

# Specifications of the Sample Data for the Scheduled Dates of Earnings Releases Information Service

(Ver. 1.0)

JPX Market Innovation & Research, Inc.

March 2024



# INDEX

1. Outline	3
2. Sample Data File Specifications	5
3. How to Apply for the Sample Data	8
4. Cautions	9
5. Contact	10
Reference Materials	11

# 1. Outline (1/2)

- We at JPX Market Innovation & Research, Inc. (JPXI) will launch the "Scheduled Dates of Earnings Releases Information Service" on Monday, May 20, 2024. Through this service, JPXI will **provide information in CSV files regarding the scheduled dates of earnings releases that are periodically reported to Tokyo Stock Exchange (TSE) (\*)** by listed companies, REITs, and infrastructure funds (hereinafter collectively referred to as "TSE-listed companies").
- We will **provide historical data for the past three years (hereinafter referred to as "the sample data") free of charge** so that you can see what kind of data is provided. However, the contents and format of the sample data partially differ from those of the files provided in the actual service (hereinafter referred to as "the actual data"). For details, please refer to the following pages.
- To use the sample data, you must apply through a web form and agree to JPXI's "Terms of Use of the Sample Data for the Scheduled Dates of Earnings Releases Information Service."

\* In order to enable investors and information intermediaries to collect earnings information efficiently, TSE requests TSE-listed companies to report their scheduled dates of earnings releases by the 25th of the months in which each quarter and the fiscal year ends. Please note that the scheduled dates of TSE-listed companies' earnings releases may be "undecided" or change depending on the companies' circumstances.

# 1. Outline (2/2)

		Sample Data	(Reference) Actual Data
Data to be provided	Contents	<ul style="list-style-type: none"> <li>Scheduled dates (times not included) of TSE-listed companies' earnings releases from 2021 to 2023 and related data</li> <li>* Includes all records reported to TSE by TSE-listed companies for the years in question</li> </ul>	<ul style="list-style-type: none"> <li>Scheduled dates (times not included) of TSE-listed companies' earnings releases as of the day before the data provision date and related data</li> <li>* Includes the scheduled dates of each company's earnings releases for the first quarter, second quarter (interim), third quarter, and fiscal year</li> </ul> <p>(Note) Includes the records for all TSE-listed securities (including ETFs/ETNs) as of the day before the data provision date, regardless of whether the securities have scheduled dates of earnings releases (Please note that ETFs/ETNs are not subject to reporting to TSE.).</p>
	File format	<ul style="list-style-type: none"> <li>CSV</li> </ul>	<ul style="list-style-type: none"> <li>CSV</li> </ul>
Provision method	Platform	<ul style="list-style-type: none"> <li>By email (A download link is sent)</li> </ul>	<ul style="list-style-type: none"> <li>Via FTP/SFTP file transfer from the Tokyo Market Information (TMI) System operated by JPXI</li> </ul>
	Frequency	<ul style="list-style-type: none"> <li>Batch of three years of historical data from 2021 to 2023 (three files, one file for each year)</li> </ul>	<ul style="list-style-type: none"> <li>Every business day at 10:00 a.m.</li> </ul>
	Historical data	<ul style="list-style-type: none"> <li>Three years from 2021 to 2023</li> </ul>	<ul style="list-style-type: none"> <li>In addition, ten years of revision history data (history of revisions to the scheduled dates of each company's earnings releases) will be available for a fee.</li> </ul>
Fee		<ul style="list-style-type: none"> <li>Free of charge</li> </ul>	<ul style="list-style-type: none"> <li>Paid (Monthly fee)</li> </ul>
Usage period		<ul style="list-style-type: none"> <li>Three months (Data must be deleted after the end of the usage period.)</li> <li>However, those who have begun using the actual service by the end of the month after the end of the usage period may continue to use the sample data free of charge while their subscription is active. (Data must be deleted upon subscription cancellation.)</li> </ul>	<ul style="list-style-type: none"> <li>Subscribers may use the data while their subscription is active. (Data must be deleted upon subscription cancellation.)</li> </ul>
Contract structure		<ul style="list-style-type: none"> <li>Apply after agreeing to the terms of use</li> </ul>	<ul style="list-style-type: none"> <li>Apply after agreeing to the terms of use</li> <li>Contract for a one-year subscription (Subscriptions that are not cancelled at least one month before they expire will be renewed automatically for an additional year.)</li> </ul>

## 2. Sample Data File Specifications (1/3)

- The sample data contains the following items.

