

**14上場会社発行済株式数増減状況**  
**Increase and Decrease in Outstanding Shares**

| 年月<br>Year<br>or<br>Month | 有償<br>Paid-in        |             |                  | (a)株主割当<br>Offering to<br>Shareholders |                  | (b)公募<br>Public Offerings |                   |                  |                      | (c)第三者割当等<br>Third Party Allotment |                      | (d)優先株・転換社<br>債型新株予約権付<br>社債の株式転換等<br>Conversion of CB<br>& Preferred Stocks |                      | (e)新株予約権<br>の権利行使<br>Subscription Warrants<br>and Stock Options<br>Exercised |                      | (f)株式分割<br>Stock Splits |                      | (g)会社合併<br>Mergers |                      | (h)株式併合・<br>自己株式消却<br>Reverse Stock Split &<br>Cancellation of<br>Treasury Stock |                      | 合計<br>Total      |               |
|---------------------------|----------------------|-------------|------------------|--|------------------|---------------------------|-------------------|------------------|----------------------|------------------------------------|----------------------|--|----------------------|--|----------------------|-------------------------|----------------------|--------------------|----------------------|--|----------------------|------------------|---------------|
|                           |                      |             |                  |  |                  |                           |                   |                  |                      |                                    |                      |  |                      |  |                      |                         |                      |                    |                      |  |                      |                  |               |
|                           | 件数<br>No.of<br>Cases |             |                  | 件数<br>No.of<br>Cases                   |                  | 件数<br>No.of<br>Cases      | うち<br>新規公開<br>IPO |                  | 件数<br>No.of<br>Cases |                                    | 件数<br>No.of<br>Cases |  | 件数<br>No.of<br>Cases |  | 件数<br>No.of<br>Cases |                         | 件数<br>No.of<br>Cases |                    | 件数<br>No.of<br>Cases |  | 件数<br>No.of<br>Cases |                  |               |
|                           | 千株<br>thous.shs.     |             | 千株<br>thous.shs. |  | 千株<br>thous.shs. | 千株<br>thous.shs.          |                   | 千株<br>thous.shs. | 千株<br>thous.shs.     |                                    | 千株<br>thous.shs.     | 千株<br>thous.shs.   |                      | 千株<br>thous.shs.   | 千株<br>thous.shs.     |                         | 千株<br>thous.shs.     | 千株<br>thous.shs.   |                      | 千株<br>thous.shs.   | 千株<br>thous.shs.     | 千株<br>thous.shs. |               |
| 26 (2014)                 | 786                  | 4, 624, 642 | —                | —                                      | 129              | 66                        | 1, 422, 172       | 198, 871         | 190                  | 627, 019                           | 55                   | 1, 933, 058  | 412                  | 642, 391   | 206                  | 8, 841, 942             | 33                   | 204, 768           | 139                  | -6, 305, 992   | 1, 164               | 7, 365, 361      |               |
| 27 (2015)                 | 809                  | 3, 717, 412 | 1                | 1, 560                                 | 131              | 79                        | 679, 898          | 55, 724          | 187                  | 767, 728                           | 53                   | 1, 797, 390  | 437                  | 470, 834   | 176                  | 7, 060, 155             | 37                   | 118, 572           | 176                  | -13, 077, 429  | 1, 198               | -2, 181, 288     |               |
| 28 (2016)                 | 784                  | 5, 403, 536 | 1                | 3, 699                                 | 95               | 72                        | 140, 825          | 76, 167          | 151                  | 4, 152, 569                        | 54                   | 798, 326   | 483                  | 308, 115   | 138                  | 2, 232, 113             | 31                   | 1, 105, 935        | 279                  | -30, 126, 876  | 1, 232               | -21, 385, 291    |               |
| 27 (2015)                 | 4                    | 209         | 254, 454         | —                                      | —                | 17                        | 12                | 142, 787         | 10, 693              | 17                                 | 35, 762              | 18   | 26, 236              | 157  | 49, 669              | 34                      | 3, 181, 977          | 2                  | 6, 664               | 2  | -5, 824              | 247              | 3, 437, 272   |
|                           | 5                    | 211         | 194, 587         | —                                      | —                | —                         | —                 | —                | —                    | 17                                 | 118, 391             | 16   | 17, 305              | 178  | 58, 890              | 7                       | 130, 877             | 1                  | 535                  | 13   | -81, 217             | 232              | 244, 782      |
|                           | 6                    | 242         | 537, 203         | —                                      | —                | 15                        | 8                 | 136, 341         | 9, 490               | 16                                 | 157, 348             | 17   | 195, 823             | 194  | 47, 690              | 6                       | 105, 308             | 3                  | 2, 657               | 17   | -664, 469            | 268              | -19, 299      |
