TSE.
"Cancel"	Go back to Contract Administration page without saving modified information.

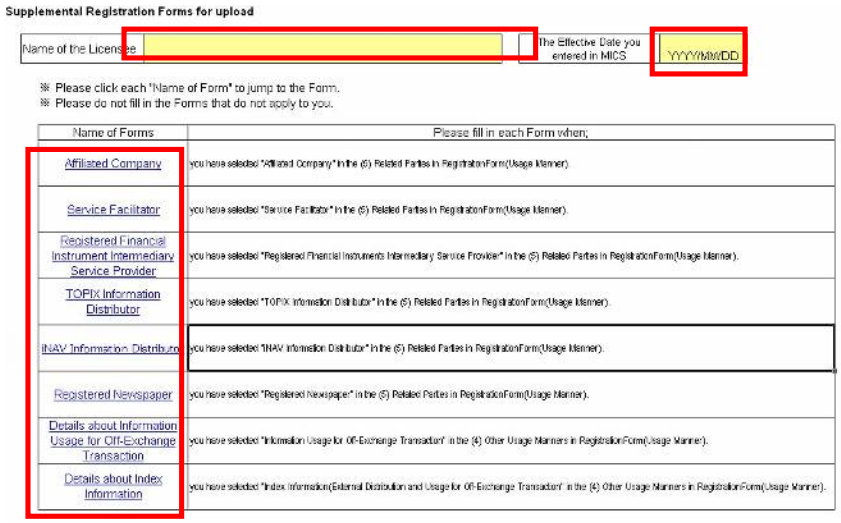
8.8 Supplemental Registration Form for Upload

You can download template file for these Forms from the Contract Administration screen. Please upload the file after inputting necessary items. (Please see page 29.)

If you upload this Form but no item in "(4) Other Usage Manners" or "(5) Related Parties" is selected in Registration Form (Usage Manner), alert message indicating that there is a contradiction between Forms will appear when you push "Apply" button.



Each Form is separated in each sheet. List of Forms sheet looks like as follows:



[Name of the Licensee]

Please write your company name.

[The Effective Date you entered in MICS]

Please input the same date as the date input in "Effective date of this application" in Contract Administration screen.

[Name of Forms]

By clicking the name of Form, you can jump to the Form.

- If there are not enough areas in each Form, you may insert areas as necessary.
- For the Form that does not apply to you, please leave it blank when you upload the file.

8.8.1 Affiliated Company

Please input this Form when "Affiliated Company" in "(5) Related Parties" of Form "Usage Manner" is selected.

Affiliated Company

Back to List of Forms

Name of the Licensee	

* Please add lines as appropriate if there are not enough ones.
* Please attach a diagram which describes ownership relationship with Licensee on the right.
(If the above cannot be done, please upload it seperately as an Attachment.)

【Diagram of the relationship of owners

Name of the company	Contact	General Description about its business

[Name of the company]

Please write the official name of your affiliated company.

Please register the companies which actually use TSE data. (If the affiliated company may use TSE data but it is not confirmed yet, please register the company later when it becomes clear that they actually uses TSE data.)

[Contact]

Please provide the detailed contact information (address, telephone, E-mail, department in charge etc.) so that TSE can directly contact this affiliated company.

[General Description about its business]

Affiliated Companies can be, in principle, approved when you provide the same service through different legal entities due to legal requirements etc. Please provide some descriptions so that TSE can confirm this point.

Please attach diagram explaining ownership relationship between your company and the affiliated companies. If it is difficult, you may provide separate file as Attachment. Please upload the file as Attachments from the Contract Administration screen in this case.

8.8.2 Service Facilitator

Please input this Form when "Service Facilitator" in "(5) Related Parties" of Form "Usage Manner" is selected.

Service Facilitator

Back to List of Forms

Name of the Licensee	

* Please add lines as appropriate if there are not enough ones.

Name of the company	
Contact	
Functions to be consigned, Description of how Information is used by Service Facilitator, Description of controls over the Service Facilitator's usage of Information, etc.	
Name of the company	

[Name of the company]

Please write the official name of the Service Facilitator. Information usage by the Service Facilitators is limited to the area which is necessary for operating your service. Please make sure to establish effective administration system to prevent their improper data usage, including proper contractual restriction. (For details, please see 3.2.3 of Policies Regarding Usage of Market Information.

[Contact]

Please provide the detailed contact information (address, telephone, E-mail, department in charge etc.) so that TSE can directly contact this Service Facilitator.

[Functions to be consigned, Description of how Information is used by Service Facilitator, Description of controls over the Service Facilitator's usage of Information, etc.]

Please write the details of how TSE data is processed by the Service Facilitator. You can say "See attachment" here and attach separate material. Please upload the file as Attachments from the Contract Administration screen in this case.

(Example 1)
System operation of the stock price search function, which is a part of functions of XXX service, is relegated to this Service Facilitator. When clients send a query message on XXX service screen, the message is sent to the server operated by this Service Facilitator. The server accesses to our stock price database and acquires necessary information, then display the search result to our client. Our stock price database check the authenticity of the access by IP address, message format etc. and reject unauthorized access.

(Example 2)
Hardware maintenance is relegated to this Service Facilitator. Applications are not covered by the maintenance contract, and this Service Facilitator cannot access to TSE information.

8.8.3 Registered Financial Instrument Intermediary Service Provider

Please input this Form when "Registered Financial Instrument Intermediary Service Provider" in "(5) Related Parties" of Form "Usage Manner" is selected.

Registered Financial Instrument Intermediary Service Provider

Back to List of Forms

Name of the Licensee	

*If you have several descriptions for this Usage Manner, please copy this sheet in this book and use it.

Description about how Information is used

Names of Registered Financial Instruments Intermediary Service Provider whom Information is provided with the above method

Name of Registered Financial Instruments Intermediary Service Provider	Contact

[Description about how Information is used]

Please explain the details of how TSE data is provided through financial instruments intermediary service provider. Please attach screenshots of the service, system diagram etc. as necessary. You can say "See attachment" here and attach separate material. Please upload the file as Attachments from the Contract Administration screen in this case.

[Name of Registered Financial Instruments Intermediary Service Provider]

Please write the official name of the Registered Financial Instruments Intermediary Service Provider.

[Contact]

Please provide the detailed contact information (address, telephone, E-mail, department in charge etc.) so that TSE can directly contact this Financial Instruments Intermediary Service Provider.

8.8.4 TOPIX Information Distributor

Please input this Form when "TOPIX Information Distributor" in "(5) Related Parties" of Form "Usage Manner" is selected.

TOPIX Information Distributor

Back to List of Forms

Name of the Licensee	

* Please add lines as appropriate if there are not enough ones.

