

Guide for Scheduled Dates of Earnings Releases Information Service

(Ver. 2.0)
JPX Market Innovation & Research, Inc.
April 2024



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1. Service Outline (1)

- JPX Market Innovation & Research, Inc. (JPXI) will provide CSV files that list the scheduled dates of the earnings releases that listed companies, REITs, and infrastructure funds listed on TSE (collectively referred to as "TSE-listed Companies, Etc.") periodically provide Tokyo Stock Exchange (TSE).
- The two types of services offered are as follows:

Daily Data (Monthly Fee)	Historical Data (Bulk Purchase)
<ul style="list-style-type: none"> ➤ Based on the information TSE-listed Companies, Etc. provide TSE by the day before the data provision date TSE provides service users with a list of the scheduled dates of earnings releases from TSE-listed Companies, Etc. every business day. Note that the exact time that the earnings releases will be released will not be provided. 	<ul style="list-style-type: none"> ➤ JPXI provides users with a list of the scheduled dates for earnings releases that TSE-listed Companies, Etc. have provided TSE in the past for a period designated by the user (JPXI can provide data from September 2014 onward). Note that the exact time that the earnings releases will be released will not be provided. ➤ JPXI provides two sets of files: one containing all records reported to TSE by TSE-listed Companies, Etc., and the other containing only the change history records (history of changes reported on the scheduled date of the earnings releases).

- For the time being, JPXI provides three years of historical data (hereinafter referred to as "sample data") free of charge for potential users to check the contents of the data. For details, please refer to part 6.
- To use this service, users must agree to the terms and conditions set forth by JPXI and apply for the service via the online application form.

(Note) In order to enable investors and information intermediaries to collect financial information efficiently, TSE asks TSE-listed Companies, Etc. to report the scheduled date of earnings releases by the 25th of the month that includes the closing date and the end date of each quarter. Please note that the scheduled release date of earnings reports may be listed as "undetermined," or may be changed due to individual company circumstances.

1. Service Outline (2)

- A summary of the daily and historical data provided is as follows:

		Daily Data	Historical Data
Data Provided	Contents	<ul style="list-style-type: none"> • Scheduled date (no exact time) of earnings releases from TSE-listed Companies, Etc. as of the day before the date of data provision, etc. * Contains the scheduled dates of each company's first quarter, second quarter (interim), third quarter, and annual earnings releases. (Note) Records exist for all issues (including ETF (exchange traded funds) and ETN (exchange traded notes) listed on TSE as of the day before the data is provided, regardless of whether there is a scheduled earnings report release date (ETFs and ETNs are not required to report this information to TSE). 	<ul style="list-style-type: none"> • Scheduled date (no exact time) of earnings releases from TSE-listed Companies, Etc. after September 2014, etc. * Two types of files are provided: a file containing all records reported by each company to TSE during the designated period, and a file containing only the change history records (change report history of the scheduled date of earnings releases).
	File Format	<ul style="list-style-type: none"> • CSV 	<ul style="list-style-type: none"> • CSV
Provision method	Platform	<ul style="list-style-type: none"> • FTP/SFTP distribution via the JPXI operated Tokyo Market Information system (TMI system) 	<ul style="list-style-type: none"> • E-mail (download link sent)
	Frequency	<ul style="list-style-type: none"> • Every business day at 10:00 a.m. 	<ul style="list-style-type: none"> • Bulk supply for a user designated period
Fee		<ul style="list-style-type: none"> • Monthly fee 	<ul style="list-style-type: none"> • Bulk purchase
Contract Structure		<ul style="list-style-type: none"> • Consent to the terms and conditions • Apply online • One-year subscription contract (automatic renewal for one year unless cancellation is requested at least one month prior to expiration of the contract) 	<ul style="list-style-type: none"> • Consent to the terms and conditions • Data is provided in batches after confirmation of payment by JPXI.
Other		<ul style="list-style-type: none"> • Data for the past 7 days (including non-business days) can be obtained, including files provided on the same day 	<ul style="list-style-type: none"> • Data from September 2014 onward can be purchased in one-month increments * Data after May 20, 2024 will be provided in the Daily Data format.

2. Daily Data File Specifications (1)

- The daily data contains the following items.