|                           | 7                    | 211         | 748, 061         | —                                      | —                | 14                        | 4                 | 159, 713         | 751                  | 13                                 | 15, 693              | 16   | 514, 080             | 168  | 58, 574              | 25                      | 1, 838, 726          | 5                  | 5, 873               | 12   | -739, 088            | 253              | 1, 853, 573   |
|                           | 8                    | 221         | 547, 566         | —                                      | —                | 8                         | 6                 | 6, 967           | 3, 367               | 18                                 | 18, 568              | 22   | 491, 461             | 173  | 30, 570              | 11                      | 211, 999             | 5                  | 17, 439              | 16   | -230, 080            | 253              | 546, 925      |
|                           | 9                    | 189         | 149, 274         | —                                      | —                | 12                        | 6                 | 15, 038          | 3, 479               | 14                                 | 23, 516              | 16   | 89, 561              | 147  | 21, 158              | 19                      | 217, 806             | 6                  | 10, 442              | 6  | -28, 202             | 220              | 349, 320      |
|                           | 10                   | 146         | 81, 231          | 1                                      | 1, 560           | 5                         | 4                 | 11, 504          | 7, 399               | 8                                  | 10, 675              | 11   | 8, 682               | 121  | 48, 809              | 30                      | 919, 276             | 3                  | 25, 566              | 28   | -10, 329, 291        | 207              | -9, 303, 217  |
|                           | 11                   | 173         | 155, 959         | —                                      | —                | 6                         | 4                 | 12, 016          | 3, 516               | 8                                  | 110, 691             | 11   | 15, 941              | 148  | 17, 310              | 3                       | 20, 792              | 1                  | 302                  | 18   | -348, 657            | 195              | -171, 602     |
|                           | 12                   | 230         | 104, 058         | —                                      | —                | 20                        | 16                | 16, 365          | 9, 009               | 21                                 | 42, 886              | 15   | 28, 833              | 174  | 15, 972              | 6                       | 79, 236              | 3                  | 14, 891              | 15   | -66, 268             | 254              | 131, 918      |
| 28 (2016)                 | 1                    | 186         | 42, 067          | —                                      | —                | 1                         | —                 | 700              | —                    | 13                                 | 6, 008               | 10   | 25, 345              | 162  | 10, 013              | 18                      | 214, 648             | 2                  | 10, 237              | 8  | -42, 292             | 214              | 224, 660      |
|                           | 2                    | 175         | 77, 380          | —                                      | —                | 2                         | 1                 | 1, 086           | 186                  | 6                                  | 7, 739               | 10   | 51, 799              | 157  | 16, 755              | 8                       | 78, 514              | 2                  | 2, 546               | 18   | -413, 858            | 203              | -255, 416     |
|                           | 3                    | 223         | 253, 829         | —                                      | —                | 23                        | 19                | 21, 819          | 16, 162              | 22                                 | 39, 924              | 11   | 145, 363             | 167  | 46, 722              | 9                       | 132, 701             | 4                  | 27, 106              | 27   | -315, 854            | 263              | 97, 782       |
|                           | 4                    | 164         | 45, 797          | —                                      | —                | 6                         | 4                 | 5, 790           | 4, 440               | 10                                 | 6, 466               | 11   | 11, 934              | 137  | 21, 605              | 21                      | 652, 419             | 3                  | 13, 910              | 3  | -20, 000             | 191              | 692, 127      |
|                           | 5                    | 203         | 61, 054          | —                                      | —                | —                         | —                 | —                | —                    | 16                                 | 25, 235              | 10   | 18, 166              | 177  | 17, 652              | 3                       | 24, 678              | 1                  | 78, 810              | 20   | -261, 401            | 227              | -96, 856      |
|                           | 6                    | 205         | 126, 655         | —                                      | —                | 14                        | 10                | 5, 511           | 2, 301               | 7                                  | 2, 705               | 9  | 85, 711              | 175  | 32, 727              | 7                       | 102, 146             | 4                  | 10, 105              | 9  | -195, 998            | 225              | 42, 909       |