Name				
Contact				
TOPIX Information to be distributed	☐ TOPIX	☐ Real-Time	☐ Delayed	☐ Closing
	☐ TOPIX Core30	☐ Real-Time	☐ Delayed	☐ Closing
	☐ TOPIX Large 70	☐ Real-Time	☐ Delayed	☐ Closing
	☐ TOPIX 100	☐ Real-Time	☐ Delayed	☐ Closing
	☐ TOPIX Mid 400	☐ Real-Time	☐ Delayed	☐ Closing
	☐ TOPIX 500	☐ Real-Time	☐ Delayed	☐ Closing
	☐ TOPIX Small	☐ Real-Time	☐ Delayed	☐ Closing
	☐ TOPIX 1000	☐ Real-Time	☐ Delayed	☐ Closing
Name				

[Name]

Please write the official name of your client who externally distributes TOPIX Information.

[Contact]

Please provide the detailed contact information (address, telephone, E-mail, department in charge etc.) so that TSE can directly contact this client.

[TOPIX Information to be distributed]

Please choose all of the TOPIX Information which is externally distributed by the client.

8.8.5 iNAV Information Distributor

Please input this Form when "iNAV Information Distributor" in "(5) Related Parties" of Form "Usage Manner"is selected.

iNAV Information Distributor

Back to List of Forms

Name of the Licensee	

* Please add lines as appropriate if there are not enough ones.

Name	Contact

8.8.6 Registered Newspaper

Please input this Form when "Registered Newspaper" in "(5) Related Parties" of Form "Usage Manner" is selected.

Registered Newspaper

Back to List of Forms

Name of the Licensee	

* Please add lines as appropriate if there are not enough ones.

Name	Contact

[Name]

Please write the official name of your client who create stock price table on the newspaper.

[Contact]

Please provide the detailed contact information (address, telephone, E-mail, department in charge etc.) so that TSE can directly contact this client.

8.8.7 Details about Information Usage for Off-Exchange Transaction

Please input this Form when "Information Usage for Off-Exchange Transaction" in "(4) Other Usage Manners" of Form "Usage Manner" is selected.

Details about Information Usage for Off-Exchange Transaction

Back to List of Forms

Name of the Licensee	

*If you have several descriptions for this Usage Manner, please copy this sheet in this book and use it.
*For the Additional compliance requirements, please fill it as instructied by TSE.

Description about how Information is used

Additional compliance requirements (to be specified by TSE)

Information to be used in the above usage

[Cash/Derivatives Products]		Cash	Derivatives
Real-Time Information	Full-Depth	☐	
	BBO8	☐	
	BBO5	☐	☐
	Best Bid/Ask prices during the trading sessions	☐	
	Last Sale Prices/Special Quotations/Continuous Execution Quotes	☐	
Delayed Information	Delayed Details Information	☐	☐
	Delayed Last Sales Information	☐	☐
Closing Information	Closing Information	☐	☐
Base Price of Daily Price Limit Information		☐	

[TSE Index Information]	TOPIX Information	Other TSE Index Information
Less than 20 minutes after distribution from TSE	☐	☐
20 minutes or more delayed after distribution from TSE	☐	☐

[Description about how Information is used]

Please explain the details of your data usage. You can say "See attachment" here and attach separate material. Please upload the file as Attachments from the Contract Administration screen in this case.

[Additional compliance requirements]

Please input as specified by TSE.

[Information to be used]

Please check all the information you use for each off-exchange transaction.

8.8.8 Details about Index Information

Please input this Form if there is Index Information which falls under none of the criteria (1) through (3) of "(2) Index Information" in Form "Other Usage Manner."

Details about Index Information

Back to List of Forms

Name of the Licensee	

* Please add lines as appropriate if there are not enough ones.

Please register the names of Index Information that does not fall under any one of the followings:
(1) The Index Information is calculated on the basis of the Closing Information of TSE.
(2) The number of TSE issues accounting for the composites of the Index Information is less than 1/3.
(3) The Index Information is provided only through the Services falling under "6.3 Usage Manner Involving Distribution to End-User."

In the event that the Index Information falling under none of the items above (1) through (3) is provided as the underlying asset of financial derivative instruments to market operated by person (excluding TSE) who is engaged in the business of operating Financial Instruments Market or PTS, please check "Index Information Usage for Off-Exchange Transaction" and enter the name of exchanges/PTS.

Name of Index Information	Index Information Usage for Off-Exchange Transaction	Exchanges/PTS where the index is traded
	☐	

[Name of Index Information]

Please write the name of your indices which satisfy NONE of the criteria mentioned in (1) through (3).

[Index Information Usage for Off-Exchange Transaction]

If the index is used as underlying asset for listed derivatives, please check ☐ and write the names of financial instruments market /PTS where the derivatives are listed.

9 User Administration

9.1 Types of IDs

Master ID	• This ID is created when you register new company on this system. You can use all the functions of this system with this ID. • All the E-mail from this system is sent only to the E-mail address associated with Master ID.
Other IDs	IDs other than Master ID are classified as Other IDs. Other IDs have the following sub categories according to its authority. (1) Administrator Administrator ID can use all the functions of this system as Master ID. (2) Read-Only Read-Only ID cannot do the following: • Application for register / modify / termination of the agreement • Part of the User Administration function (create / edit / delete IDs, view the list of IDs.) • Send confirmation to the message from TSE

All the E-mail from this system is sent only to the E-mail address associated with Master ID. If multiple users need to receive E-mail from this system, please register the E-mail address of your internal mailing list as the Master ID's E-mail address to forward this system's E-mail to them.

[How to know the types of your ID]

If you are logged in with Master ID, it is displayed at the right-top of the screen.

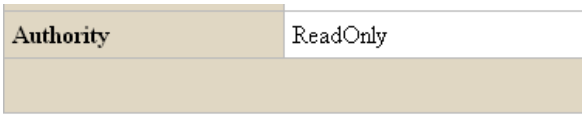
If you are logged in with Other IDs, only ID is displayed.

[How to know the authority of your ID]

You can confirm the authority of your ID at the bottom of the "Change user information" screen (see "9.2 Change user information") If it says "Administrator," your ID has an authority of Administrator.

