

Index Consultation Implementation Guidelines

March 21, 2024

JPX Market Innovation & Research, Inc.

1. Purpose

Index Consultation Implementation Guidelines (hereinafter "Guidelines") stipulate necessary matters for the Index Consultation function pursuant to the provisions of Article 9 of "Policies Concerning Calculation of JPXI Indices".

2. Relevant Matters

The Guidelines shall apply to the matters specified in Article 9 of "Policies Concerning Calculation of JPXI Indices" and Article 4 of "Policies Concerning Suspension or Migration of JPXI Indices".

3. Implementation Procedure

(1) Timing

JPX Market Innovation & Research, Inc. (hereinafter "JPXI") will publish drafts of planned measures before making final decisions.

(2) Materials for Publication

The published drafts will consist of materials that clearly set out the details of planned measures.

(3) Publication Method

JPXI will post said materials on the official website of Japan Exchange Group, Inc. (hereinafter "JPX official website")

(4) Consultation Period

The consultation period shall be, as a general rule, at least thirty (30) days counting from the day after publication. If the period is less than thirty (30) days, JPXI will publish the reasons for this on the JPX official website.

(5) Reopening of Consultation

JPXI may reopen a consultation if it deems it necessary.

(6) Method for Submission of Opinions

Opinions can be submitted via the JPX official website. Submissions must specify the following matters:

- (i) Name of submitter,
- (ii) Occupation of submitter,

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- (iii) Name and business type of the corporation, organization, etc. to which the submitter belongs,
- (iv) Contact information (i.e., phone number and email address), and
- (v) Opinions on the draft(s).

(7) Handling of Submitted Opinions

JPXI will make the final decision on matters put out for consultation at daily meetings on the administration of JPXI Indices (JPXI Indices Administering Meeting), taking into consideration the opinions submitted. Finalized measures will be published on the JPX official website.

(Reference Translation)

Revision History

Date of Publication	Details
April 1, 2020	– The first edition
April 4, 2022	– Made revisions in line with the business transfer to JPXI (applied on April 1, 2022 retroactively)
March 21, 2024	– Made revisions in line with the name change of “Policies Concerning Calculation of TSE Indices” and “Policies Concerning Suspension or Migration of TSE Indices”

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