|                           | 7                    | 185         | 475, 316         | —                                      | —                | 6                         | 5                 | 39, 608          | 36, 158              | 16                                 | 70, 566              | 11   | 334, 025             | 152  | 31, 116              | 13                      | 125, 512             | 4                  | 51, 052              | 11   | -3, 278, 014         | 213              | -2, 626, 133  |
|                           | 8                    | 178         | 3, 340, 614      | 1                                      | 3, 699           | 1                         | 1                 | 1, 100           | 1, 100               | 11                                 | 3, 291, 355          | 9  | 19, 666              | 156  | 24, 793              | 4                       | 16, 131              | 2                  | 33, 820              | 18   | -1, 373, 727         | 202              | 2, 016, 838   |
|                           | 9                    | 197         | 118, 751         | —                                      | —                | 15                        | 10                | 43, 714          | 2, 244               | 12                                 | 51, 359              | 12   | 10, 829              | 158  | 12, 848              | 11                      | 127, 549             | 3                  | 29, 490              | 17   | -524, 703            | 228              | -248, 911     |
|                           | 10                   | 174         | 643, 117         | —                                      | —                | 4                         | 4                 | 3, 848           | 3, 848               | 10                                 | 609, 358             | 10   | 4, 688               | 150  | 25, 222              | 27                      | 625, 961             | 2                  | 846, 165             | 122  | -23, 332, 233        | 325              | -21, 216, 988 |
|                           | 11                   | 209         | 84, 078          | —                                      | —                | 8                         | 6                 | 10, 871          | 6, 191               | 12                                 | 31, 546              | 11   | 8, 496               | 178  | 33, 165              | 4                       | 16, 419              | —                  | —                    | 14   | -184, 601            | 227              | -84, 102      |
|                           | 12                   | 261         | 134, 873         | —                                      | —                | 15                        | 12                | 6, 776           | 3, 536               | 16                                 | 10, 303              | 22   | 82, 300              | 208  | 35, 493              | 13                      | 115, 428             | 4                  | 2, 690               | 12   | -184, 190            | 290              | 68, 801       |
| 29 (2017)                 | 1                    | 224         | 391, 514         | —                                      | —                | 3                         | 1                 | 32, 590          | 150                  | 17                                 | 11, 304              | 23   | 242, 587             | 181  | 105, 032             | 19                      | 304, 537             | 2                  | 28, 413              | 10   | -212, 754            | 255              | 511, 710      |
|                           | 2                    | 239         | 135, 722         | —                                      | —                | 4                         | 4                 | 1, 358           | 1, 358               | 8                                  | 26, 530              | 18   | 30, 057              | 209  | 77, 776              | 4                       | 19, 494              | 2                  | 3, 180               | 11   | -18, 090             | 256              | 140, 306      |
|                           | 3                    | 290         | 296, 598         | —                                      | —                | 25                        | 19                | 143, 641         | 29, 959              | 25                                 | 39, 553              | 24   | 77, 888              | 216  | 35, 514              | 17                      | 247, 775             | 3                  | 28, 426              | 30   | -263, 842            | 340              | 308, 958      |
|                           | 4                    | 192         | 78, 918          | —                                      | —                | 5                         | 4                 | 8, 092           | 5, 530               | 12                                 | 51, 053              | 8  | 1, 743               | 167  | r 18, 028            | 43                      | 880, 122             | 5                  | 1, 047, 145          | 18   | -264, 299            | 258              | r 1, 741, 886 |

注: (1)集計基準は、株主割当、公募、第三者割当等は払込期日、優先株式は普通株式転換日、転換社債型新株予約権付社債及び新株予約権は権利行使日で、それぞれ当該日現在東証に上場している会社の実施分を集計対象とした。  
(2)東証に直接新規上場する会社が上場の際に行う公募は集計対象とした。  
(3)「優先株・転換社債型新株予約権付社債の株式転換等」及び「新株予約権の権利行使」の件数は、月間は延べ会社数、年間は同一会社の実施分は1件とした。2002年1月以降は後配株式の転換分を含む。なお、新株式が発行されずに、自己株式が付与される場合は集計対象外とした。  
(4) 第三者割当等は、特定投資家私募を含む。

Notes: (1)The base date is the payment date for share allocations, public offerings, and allocations to a third party, the conversion date for preferred stock, and the exercise date for convertible bonds and warrants. The aggregate number of shares issued by all companies on the TSE as of the date is calculated.  
(2) Public offerings made when companies list directly on the TSE are included in calculation.  
(3)Number of cases for (d) and (e) are monthly aggregates. Annual figures are number of companies. Figures include those for deferred stocks from January 2002. Figures in “Subscription warrants and Stock Options Exercised” exclude cases where treasury stocks are allotted in accordance with the exercise without issuing new shares.  
(4) “Third Party Allotment” includes private placement to specified investors.