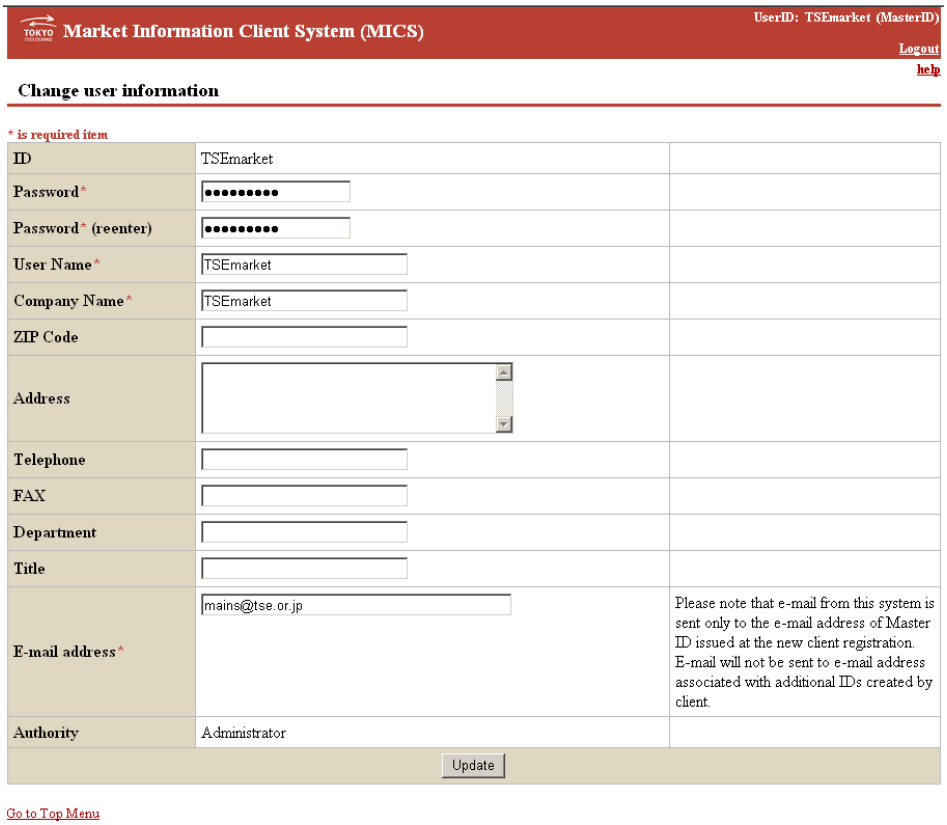
(Master ID has an authority of Administrator.)

If it says "Read-Only," your ID has an authority of Read-Only



9.2 Change user information

You can modify the information about yourself with this function.



[ID]

Current ID is displayed. You cannot change it.

[Password](required)

Password must be between 8 to 20 characters (alphabet or numbers).

Please note that the system understands capital letters and small letters as different characters.

[Password (reenter)](required)

Please reenter the above password to prevent mistyping etc.

[User Name] (required)

This area can be up to 200 characters.

[Company Name] (required)

This area can be up to 128 characters.

[ZIP Code]

This area can be up to 20 characters.

[Address]
This area can be up to 512 characters.

[Telephone]
This area can be up to 32 characters.

[FAX]
This area can be up to 32 characters.

[Department]
This area can be up to 60 characters.

[Title]
This area can be up to 60 characters.

[E-mail address]
This area can be up to 250 characters.

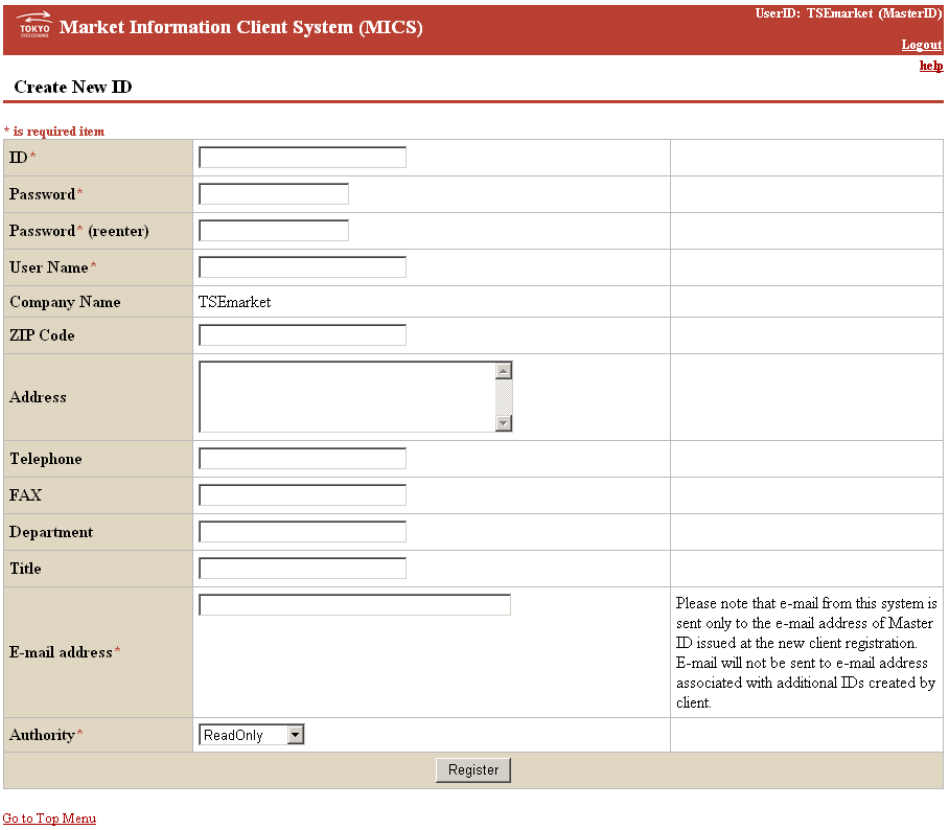
All the E-mail from this system is sent only to the E-mail address associated with Master ID. If multiple users need to receive E-mail from this system, please register the E-mail address of your internal mailing list as the Master ID's E-mail address to forward this system's E-mail to them.

[Authority]
Authority of the ID (Administrator or Read-Only) is displayed. You cannot change it.

9.3 Create New ID

You can create new IDs with this function. This function can be used with Administrator ID.

- Please create / edit / delete all the IDs under your responsibility. TSE does not administer additionally created IDs with this function.
- Please contact your administrator if you have inquiries about additionally created IDs (e.g. resetting password). TSE cannot answer to your inquiries about the additionally created IDs.



[ID]

ID must be between 8 to 32 characters (alphabet or numbers).

[Password](required)

Password must be between 8 to 20 characters (alphabet or numbers).

Please note that the system understands capital letters and small letters as different characters.

[Password (reenter)](required)

Please reenter the above password to prevent mistyping etc.

[User Name] (required)

This area can be up to 200 characters.

[Company Name] (required)
Company name associated with Master ID is displayed. You cannot modify this area.

[ZIP Code]
This area can be up to 20 characters.

[Address]
This area can be up to 512 characters.

[Telephone]
This area can be up to 32 characters.