Header	Contents	Description	Example
Data_Date	Data provision date	YYYYMMDD	20230227
Securities_Code	Securities code	Specific name code (4-digit) and reserved code (1-digit) specified by the Securities Identification Code Committee	86970
Name(JP)	Name of the security (in Japanese)	Name of the security (in Japanese)	日本取引所グループ
Name(EN)	Name of the security (in English)	Name of the security (in English)	Japan Exchange Group, Inc.
Scheduled_Date	Scheduled date of earnings release	YYYYMMDD * Set "99999999" for "undecided."	20230427
Fiscal_Year-end	End of fiscal year reported to TSE	YYYYMMDD	20230331
Quarter(JP)	Period covered by the earnings release (in Japanese)	First Quarter Second Quarter Third Quarter Fiscal Year	本決算
Quarter(EN)	Period covered by the earnings release (in English)	First Quarter Second Quarter Third Quarter Fiscal Year	Fiscal year
Sector_Code	Sector code	* For the Sector Code List, see the next page	7200
Sector(JP)	Sector name (in Japanese)		その他金融業
Sector(EN)	Sector name (in English)		Other Financing Business

## 2. Sample Data File Specifications (2/3)

\* The Sector Code List is the same as the actual data.

[Sector Code List]

Sector Code	Sector (Japanese)	Sector (English)
0050	水産・農林業	Fishery, Agriculture & Forestry
1050	鉱業	Mining
2050	建設業	Construction
3050	食料品	Foods
3100	繊維製品	Textiles & Apparels
3150	パルプ・紙	Pulp & Paper
3200	化学	Chemicals
3250	医薬品	Pharmaceutical
3300	石油・石炭製品	Oil & Coal Products
3350	ゴム製品	Rubber Products
3400	ガラス・土石製品	Glass & Ceramics Products
3450	鉄鋼	Iron & Steel
3500	非鉄金属	Nonferrous Metals
3550	金属製品	Metal Products
3600	機械	Machinery
3650	電気機器	Electric Appliances
3700	輸送用機器	Transportation Equipment
3750	精密機器	Precision Instruments
3800	その他製品	Other Products
4050	電気・ガス業	Electric Power & Gas
5050	陸運業	Land Transportation
5100	海運業	Marine Transportation
5150	空運業	Air Transportation
5200	倉庫・運輸関連業	Warehousing & Harbor Transportation Services
5250	情報・通信業	Information & Communication
6050	卸売業	Wholesale Trade
6100	小売業	Retail Trade
7050	銀行業	Banks
7100	証券、商品先物取引業	Securities & Commodities Futures
7150	保険業	Insurance
7200	その他金融業	Other Financing Business
8050	不動産業	Real Estate
9050	サービス業	Services
9999	その他	Others

## 2. Sample Data File Specifications (3/3)

- The sample data includes the data of TSE-listed companies that reported their scheduled dates of earnings releases to TSE between January 4, 2021 and December 28, 2023.
- "Data\_Date" (data provision date) is the date that the actual data was provided. The data was reported on the day before said date.
- The sample data may not include data for the following securities.
  - Securities with an irregular fiscal year due to a change in the fiscal year period
  - ETFs, ETNs, TOKYO PRO Market-listed securities, and foreign stocks (because they are not required to report the scheduled dates of their earnings releases to TSE)
  - Securities of TSE-listed companies that have not reported their scheduled dates of earnings releases to TSE
- The sample data includes the data of TSE-listed companies that are not listed as of February 2024 because it only contains data that was reported through December 28, 2023. In addition, the names, sectors, and/or fiscal year-ends of some securities may not be current if any of those attributes have changed since 2021.
- The scheduled dates of earnings releases included in the sample data are those reported to TSE by TSE-listed companies. Please be advised that these are only scheduled dates that were reported to TSE, and they may differ from the dates that the earnings releases were actually disclosed.
- TSE-listed companies may report that their scheduled dates of earnings releases are "undecided" depending on their circumstances. As a result, the sample data includes "undecided" data.

### 3. How to Apply for the Sample Data

- To use the sample data, you must apply through a web form and agree to JPXI's "Terms of Use of the Sample Data for the Scheduled Dates of Earnings Releases Information Service."
  - Sample Data Application Form:  
<https://reg18.smp.ne.jp/regist/is?SMPFORM=lhmc-ljtbpg-759d8f98fc9cf6c44d263a98caf35cad>
- \* JPXI may contact you to confirm the details of your application.
- We will email you a link to download the sample data usually within one to two business days of your application.



## 4. Cautions

- **The sample data may be used for three months from the date of provision.** The sample data must be deleted after the end of the usage period. However, those who subscribe to the actual service by the end of the month after the end of the usage period may continue to use the sample data free of charge while their subscription is active. (Data must be deleted upon subscription cancellation.)
- **The sample data may be used for internal purposes only. The provision of the sample data to third parties is strictly prohibited.**
- JPXI shall not guarantee the data's completeness, reliability, validity, usefulness, currentness, or compatibility with its user's purposes and their environment. JPXI shall not be liable for any damage or loss arising from the user's use of or inability to use the data, unless said damage or loss is caused by an intentional act or the gross negligence of JPXI.