Header	Content	Description	Example
Data_Date	Data provision date	YYYYMMDD	20240104
Product_Classification	Product Classification Code	1 : Stocks* 2 : ETNs* 3 : ETFs* 4 : REITs/Infrastructure Funds * Including domestic and foreign	1
Securities_Code	Securities Code	5-digit number	86970
Name(JP)	Issue Name (Japanese)	Issue name in Japanese	日本取引所グループ
Name(EN)	Issue Name (English)	Issue name in English	Japan Exchange Group, Inc.
Scheduled_Date_1Q	Scheduled date of earnings releases for the first quarter	YYYYMMDD	20230728
Scheduled_Date_2Q	Scheduled date of earnings releases for the second quarter (interim)	YYYYMMDD	20231026
Scheduled_Date_3Q	Scheduled date of earnings releases for the third quarter	YYYYMMDD	20240129
Scheduled_Date_Fiscal_Year	Scheduled date of earnings releases for the fiscal year	YYYYMMDD	20230427
Fiscal_Year-end1	Fiscal Year-end	MMDD	0331
Fiscal_Year-end2	Fiscal Year-end * Only REITs and infrastructure funds (only issues with a 6-month fiscal year-end)	MMDD	0930
Sector_Code	Sector Code	* For the Sector Code List, see the next page	7200
Sector(JP)	Sector (Japanese)		その他金融業
Sector(EN)	Sector (English)		Other Financing Business
TSE_Market_Section_Code	TSE Market Section Code	* For the TSE Market Section Code List, see the next page	011
TSE_Market_Section(JP)	TSE Market Section (Japanese)		プライム
TSE_Market_Section(EN)	TSE Market Section (English)		Prime
Status(New_or_Revised)	Flags indicating differences from the previously provided file (addition of new rows (new listing) or revision of data content)	0 : No revision 1 : New 2 : Revised	0

2. Daily Data File Specifications (2)

[Sector Code List]

Sector Code	Sector (Japanese)	Sector (English)
0050	水産・農林業	Fishery, Agriculture & Forestry
1050	鉱業	Mining
2050	建設業	Construction
3050	食料品	Foods
3100	繊維製品	Textiles & Apparels
3150	パルプ・紙	Pulp & Paper
3200	化学	Chemicals
3250	医薬品	Pharmaceutical
3300	石油・石炭製品	Oil & Coal Products
3350	ゴム製品	Rubber Products
3400	ガラス・土石製品	Glass & Ceramics Products
3450	鉄鋼	Iron & Steel
3500	非鉄金属	Nonferrous Metals
3550	金属製品	Metal Products
3600	機械	Machinery
3650	電気機器	Electric Appliances
3700	輸送用機器	Transportation Equipment
3750	精密機器	Precision Instruments
3800	その他製品	Other Products
4050	電気・ガス業	Electric Power & Gas
5050	陸運業	Land Transportation
5100	海運業	Marine Transportation
5150	空運業	Air Transportation
5200	倉庫・運輸関連業	Warehousing & Harbor Transportation Services
5250	情報・通信業	Information & Communication
6050	卸売業	Wholesale Trade
6100	小売業	Retail Trade
7050	銀行業	Banks
7100	証券・商品先物取引業	Securities & Commodities Futures
7150	保険業	Insurance
7200	その他金融業	Other Financing Business
8050	不動産業	Real Estate
9050	サービス業	Services
9999	その他	Others

[TSE Market Section Code List]

TSE Market Section Code	TSE Market Section (Japanese)	TSE Market Section (English)	Remarks
008	TOKYO PRO Market	TOKYO PRO Market	
011	プライム	Prime	
012	スタンダード	Standard	
013	グロース	Growth	
111	外国株プライム	Prime Foreign Stocks	
112	外国株スタンダード	Standard Foreign Stocks	
113	外国株グロース	Growth Foreign Stocks	
999	その他	Others	Preferred Equity Investment Securities (Shinkin Central Bank (84210))
ETF	ETF	ETFs	
ETN	ETN	ETNs	
IFD	インフラファンド	Infrastructure Funds	
RET	不動産投資信託 (REIT)	Real Estate Investment Trusts (REITs)	