[FAX]
This area can be up to 32 characters.

[Department]
This area can be up to 60 characters.

[Title]
This area can be up to 60 characters.

[E-mail address]
This area can be up to 250 characters.

All the E-mail from this system is sent only to the E-mail address associated with Master ID. If multiple users need to receive E-mail from this system, please register the E-mail address of your internal mailing list as the Master ID's E-mail address to forward this system's E-mail to them.

[Authority]
Please select the authority of the ID. Please select either "Administrator" or "Read-Only."

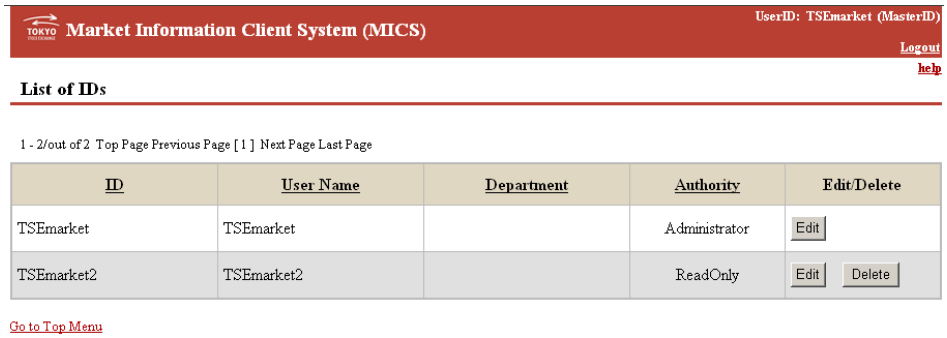
[Register button]
New ID is created with the entered information.

9.4 ID Management

This function is for managing additionally issued IDs. Users with Administrator ID can use this function.

9.4.1 List of IDs

You can view the list of IDs on this screen.



[Edit button]

Screen for editing the ID will appear.

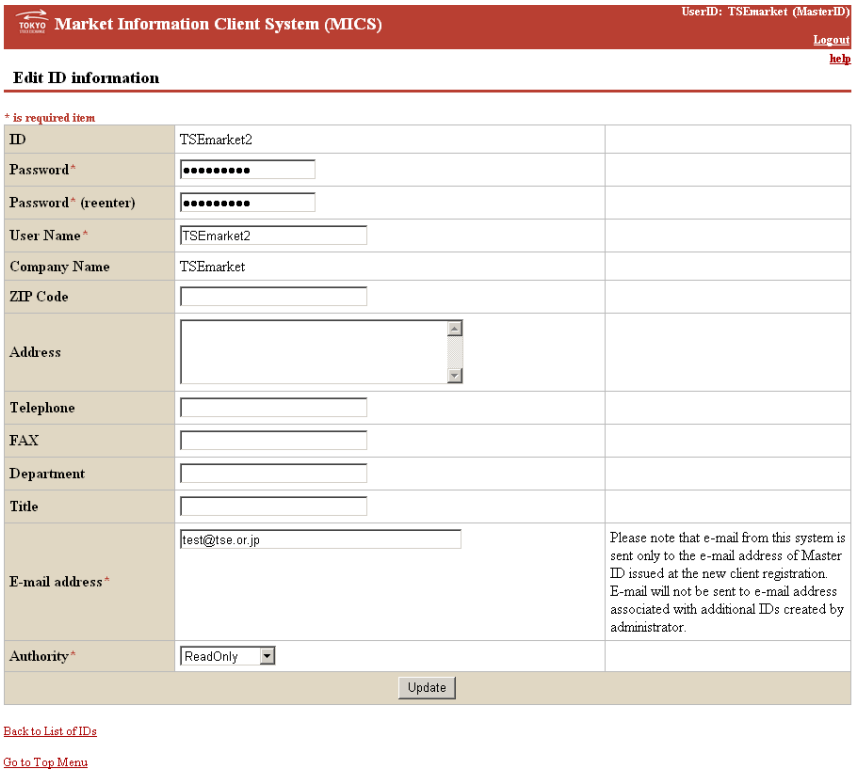
[Delete button]

You can delete the ID. The following dialog will appear by pushing this button. If you push "OK," the ID will be deleted.

You cannot delete Master ID.

9.4.2 Edit ID Information

You can edit the information associated with the selected ID on the list of IDs.



[ID]

ID to be edited is displayed. You cannot modify this area.

[Password](required)

Password must be between 8 to 20 characters (alphabet or numbers).

- Please note that the system understands capital letters and small letters as different characters.
- For administrator, please set new password by this function if your internal staff member forgets his/her password.

[Password (reenter)](required)

Please reenter the above password to prevent mistyping etc.

[User Name] (required)

This area can be up to 200 characters.

[Company Name] (required)

Company name associated with Master ID is displayed. You cannot modify this area.

[ZIP Code]

This area can be up to 20 characters.

[Address]
This area can be up to 512 characters.

[Telephone]
This area can be up to 32 characters.

[FAX]
This area can be up to 32 characters.

[Department]
This area can be up to 60 characters.

[Title]
This area can be up to 60 characters.

[E-mail address]
This area can be up to 250 characters.

All the E-mail from this system is sent only to the E-mail address associated with Master ID. If multiple users need to receive E-mail from this system, please register the E-mail address of your internal mailing list as the Master ID's E-mail address to forward this system's E-mail to them.

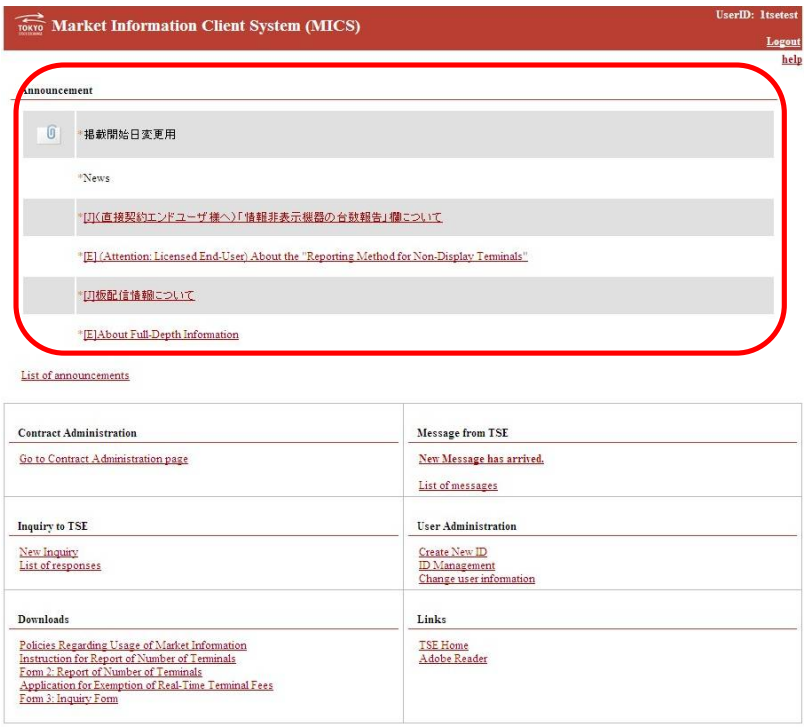
[Authority]
Please select the authority of the ID. Please select either "Administrator" or "Read-Only."

