

Give-up Detailed Rules

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Article 1 (Purpose)

1. These Rules shall, based on the provisions of Article 3.3 of the Market Rules, prescribe matters necessary for the handling of give-up.

Article 2 (Give-up Requirements)

1. The requirements prescribed by the Give-up Detailed Rules referred to in Article 28.3 of the Market Rules shall be as follows:
 - (1) Members (meaning Members prescribed in Article 6.1 of Market Rules; the same shall apply hereinafter) (limited to proprietary transaction only);
 - (2) The applicant provided for in Article 104 of the Market Rules;
 - (3) The applicant provided for in Article 2 of the Requirements for Investment Fund, etc.;
 - (4) The Limited Partnership for Investment provided for in Article 2.2 of the Limited Partnership Act for Investment (Act No. 90 of 1998.) or the foreign applicant who is equivalent thereto;
 - (5) Government Pension Investment Fund;
 - (6) The Trust Company provided for in Article 2.2, the Foreign Trust Business Operator provided for in Article 2.5, and the Foreign Trust Company provided for in Article 2.6 of the Trust Business Act (Act No. 154 of 2004);
 - (7) The Foreign Securities Broker provided for in Article 58 of the Financial Instruments and Exchange Act (Act No.25 of 1948); and
 - (8) Where it is otherwise deemed particularly necessary by the Exchange.

Article 3 (Application Hours for Give-up)

1. The “time provided for in the Give-up Detailed Rules” referred to in Article 29.1 of Market Rules shall be by 5:30 pm of the Clearing Period in which the trade was executed.

Article 4 (Application Hours for Take-up and Take-up Rejection)

1. The “time provided for in the Give-up Detailed Rules” referred to in Article 30.1 of Market Rules shall be by 5:45 pm of the Clearing Period in which the trade was executed.

Article 5 (Special Provisions for Application Hours for Give-up, Take-up and Take-up Rejection)

1. The “time provided for in the Give-up Detailed Rules” referred to in Article 31.1 of the Market Rules shall be by 5:30 pm of the three business day after the Clearing Period in which the trade to apply Give-up Application, Take-up Application or Take-up Rejection was executed.
2. Notwithstanding the provisions of the preceding paragraph, for the current contract month, the application for Give-up Application, Take-up Application or Take-up Rejection shall be made with the Exchange by 4:45 pm of the business day immediately following the Last Trading Day.

Article 6 (Cancellation of Give-up)

1. The “time provided for in the Give-up Detailed Rules” referred to in Article 32.1 of the Market Rules shall be by 5:30 pm of the third business day after the Clearing Period in which the trade subject to said application for cancellation of Give-up Application, etc. (meaning Give-up Application and Take up Application) was executed.
2. The “time provided for in the Give-up Detailed Rules” referred to in Article 32.2 of the Market Rules shall be by 4:45 pm of the business day following the last trading day.

Article 7 (Requesting Submission of Agreement, etc.)

1. The Exchange may, when deemed necessary, require a Member to submit an agreement and other materials pertaining to said Give-up.

Article 8 (Revision or Abolition)

1. Revision or abolition to these Rules shall be approved by the Representative Director, President.

Supplementary Provisions

These Rules shall be in effect as of December 1, 2008.

Supplementary Provisions

Revisions in Article 2 (Give-up Requirements) and Article 3 (Revision or Abolition) as well as new provisions in Article 1 (Purpose) shall be in effect as of May 7, 2009.

Supplementary Provisions

The revisions to Article 2 (Give-up Requirements) shall be in effect as of July 1, 2010.

Supplementary Provisions

The revisions to Article 2 (Give-up Requirements) shall be in effect as of August 9, 2010.

Supplementary Provisions

The revisions to Article 2 (Give-up Requirements) shall be in effect as of May 23, 2011.

Supplementary Provisions

The revisions to Article 2 (Give-up Requirements) shall be in effect as of December 19, 2011.

Supplementary Provisions

The newly established Article 3 (Give-up Application, etc.) and revisions to the provisions of Article 2 (Give-up Requirements) and Article 4 (Revision or Abolition) shall be in effect as of January 4, 2012.

Supplementary Provisions

The revisions to Article 2 (Give-up Requirements) shall be in effect as of March 31, 2014.

Supplementary Provisions

Article 1

Newly established Article 4 (Application Hours for Give-up) through Article 7 (Cancellation of Give-up), and the revisions to Article 4 (Revision or Abolition) shall be in effect as of September 20, 2016.

Article 2

Notwithstanding the provisions of Article 1, in the event that there is operational trouble of the electronic trading system or other unavoidable reasons that it is deemed necessary by the Exchange, the effective date of preceding Article will be separately provided by the Exchange.

Supplementary Provisions

The revisions to Article 2 (Give-up Requirements) and Article 3 (Give-up application, etc.) shall be in effect as of October 31, 2016.

Supplementary Provisions

The revisions shall be in effect as of December 1, 2019.

Supplementary Provisions

The revisions shall be in effect as of July 27, 2020.

Supplementary Provisions

The revisions shall be in effect as of April 1, 2021.

Supplementary Provisions

The revisions shall be in effect as of January 31, 2022.

Supplementary Provisions

1. Revisions shall become effective on September 21, 2022.
2. Notwithstanding the provisions of the preceding paragraph, in the event of operational trouble of the electronic trading system or other unavoidable reasons that deemed necessary by the Exchange, the revisions shall take effect from the day separately provided by the Exchange after the effective date in the preceding paragraph.