2. Daily Data File Specifications (3)

- This information is intended for the user's personal use or for viewing by a third party in a limited environment such as a members-only site. Users are prohibited from distributing the provided Information externally to an unspecified number of people on open websites, etc., or providing the Information to third parties for redistribution.
- File provision may be delayed due to system trouble or other reasons.
- This data does not reflect information reported to TSE by TSE-listed Companies, Etc. on the day the data is provided. (For example, the data provided on April 1 does not reflect the information reported on April 1. The data from April 1 will be reflected in the data provided on the following day, April 2.)
- The scheduled dates of earnings releases included in this data are those reported to TSE by TSE-listed Companies, Etc. and are subject to change on a daily basis. There is a possibility that the actual announcement date of earnings releases or settlement periods may differ from the reported dates. For example, the earnings release may actually be made on a date different from the reported scheduled date.
- Twenty business days prior to the end date of each company's fiscal year, the data for the current fiscal year and for Q1-Q3 of the following fiscal year will be recalculated.
- **(Example) For a company with a fiscal year ending March 31**

Schedule	Scheduled Release Date of Earnings Report				Remarks
	Full financial statement	1Q	2Q	3Q	
20 business days prior (before the beginning of March)	20230428	20230731	20231031	20240131	Contains the dates (or scheduled dates) of the main earnings releases from the previous fiscal year and the Q1-Q3 earnings releases from the current fiscal year. The data is updated as needed when each company reports to TSE. Periods that have not yet been reported are blank.
After 20 business days (= after early March)	20240430	20240731			Twenty business days prior to the end date of the fiscal year, the data will be replaced with the current fiscal year's main closing date and the scheduled dates of the next fiscal year's Q1-Q3 earnings releases. Thereafter, the data will be updated as needed as each company reports to TSE. Periods that have not yet been reported will be blank.

- JPXI does not guarantee the completeness, certainty, validity, usefulness, up-to-dateness, or compatibility with the purpose of use and environment of the Data user. Further, JPXI shall not be liable for any damage arising out of the use of or inability to use the Data by the user, unless such damage is caused by JPXI's willful misconduct or gross negligence.

2. Historical Data File Specifications (1)

- The historical data contains the following items.

* The file containing all records reported to TSE by TSE-listed Companies, Etc., and the other containing only the change history records (history of changes reported on the scheduled date of the earnings releases) contain the same items for both.

Header	Contents	Description	Example
Data_Date	Data provision date	YYYYMMDD	20230227
Securities_Code	Securities code	Specific name code (4-digit) and reserved code (1-digit) specified by the Securities Identification Code Committee	86970
Name(JP)	Name of the security (in Japanese)	Name of the security (in Japanese)	日本取引所グループ
Name(EN)	Name of the security (in English)	Name of the security (in English)	Japan Exchange Group, Inc.
Scheduled_Date	Scheduled date of earnings release	YYYYMMDD * Set "99999999" for "undecided."	20230427
Fiscal_Year-end	End of fiscal year reported to TSE	YYYYMMDD	20230331
Quarter(JP)	Period covered by the earnings release (in Japanese)	First Quarter Second Quarter Third Quarter Fiscal Year	本決算
Quarter(EN)	Period covered by the earnings release (in English)	First Quarter Second Quarter Third Quarter Fiscal Year	Fiscal year
Sector_Code	Sector code	* For the Sector Code List, see the next page	7200
Sector(JP)	Sector name (in Japanese)		その他金融業
Sector(EN)	Sector name (in English)		Other Financing Business

2. Historical Data File Specifications (2)

* The Sector Code List is the same as the Daily Data.

[Sector Code List]

Sector Code	Sector (Japanese)	Sector (English)
0050	水産・農林業	Fishery, Agriculture & Forestry
1050	鉱業	Mining
2050	建設業	Construction
3050	食料品	Foods
3100	繊維製品	Textiles & Apparels
3150	パルプ・紙	Pulp & Paper
3200	化学	Chemicals
3250	医薬品	Pharmaceutical
3300	石油・石炭製品	Oil & Coal Products
3350	ゴム製品	Rubber Products
3400	ガラス・土石製品	Glass & Ceramics Products
3450	鉄鋼	Iron & Steel
3500	非鉄金属	Nonferrous Metals
3550	金属製品	Metal Products
3600	機械	Machinery
3650	電気機器	Electric Appliances
3700	輸送用機器	Transportation Equipment
3750	精密機器	Precision Instruments
3800	その他製品	Other Products
4050	電気・ガス業	Electric Power & Gas
5050	陸運業	Land Transportation
5100	海運業	Marine Transportation
5150	空運業	Air Transportation
5200	倉庫・運輸関連業	Warehousing & Harbor Transportation Services
5250	情報・通信業	Information & Communication
6050	卸売業	Wholesale Trade
6100	小売業	Retail Trade
7050	銀行業	Banks
7100	証券、商品先物取引業	Securities & Commodities Futures
7150	保険業	Insurance
7200	その他金融業	Other Financing Business
8050	不動産業	Real Estate
9050	サービス業	Services
9999	その他	Others