[Update button]
The ID is updated with the entered information.

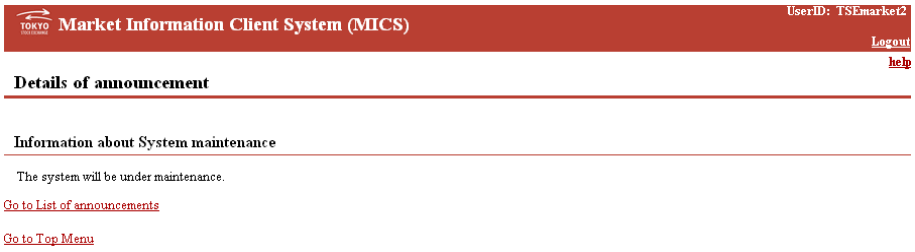
10 Other Functions

10.1 Announcement

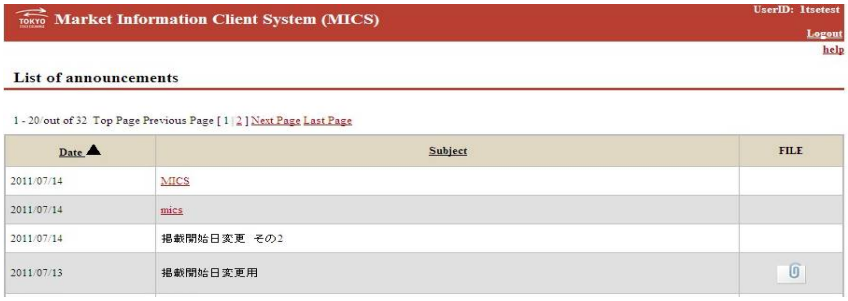
It displays announcements from TSE.



Announcements from TSE will be displayed on Top Menu. There will be icons to the left of the subject if there are attachments. By clicking on the icon attachments will be displayed. When there are details about the announcement, it is displayed with link. By clicking the link, you can view the details about the announcement.



You can view the list of announcements by clicking "List of announcements."

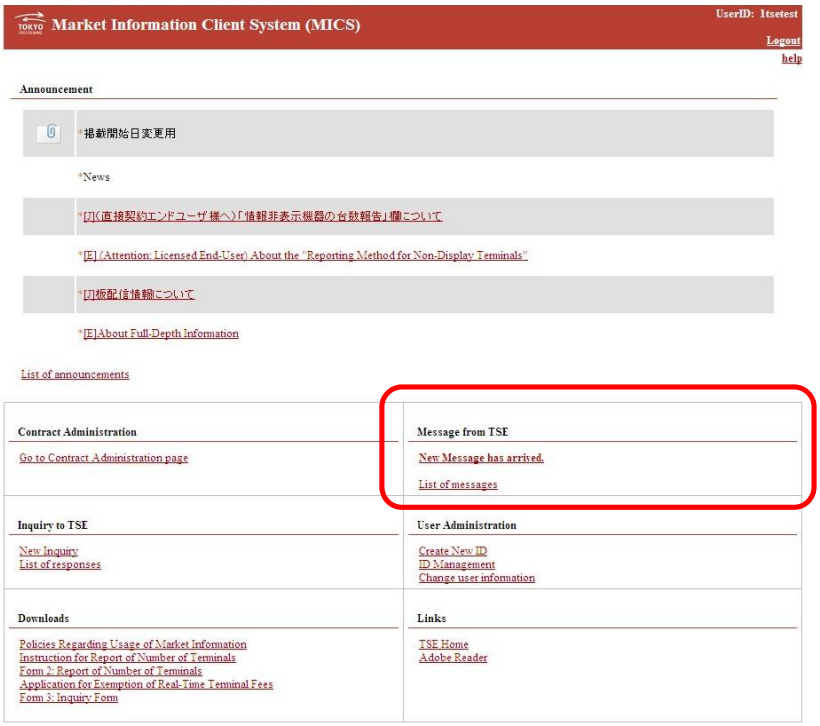


You can view the past announcements on the list of announcements.
When there are details about the announcement, it is displayed with link. By clicking the link, you can view the details about the announcement.

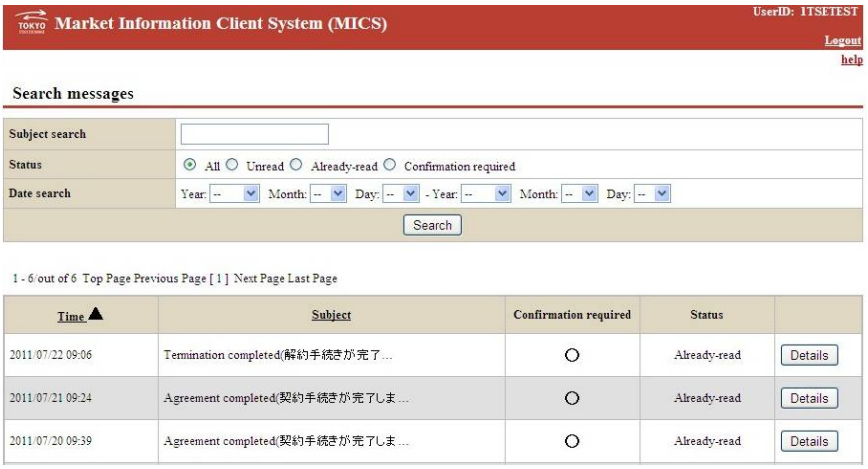
10.2 Message from TSE

You can view the messages from TSE such as the notification of application process and inquiry from TSE for your application.

When TSE sends a message, E-mail will be sent to the E-mail address associated with your Master ID. Since the E-mail does not contain the message itself, please confirm TSE's message by using this function.



