


Shinkin Securities Co.,Ltd. Newly Obtains TSE Trading Qualification and joins as a broker to provide connection to CONNEQTOR

1. Obtaining of Trading Qualification

Today, Tokyo Stock Exchange (TSE) approved the obtaining of general trading participant by Shinkin Securities Co. Ltd. and as a result, they will become the 89th general trading participant in TSE to participate in the TSE market from December 13, 2021. This is the first time in about 8 years, since 2013, that a new trading qualification has been obtained, excluding the obtaining of trading qualifications because of remote trading participants and market consolidation.

[Overview of the new trading participant]

Name of Trading Participants	Shinkin Securities Co.,Ltd.  Shinkin Securities Co.,Ltd.
Scheduled date of qualification	December 13, 2021 (Monday)

2. Newly Participation in CONNEQTOR

Shinkin Securities Co., Ltd. will provide institutional investors with access to CONNEQTOR from December 13, the scheduled date of qualification. And they will also provide a service to use CONNEQTOR on behalf of institutional investors so that they can use the service by phone. This brings to 12 the number of brokers that offer CONNEQTOR to institutional investors.

Brokers that provide access to CONNEQTOR

Brokers that can also be used by telephone

SMBC Nikko Securities Inc.	Tokai Tokyo Securities Co., Ltd.
OKASAN SECURITIES CO.,LTD.	Nomura Securities Co.,Ltd.
Goldman Sachs Japan Co.,Ltd.	Phillip Securities Japan, Ltd.
JPMorgan Securities Japan Co.,Ltd.	Marusan Securities Co.,Ltd.
<u>Shinkin Securities Co.,Ltd.</u>	Mizuho Securities Co.,Ltd.
Daiwa Securities Co. Ltd.	Mitsubishi UFJ Morgan Stanley Securities Co.,Ltd.

(In Japanese alphabetical order)

Comment: Kudo Atsushi, President & CEO, Shinkin Securities Co.,Ltd.

I am very honored and pleased to be able to start new transactions as a general trading participant of the Tokyo Stock Exchange. All of us at Shinkin Securities Co.,Ltd. are determined to contribute to the development of securities trading even more than before.

Since its establishment in 1996, Shinkin Securities Co.,Ltd. has been a wholesale securities company that primarily serves institutional investors such as Shinkin banks and other financial institutions. For today's institutional investors, ETFs, with their superior characteristics, are an indispensable and important financial product, and are expected to continue to grow and expand. By participating in CONNEQTOR, which is operated by the Tokyo Stock Exchange, we will be able to help more institutional investors to diversify and upgrade their portfolios by making it possible for everyone to trade ETFs inexpensive and efficiently.

All of us at the firm are proud of the principle of "value first, profit later". We are determined to contribute to the development and expansion of ETF trading by further promoting the spread and use of CONNEQTOR, which is an excellent platform. I would like to thank you very much for your support.

Comment: Yamaji Hiromi, President & CEO, Tokyo Stock Exchange, Inc.

I would like to extend a heartfelt welcome to Shinkin Securities Co., Ltd. for joining us as a new general trading participant. We are excited to build a new market with new participants, and we have high expectations that the addition of a new trading participant for the first time in about 8 years will lead to further expansion of liquidity in the TSE market and improved convenience for investors.

We also welcome your participation in CONNEQTOR operated by us, a platform that will enable investors to trade ETF's transaction "faster and better priced". We created this service to enable brokers participating in the TSE market to provide superior service and to enable their institutional clients to conduct more sophisticated securities management at a lower cost and more efficiently by global standards. We are very pleased that through Shinkin Securities Co., Ltd. new institutional investors will be able to trade faster and better priced.

We will continue to enhance the attractiveness of the market by cooperating with a variety of parties, including Shinkin Securities Co.,Ltd.

Note: What is CONNEQTOR?

CONNEQTOR is an RFQ (Request For Quote) platform that we launched in February of this year with the aim of making ETF's transactions "faster and better priced" in Japan. Through this platform, users can trade ETFs at better prices by requesting quotes from many domestic and overseas market makers, including specialist firms in the U.S. market, all at once and trading at the best price among them.

Contact

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