3. Historical Data File Specifications (3)

- Comparison of files containing all records vs. files containing only change history:

Entire Record	Change History Record
<p>➤ This data contains all records reported to TSE by TSE-listed Companies, Etc. (both new reports and change reports on the scheduled date of earnings releases).</p>	<p>➤ Of the left column, only the change history record (history of reported changes in the scheduled date of earnings releases) is included.</p> <p>➤ Specifically, the following items (2), (3), (4), (5), and (6) are included.</p> <ul style="list-style-type: none"> • (1) Undecided → (2) Specific date • (3) Specific date → (4) Undecided • (5) Specific date → (6) Another specific date <p>➤ If a record is reported as "undecided" at the time of reporting, the record is not recorded. If there is a record with only a specific date for the same company, the record will be (1) undecided → (2) specific date</p>

Example

Date of Data Provision	Company Name	Scheduled Date of Earnings Release	New/Change	All records included	Change History Record
20240318	Company A	20240430	New	○	○
20240319	Company B	20240430	New	○	○
20240321	Company C	20240507	New	○	×
20240322	Company B	99999999	Change	○	○
20240325	Company D	99999999	New	○	×
20240412	Company A	20240501	Change	○	○
20240501	Company D	20240510	Change	○	○

3. Historical Data File Specifications (4)

- JPXI can provide data from September 2014 onward.
- "Data_Date" (data provision date) is the date that the daily data was provided. The data was reported by the day before said date.
- The historical data may not include data for the following securities.
 - Securities with an irregular fiscal year due to a change in the fiscal year period
 - ETFs, ETNs, TOKYO PRO Market-listed securities, and foreign stocks (because they are not required to report the scheduled dates of their earnings releases to TSE)
 - Securities of TSE-listed Companies, Etc. that have not reported their scheduled dates of earnings releases to TSE
- The historical data includes the data of TSE-listed Companies, Etc. that are not listed as of April 2024. In addition, the names, sectors, and/or fiscal year-ends of some securities may not be current if any of those attributes have changed since September 2014.
- The scheduled dates of earnings releases included in the historical data are those reported to TSE by TSE-listed Companies, Etc. Please be advised that these are only scheduled dates that were reported to TSE, and they may differ from the dates that the earnings releases were actually disclosed.
- TSE-listed Companies, Etc. may report that their scheduled dates of earnings releases are "undecided" depending on their circumstances. As a result, the historical data includes "undecided" data.
- JPXI does not guarantee the completeness, certainty, validity, usefulness, up-to-dateness, or compatibility with the purpose of use and environment of the Data user. Further, JPXI shall not be liable for any damage arising out of the use of or inability to use the Data by the user, unless such damage is caused by JPXI's willful misconduct or gross negligence.