- For inquiries regarding service details or procedures to start using the service, please contact us via the email address below.

JPX Market Innovation & Research, Inc. Frontier Development  
E-mail : [inf\\_dev@jpx.co.jp](mailto:inf_dev@jpx.co.jp)

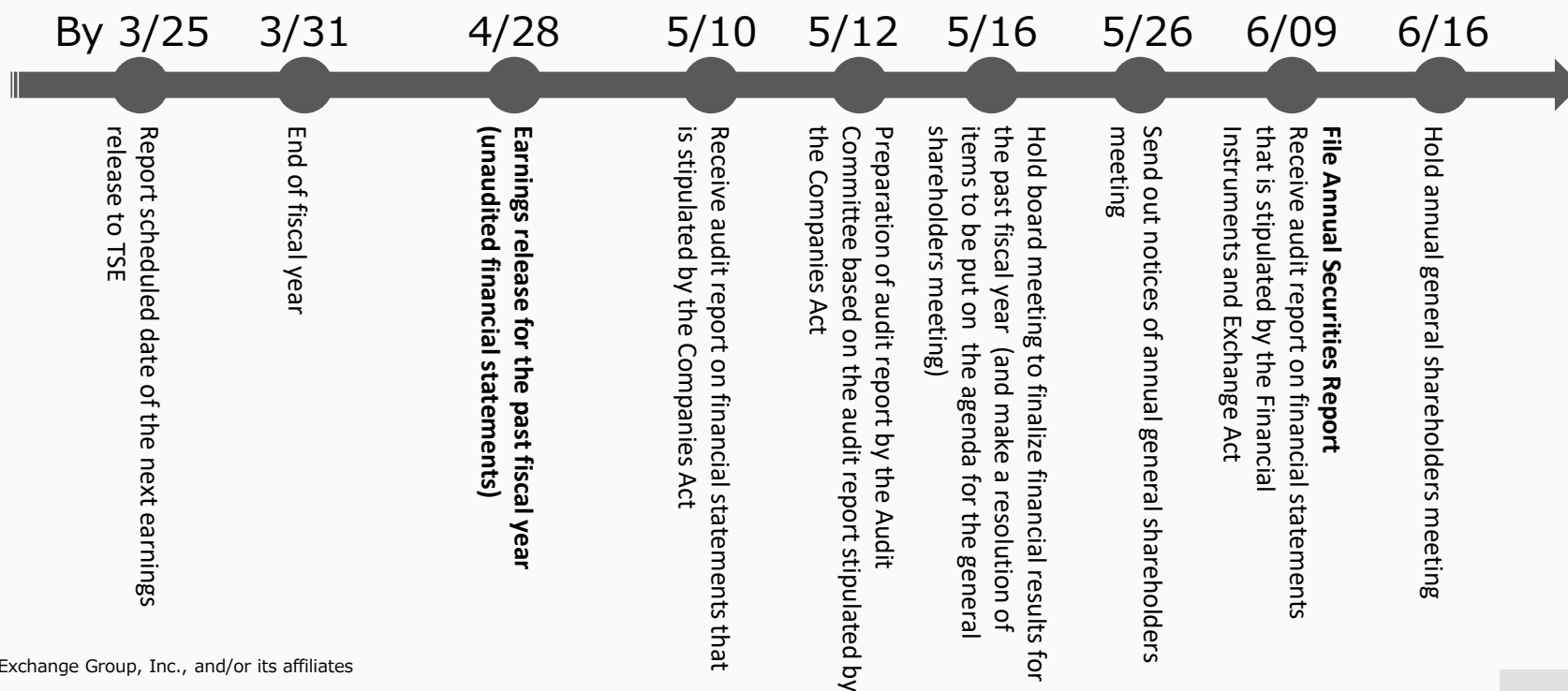
# Reference Materials



# Schedule of Earnings Releases

- TSE requires its listed companies to disclose financial results immediately after they are finalized. (earnings releases)
  - The timing for finalizing financial results depends on the listed company's financial closing schedule.
    - Quarterly earnings releases should be made within 45 days following the end of the quarter, in principle
    - While financial results for the fiscal year should be disclosed within 45 days following the end of the fiscal year, TSE prefers that they be disclosed within 30 days (or, when the fiscal year-end coincides with the end of a month, before the end of the next month)
  - Audits and reviews are not required for financial statements attached to earnings release materials (no need to wait for the completion of the auditing process)\*
    - \* Beginning April 1, 2024, audits and reviews are not required for financial statements for the fiscal year and 2<sup>nd</sup> quarter (interim). Except in some cases, reviews are not required for financial statements for the 1<sup>st</sup> and 3<sup>rd</sup> quarters.

