

Form 4 (for client's customer)

(Client→Client's customer→Trading Participant→OSE(Copy))

Date: _____

To: _____

Written Confirmation pertaining to Market Making (for Client's Customer)

Name of Client's Customer _____

Address/Location _____

Signed by Representative _____

Name and Title of the Person in Charge _____

(Title) _____

(Phone) _____

(E-mail) _____

We hereby confirm that we fully understand the details of the Market Maker Program for the Osaka Exchange (OSE) futures and options markets, and the conditions described in 1. below. Subject to such confirmation, we will conduct market making on OSE futures and options contracts through you.

1. Conditions

- (i) You will receive a discount on trading fees from OSE if we meet certain conditions established by OSE under the OSE Market Making Program on the OSE futures and options markets. Such discounts will not be directly applicable to us.
- (ii) Contracts and roles of market making is written in the Form1: Application Form for Market Maker (Agency) by a trading participant.

(Treatment of personal information)

OSE requests personal information in order to maintain communication, etc. pertaining to Market Making. Please access the following URL for our Privacy Policy and inquiries about personal information.

(<https://www.jpx.co.jp/english/corporate/governance/security/personal-information/index.html>)

Form Updated 2021/9/21