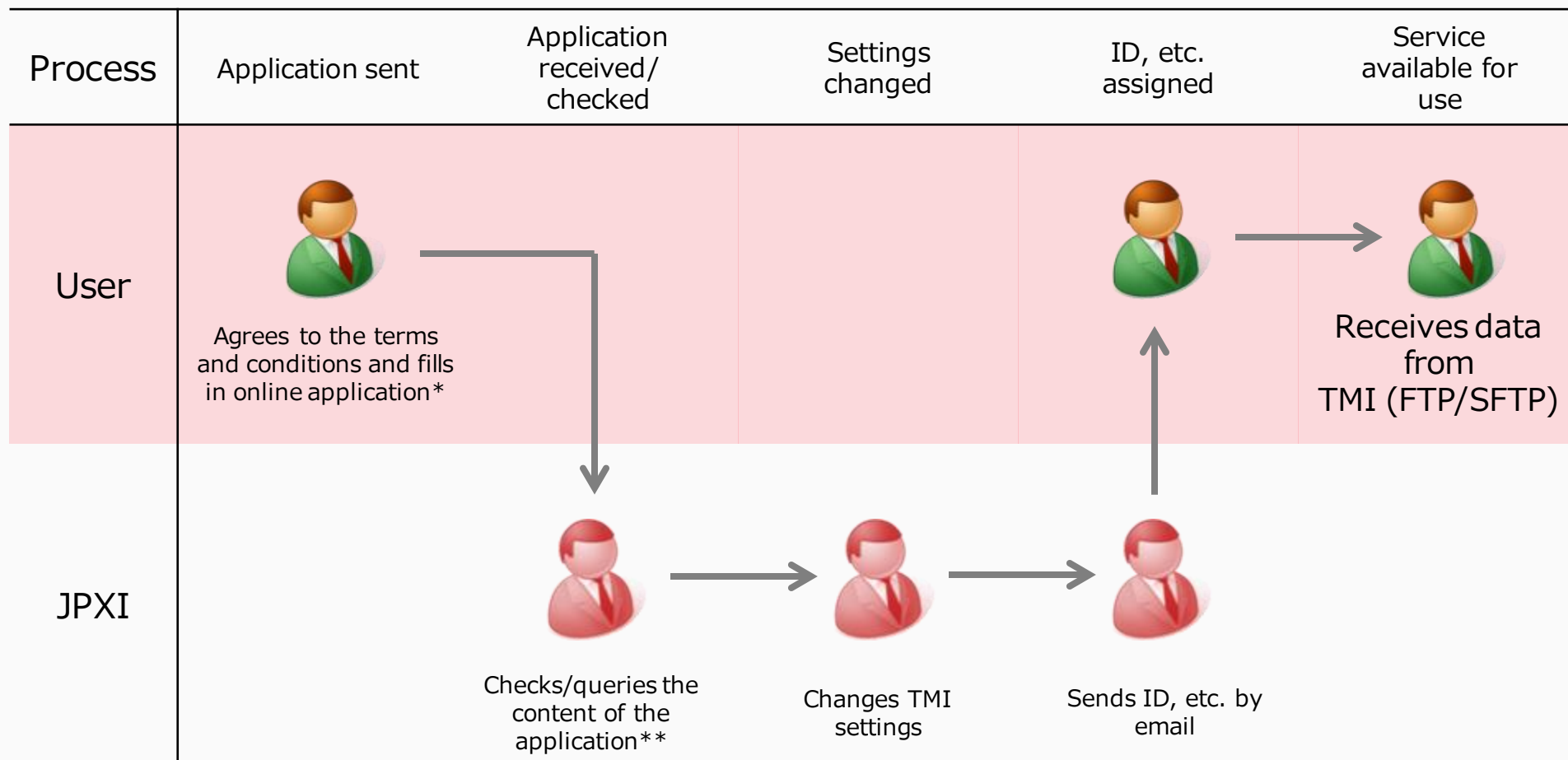
4. Fee Structure

- The fee structure for daily and historical data is as follows (tax not included):

	Internal Use	External Distribution
Daily Data	<ul style="list-style-type: none">• For a single entity: JPY 75,000/month• For an affiliated company: JPY 150,000/month	<ul style="list-style-type: none">• JPY 150,000/month* Not to be distributed on open websites or provided to third parties for re-distribution.
Historical Data	<ul style="list-style-type: none">• For a single entity: JPY 75,000/month• For an affiliated company: JPY 150,000/month <p>(Example) If you wish to use 5 years (60 months), For a single entity: JPY 4,500,000/month For an affiliated company: JPY 9,000,000/month</p>	<ul style="list-style-type: none">* External distribution prohibited

5. Application Process (Daily Data)

- If you wish to use the daily data after May 20, 2024, the following procedures are required before you can start.

