

Trading Participant Agreement

(May 1, 2019 version)

To President & CEO
Tokyo Stock Exchange, Inc.

| | |
|---------------------------|-------------|
| <u>Address</u> | |
| <u>Trade Name or Name</u> | |
| <u>Representative</u> | <u>Seal</u> |
| <u>Signature</u> | |

We, (Name of your company), hereby agree to the following matters as a trading participant of Tokyo Stock Exchange, Inc. (hereinafter referred to as "the Exchange"):

1. We shall abide by the Articles of Incorporation, Business Regulations, Brokerage Agreement Standards, and other rules as currently constituted and as may be established in the future by the Exchange (hereinafter referred to as "the Rules"), and comply with the Rules and just and equitable principles of trade.
2. We shall abide by revocation of trading qualification, suspension from or restriction on securities trading (excluding that based on brokerage for clearing of securities, etc.) or entrustment of brokerage for clearing of securities, etc., imposition of fines and other disciplinary actions, regulatory dispositions, or measures which the Exchange may take in accordance with the Rules.
3. In cases where we lose trading qualification, we shall bear any and all responsibilities concerning such loss, and not inconvenience the Exchange, other trading participants, and customers.
4. In cases where we receive notice from the Exchange concerning any amendment to the content of this Agreement, we shall be deemed to have consented to such amendment if we do not raise objection by the prescribed deadline.
5. We shall prepare notices to be submitted to the Exchange (including documents delivered/received) in Japanese or English except for those designated by the Exchange. In addition, any amount of money shall be denominated in Japanese or foreign currency.
6. This Agreement and the Rules are governed by and construed in accordance with the laws of Japan.
7. Any litigation between the Exchange and us shall be subject solely to the jurisdiction of the Tokyo District Court.

DISCLAIMER: This translation may be used only for reference purposes. This English version is not an official translation of the original Japanese version. In cases where any differences occur between the English version and the original Japanese version, the Japanese version shall prevail. This translation shall be subject to change without notice. Tokyo Stock Exchange, Inc. Japan Exchange Regulation, Japan Exchange Group, Inc., and/or their affiliates shall individually or jointly accept no responsibility or liability for damage or loss caused by any error, inaccuracy, or misunderstanding with regard to this translation.