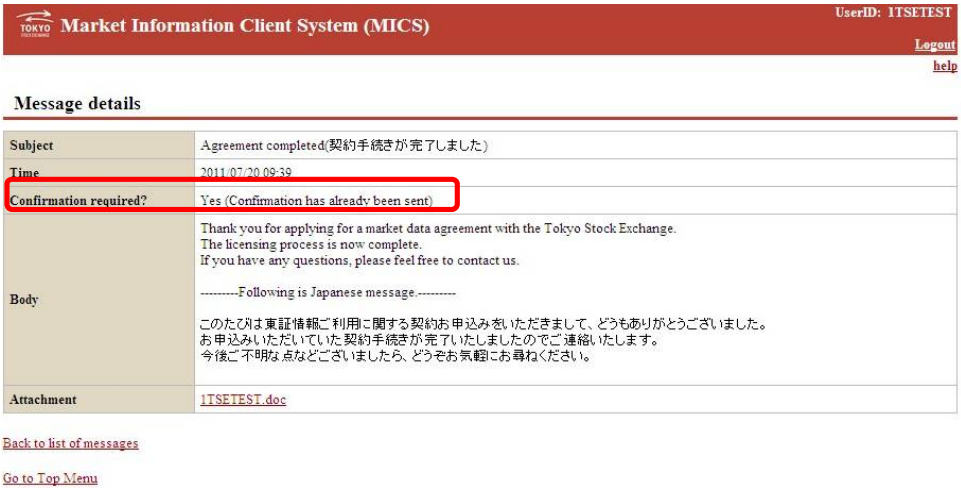
"New Message has arrived" will be displayed on the Top Menu when there is a message that the user with Master ID has not read (or has not sent confirmation when it is required). By clicking it, a list of unread messages will appear.



You can see the details of the message by clicking "Details" button.
When you have logged in with the Master ID and the message requests your confirmation, "Send confirmation" button will appear.

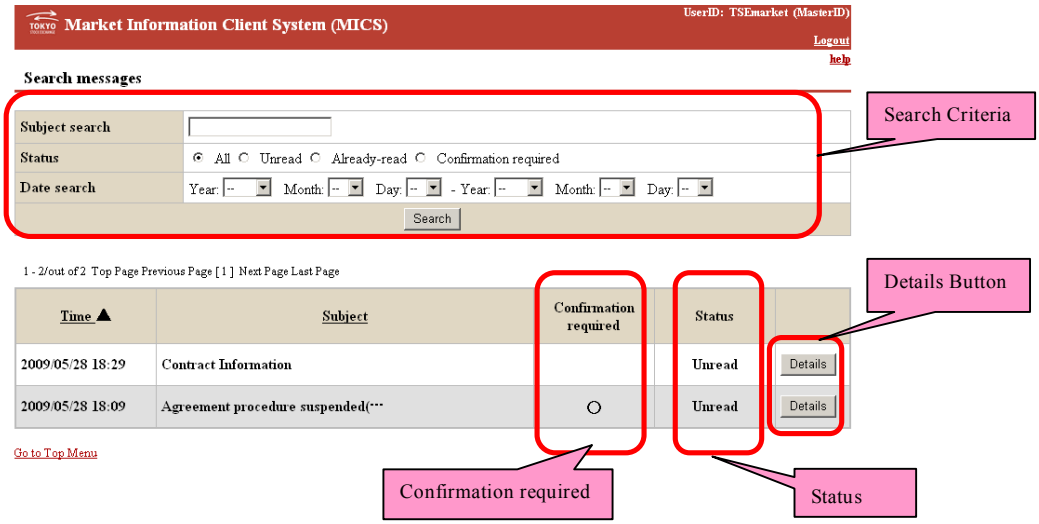
By hitting "Send confirmation" button, you can send a notification to TSE that you have read the message.

If you have logged in with other IDs, "Send confirmation" button will not appear.



When the message does not request your confirmation, it is displayed as below. In this case, it will be displayed in the same way regardless of your type of ID.

By clicking "List of Messages" on Top Menu, you can view the list of messages you have received.



You can view the past messages you have received. Also you can search by Subject, Status and received date.

[Details button]

You can view the details by pushing this button.

[Confirmation required]

"o" will appear when the message requests your confirmation. If the message does not request your confirmation, the area will be blank.

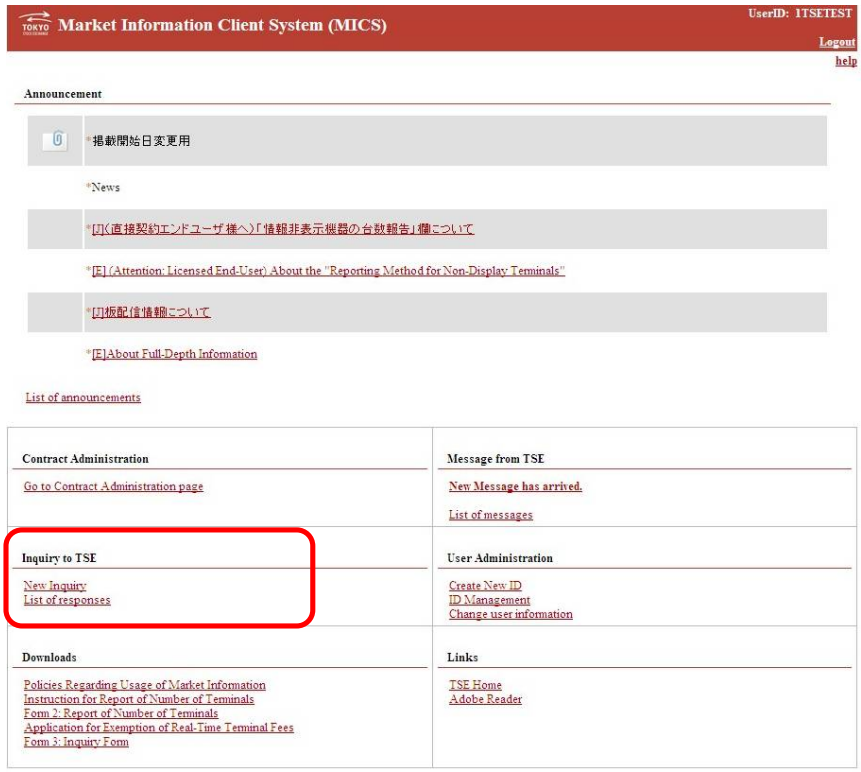
[Status]

It indicates if you have already read the message.

If the message requests your confirmation, the message becomes "Already-read" when user with the Master ID sends confirmation. If the message does not request your confirmation, the message becomes "Already-read" when user with the Master ID read the message.