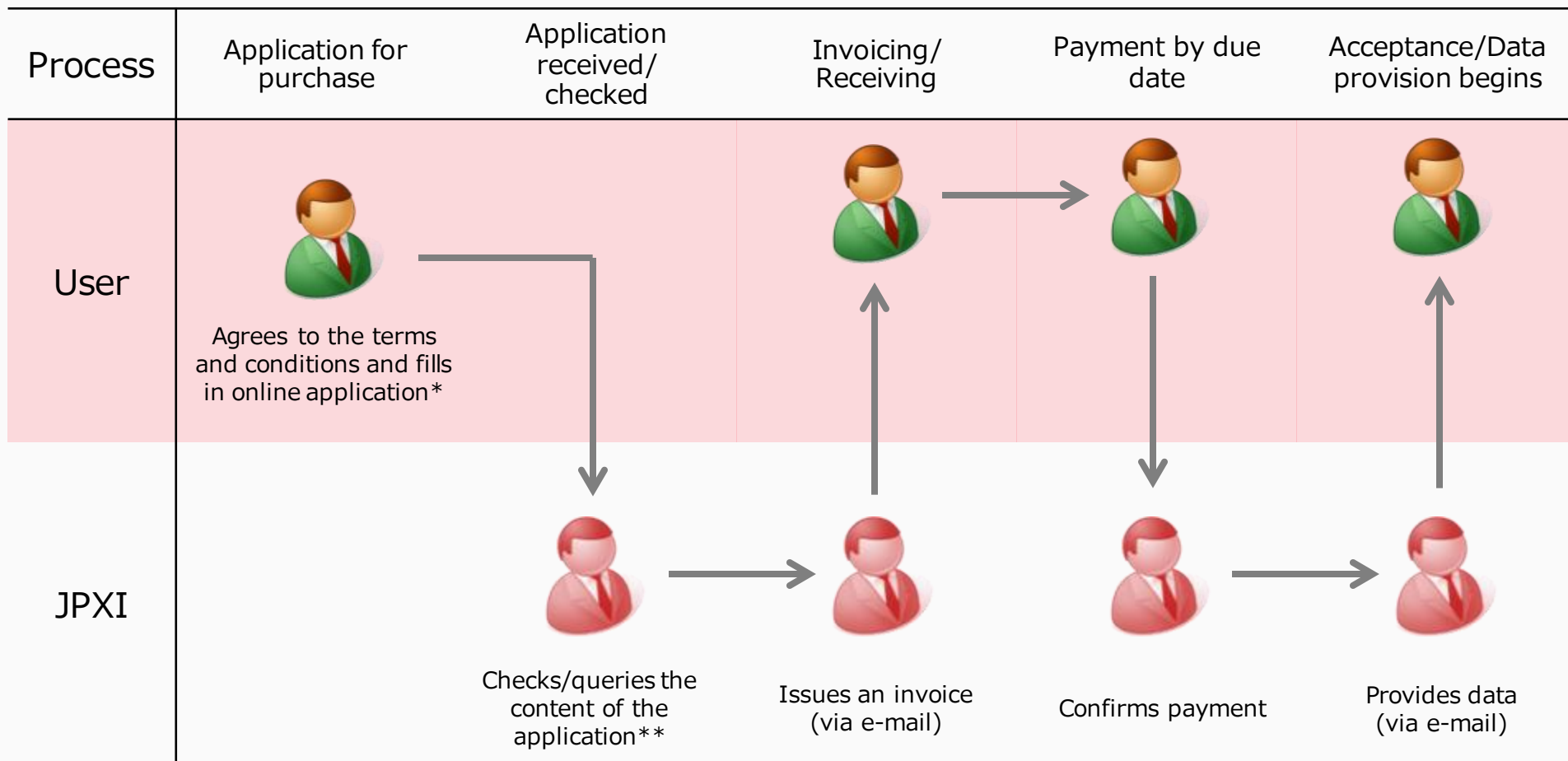


*Subscription, modification, and cancellation must be made by filling out the online application.

**Depending on the contents of the application, JPXI may contact you to confirm some details.

5. Application Process (Historical Data)

- The following illustrates the process for purchasing historical data.
- Data will be provided when payment is confirmed.



*Subscription, modification, and cancellation must be made by filling out the online application.
 **Depending on the contents of the application, JPXI may contact you to confirm some details.

6. Sample Data

- For the time being, JPXI will be providing three years of historical data, from 2021 to 2023, free of charge so potential users can see what kind of data is available.
- The service is available free of charge for up to three months from the date the sample data is provided, so please apply if you are interested in this service.
- The content and format of the sample data are that of the "Historical Data" option.

How to apply

- To use the sample data, users must agree to the terms and conditions of the "[Terms of Use of the Sample Data for the Scheduled Dates of Earnings Releases Information Service](#)" provided by JPXI and apply for the sample data using the web form.
- After application, you will be sent a download link for the sample data by e-mail, usually within 1-2 business days.
- To apply, please fill out the sample data application form below.
<https://reg18.smp.ne.jp/regist/is?SMPFORM=lhmc-ljtbpg-759d8f98fc9cf6c44d263a98caf35cad>

7. Contact

- For inquiries regarding service details or procedures to start using the service, please contact us via the email address below.

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