<Example schedule of earnings releases of a company whose fiscal year ends on March 31>



# Actual Data File Specifications (1/2)

Header	Content*	Description*	Example
Data_Date	Data provision date	YYYYMMDD	20240104
Product_Classification	Product Classification Code	1 : Stocks* 2 : ETNs* 3 : ETFs* 4 : REITs/Infrastructure Funds * Including domestic and foreign	1
Securities_Code	Securities Code	5-digit number	86970
Name(JP)	Issue Name (Japanese)	Issue name in Japanese	日本取引所グループ
Name(EN)	Issue Name (English)	Issue name in English	Japan Exchange Group, Inc.
Scheduled_Date_1Q	Scheduled date of earnings releases for the first quarter	YYYYMMDD	20230728
Scheduled_Date_2Q	Scheduled date of earnings releases for the second quarter (interim)	YYYYMMDD	20231026
Scheduled_Date_3Q	Scheduled date of earnings releases for the third quarter	YYYYMMDD	20240129
Scheduled_Date_Fiscal_Year	Scheduled date of earnings releases for the fiscal year	YYYYMMDD	20230427
Fiscal_Year-end1	Fiscal Year-end	MMDD	0331
Fiscal_Year-end2	Fiscal Year-end * Only REITs and infrastructure funds (only issues with a 6-month fiscal year-end)	MMDD	0930
Sector_Code	Sector Code	* For the Sector Code List, see the next page	7200
Sector(JP)	Sector (Japanese)		その他金融業
Sector(EN)	Sector (English)		Other Financing Business
TSE_Market_Section_Code	TSE Market Section Code	* For the TSE Market Section Code List, see the next page	011
TSE_Market_Section(JP)	TSE Market Section (Japanese)		プライム
TSE_Market_Section(EN)	TSE Market Section (English)		Prime
Status(New_or_Revised)	Flags indicating differences from the previously provided file (addition of new rows (new listing) or revision of data content)	0 : No revision 1 : New 2 : Revised	0

\* Please note that some contents may be revised.

# Actual Data File Specifications (2/2)

## [Sector Code List]

Sector Code	Sector (Japanese)	Sector (English)
0050	水産・農林業	Fishery, Agriculture & Forestry
1050	鉱業	Mining
2050	建設業	Construction
3050	食料品	Foods
3100	繊維製品	Textiles & Apparels
3150	パルプ・紙	Pulp & Paper
3200	化学	Chemicals
3250	医薬品	Pharmaceutical
3300	石油・石炭製品	Oil & Coal Products
3350	ゴム製品	Rubber Products
3400	ガラス・土石製品	Glass & Ceramics Products
3450	鉄鋼	Iron & Steel
3500	非鉄金属	Nonferrous Metals
3550	金属製品	Metal Products
3600	機械	Machinery
3650	電気機器	Electric Appliances
3700	輸送用機器	Transportation Equipment
3750	精密機器	Precision Instruments
3800	その他製品	Other Products
4050	電気・ガス業	Electric Power & Gas
5050	陸運業	Land Transportation
5100	海運業	Marine Transportation
5150	空運業	Air Transportation
5200	倉庫・運輸関連業	Warehousing & Harbor Transportation Services
5250	情報・通信業	Information & Communication
6050	卸売業	Wholesale Trade
6100	小売業	Retail Trade
7050	銀行業	Banks
7100	証券・商品先物取引業	Securities & Commodities Futures
7150	保険業	Insurance
7200	その他金融業	Other Financing Business
8050	不動産業	Real Estate
9050	サービス業	Services
9999	その他	Others

## [TSE Market Section Code List]

TSE Market Section Code	TSE Market Section (Japanese)	TSE Market Section (English)	Remarks
008	TOKYO PRO Market	TOKYO PRO Market	
011	プライム	Prime	
012	スタンダード	Standard	
013	グロース	Growth	
111	外国株プライム	Prime Foreign Stocks	
112	外国株スタンダード	Standard Foreign Stocks	
113	外国株グロース	Growth Foreign Stocks	
999	その他	Others	Preferred Equity Investment Securities (Shinkin Central Bank (84210))
ETF	ETF	ETFs	
ETN	ETN	ETNs	
IFD	インフラファンド	Infrastructure Funds	
RET	不動産投資信託 (REIT)	Real Estate Investment Trusts (REITs)	