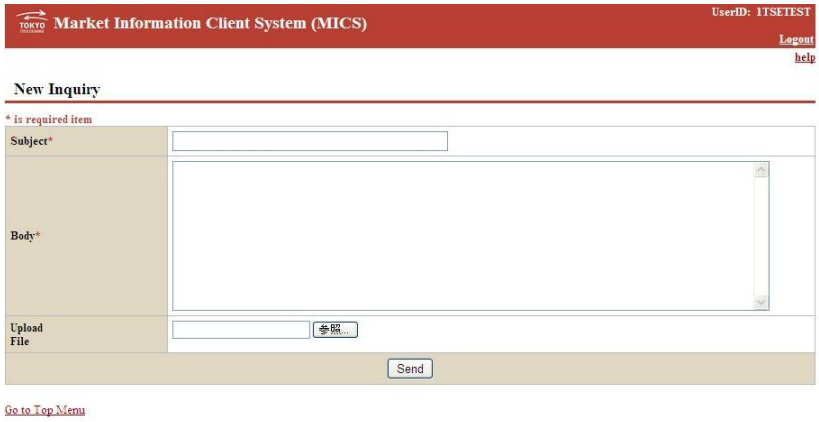
10.3 Inquiry to TSE

You can send inquiry to TSE by this function.



[New Inquiry]

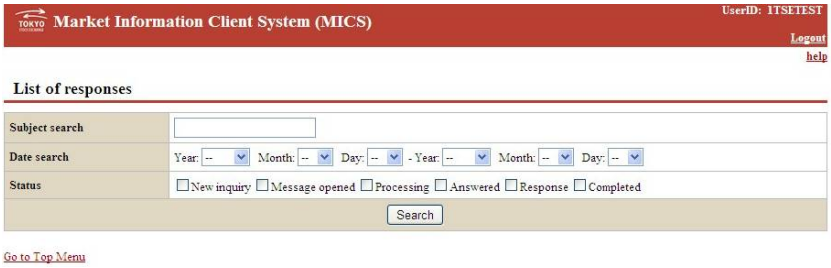
By clicking "New Inquiry" in the Top Menu, New Inquiry page will appear.



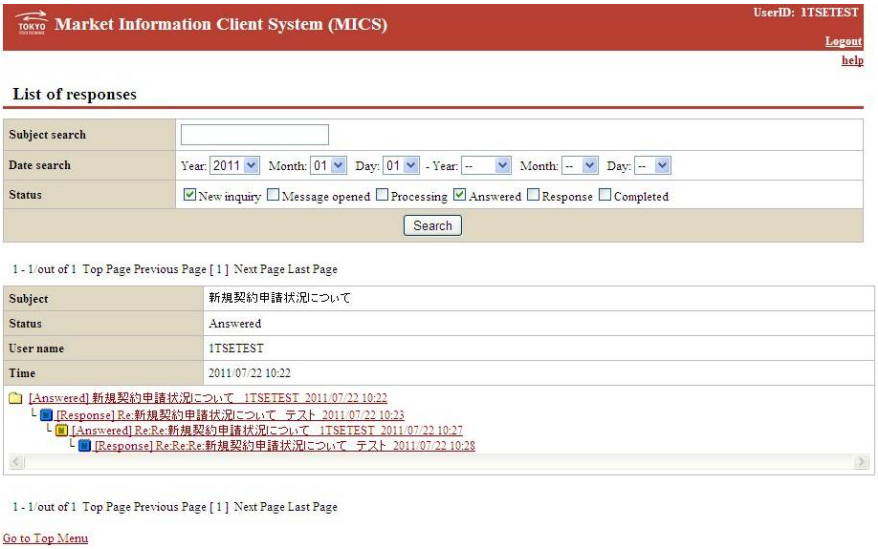
Please enter Subject, Body and hit "Send" button. You can send the message to TSE by clicking "OK" when the following dialog is displayed.

[List of Responses]

When you click "List of Responses" in Top Menu, the screen for searching past inquiries and responses from TSE will appear.



Searching result will appear when you hit "Search" as specified by your searching criteria.
When you click "Answered", you can view the details of your inquiry.



The communication between TSE, including TSE's response and additional comment from you, will be displayed together as one dialog.

If you leave both "Subject" and "Date" blank, all the past inquiries will be displayed.

Each key word for "Status" stands for the below status of each inquiry.

【New inquiry】

The new inquiry sent to TSE.

【Message opened】

TSE has confirmed the inquiry.

【Processing】

The inquiry is being processed at TSE.

【Answered】

The inquiries made in the past.

【Response】

The answers sent from TSE.

【Completed】

The inquiry has been completely answered.

In order to see the details of TSE's responses etc.., please click on the link. When responding to TSE's response, please use the form at the bottom. As with [New Inquiry] form, you can send the files to TSE.

When TSE sends a response to the inquiry, E-mail will be sent to the E-mail address associated with your Master ID. Since the E-mail does not contain the response itself, please confirm TSE's response by using this function.

10.4 Downloads

[Policies Regarding Usage of Market Information]

This "Policies Regarding Usage of Market Information" states the matters including those to be complied with by the Licensee that entered into "Information Provision and License Agreement" with TSE or Licensed End-User who obtained necessary approval from TSE by submitting "Application Form for License of Information Usage by End-User" as specified by TSE.

[Instruction for Report of Number of Terminals]

This explains how to fill Form 2 (Report of Number of Terminals) which is required to be submitted every month when you use Real-Time Information.

[Form 2: Report of Number of Terminals]

This is a template of Form 2 (Report of Number of Terminals) which is required to be submitted every month when you use Real-Time Information.

[Application for Exemption of Real-Time Terminal Fees]

This is a form when you apply for exemption of Real-Time Terminal Fees to provide free trials for promotion. Please see 7.2.4(2) of Policies Regarding Usage of Market Information for details.

[Form 3: Inquiry Form]

This is a form when you request a written reply from TSE for the inquiry about Policies Regarding Usage of Market Information. Please see 1.3 of Policies Regarding Usage of Market Information for details.

11 Appendix

11.1 List of Messages

Message	Reason etc.
(Notice) Although there are contradictions, this application will be submitted by clicking "Apply" button again.	If items selected in each Form have contradictions, this alert message will appear when you push "Apply" button. Please push "Apply" button after fixing the indicated contradictions. (Please note that your application will be submitted by pushing "Apply" button again even if you do not fix the contradictions.)
Since your application is under processing at TSE, this operation cannot be executed. Please go back to Top Menu and try again	This message will appear when other users submit application while you modify Forms.
This operation cannot be processed since another user has edited the registered information. Please go back to Top Menu and try again	This message will appear when multiple users are trying to modify the same Form etc.
There is no information to be deleted.	This message will appear if the information is already deleted by other user when you push "Delete" button.
Since another application is currently being processed at TSE, forms cannot currently be edited.	This message will appear when the User ID is already deleted by other user when you are trying to edit the User ID.
Since another application is under process at TSE, forms cannot be edited now.	You cannot modify your Forms when TSE is processing another application of your company. Please wait until TSE finish the process.
Items regarding Real-Time Information of "Basic Information" - "4. Information to be Licensed" - "(1)Types of Information" are not selected.	You need to select Real-Time information in "4. Information to be Licensed (1) Types of Information" of Form "Basic Information" in order to select "Snap-Shot Information Display Terminal" in "Subscription-Based Terminal Service," "Data Feed for End-User," and "Stock Price Board Service" of Form "Usage Manner."
At least one item must be selected from Direct Usage or Indirect Usage of "Basic Information" - "5. Acquisition of Information."	You need to select at least either "Direct Usage" or "Indirect Usage" in "5. Acquisition of Information"of Form "Basic Information."
For "Types of Information to be received, "please select at least one item from "Cash/Derivatives Products" or "TSE Index Information."	You need to select at least one item of Information when you select "Indirect Usage" in Form "Basic Information."
Items selected in "Basic Information" - "4. Information to be Licensed" - "(1) Types of Information" and "Basic Information" - "5. Acquisition of Information" - "Indirect Usage" are contradicting each other.	You cannot receive Information which is not selected in "4. Information to be Licensed" in Form "Basic Information."
Items selected in "Basic Information" - "4. Information to be Licensed" - "(1) Types of Information" and "Usage Manners" - "Internal Usage" are contradicting each other.	Information selected in each Usage Manner has to be selected in "4. Information to be Licensed" in Form "Basic Information."

Items selected in "Basic Information" – "4. Information to be Licensed" – "(1) Types of Information" and "Usage Manners" – "Information to be used in 'Usage Manner Involving Distribution to End-User'" are contradicting each other.	Information selected in each Usage Manner has to be selected in "4. Information to be Licensed" in Form "Basic Information."

Items selected in "Basic Information" – "4. Information to be Licensed" – "(1) Types of Information" and "Usage Manners" – "Subscription-Based Terminal Service" are contradicting each other.	Information selected in each Usage Manner has to be selected in "4. Information to be Licensed" in Form "Basic Information."
Items selected in "Basic Information" – "4. Information to be Licensed" – "(1) Types of Information" and "Usage Manners" – "Open-Access Terminal Service" are contradicting each other.	Information selected in each Usage Manner has to be selected in "4. Information to be Licensed" in Form "Basic Information."
Items selected in "Basic Information" – "4. Information to be Licensed" – "(1) Types of Information" and "Usage Manners" – "Data Feed for End-User" are contradicting each other.	Information selected in each Usage Manner has to be selected in "4. Information to be Licensed" in Form "Basic Information."
Items selected in "Basic Information" – "4. Information to be Licensed" – "(1) Types of Information" and "Usage Manners" – "Stock Price Board Service" are contradicting each other.	Information selected in each Usage Manner has to be selected in "4. Information to be Licensed" in Form "Basic Information."
Items selected in "Basic Information" – "4. Information to be Licensed" – "(1) Types of Information" and "Usage Manners" – "TV Broadcasting" are contradicting each other.	Information selected in each Usage Manner has to be selected in "4. Information to be Licensed" in Form "Basic Information."
Items selected in "Basic Information" – "4. Information to be Licensed" – "(1) Types of Information" and "Details about Indirect Usage" – "Types of Information to be received" are contradicting each other.	You cannot receive Information which is not selected in "4. Information to be Licensed" in Form "Basic Information."
Items selected in "Basic Information" – "4. Information to be Licensed" – "(1)Types of Information" and "Other Usage Manners" – "(1) Information Usage for Off-Exchange Transaction" are contradicting each other.	Information selected in each Usage Manner has to be selected in "4. Information to be Licensed" in Form "Basic Information."
Items selected in "Basic Information" – "4. Information to be Licensed" – "(1) Types of Information" and "Other Usage Manners" – "(2) Index Information" are contradicting each other.	Information selected in each Usage Manner has to be selected in "4. Information to be Licensed" in Form "Basic Information."
Form "Details about Direct Usage" also needs to be registered.	When you select "Direct Usage" in "5. Acquisition of Information" of Form "Basic Information," you also need to input Form "Details about Direct Usage."
Form "Details about Indirect Usage" also needs to be registered.	When you select "Indirect Usage" in "5. Acquisition of Information" of Form "Basic Information," you also need to input Form "Details about Indirect Usage."
Form "Details about Direct Usage" is entered but "Basic Information" – "5. Acquisition of Information" – "Direct	When you input Form "Details about Direct Usage," you also need to select "Direct Usage" in "5. Acquisition of Information" of Form "Basic Information."

Usage″ is not selected.	
Form ″Details about Indirect Usage″ is entered but ″Basic Information″ – ″5. Acquisition of Information″ – ″Indirect Usage″ is not selected.	When you input Form “Details about Indirect Usage,” you also need to select “Indirect Usage” in “5. Acquisition of Information” of Form “Basic Information.”

Form "Secondary-Distributor" is entered but "Usage Manners" - "(3) Usage Manner Involving Distribution to Secondary-Distributor" is not selected.	When you input Form "Secondary-Distributor," you also need to select "(3) Usage Manner Involving Distribution to Secondary-Distributor" in Form "Usage Manners."
Form "Other Usage Manners" is entered but no items in "Usage Manners" - "(4) Other Usage Manners" is selected.	When you input Form "Other Usage Manners," you also need to select "(4) Other Usage Manners" in Form "Usage Manners."
Supplemental Registration Forms for upload is registered but no items in "Usage Manners" - "(4) Other Usage Manners""(5) Related Parties" is selected.	You must select either item in "(4) Other Usage Manners" or "(5) Related Parties" of Form "Usage Manners" when you upload Supplemental Registration Form for upload.
When submitting Application for Termination, please enter "Date of Cease of Information Provision" in "4. Acquisition of Information" for all the Service Providers. Those dates need to be prior to "Termination Date"	When Licensed End-User submitting application for termination, "Date of Cease of Information" must be filled for all the Service Providers beforehand. (See page 27)This date must be prior to "Termination Date" in application for termination.

11.2 System Requirements

System requirements of this are shown below.

	Operating System OS	Browser	Graphic Processor		Font size
			Size	Color	
Requirements	Windows 7 (every version)	Internet Explorer 8.0, 9.0	1024*768	16 bits	Small Middle Large

* JavaScript necessary

* System operation on Windows XP SP2(InternetExplorer8)、Windows8(InternetExplorer10), FireFox, Safari, Chrome has been confirmed, but not warranted.

*Other Browser made by third party, operation is not warranted.

12 Revision History

Version	Date	Content
1.0	2009/7/27	The first edition
1.1	2009/7/30	“Installation of Security Certification” section is modified
2.0	2011/8/1	Application forms etc. are modified
3.0	2013/7/16	“Installation of Security Certification” is deleted, Application forms etc. are modified