

# Trading by Type of Investors

## (Nikkei 225 Futures)

Note: - " " in "Balance" column indicates net selling.

- This survey covers Trading Participants with capital of 3 billion yen or more.

2013

Volume: units      Value: 1,000 yen      Ratio: %

### Total, Proprietary & Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Proprietary	Sales	4,062,714	13.5	7,148	54,652,120,889	13.4	61,774,292
	Purchases	4,055,566	13.4	-	54,590,346,597	13.4	-
	Total	8,118,280	13.5	-	109,242,467,486	13.4	-
Brokerage	Sales	26,118,571	86.5	-	354,149,202,430	86.6	162,894
	Purchases	26,120,619	86.6	2,048	354,149,039,536	86.6	-
	Total	52,239,190	86.5	-	708,298,241,966	86.6	-
Total	Sales	30,181,285	100.0	5,100	408,801,323,319	100.0	61,937,186
	Purchases	30,176,185	100.0	-	408,739,386,133	100.0	-
	Total	60,357,470	100.0	-	817,540,709,452	100.0	-

### Breakdown of Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Institutions	Sales	1,491,423	5.7	-	20,204,975,291	5.7	-
	Purchases	1,537,496	5.9	46,073	20,785,545,190	5.9	580,569,899
	Total	3,028,919	5.8	-	40,990,520,481	5.8	-
Individuals	Sales	3,180,222	12.2	-	42,773,260,570	12.1	-
	Purchases	3,190,133	12.2	9,911	42,941,666,590	12.1	168,406,020
	Total	6,370,355	12.2	-	85,714,927,160	12.1	-
Foreigners	Sales	21,103,281	80.8	54,342	286,450,511,799	80.9	755,732,723
	Purchases	21,048,939	80.6	-	285,694,779,076	80.7	-
	Total	42,152,220	80.7	-	572,145,290,875	80.8	-
Securities Cos.	Sales	343,645	1.3	-	4,720,454,770	1.3	-
	Purchases	344,051	1.3	406	4,727,048,680	1.3	6,593,910
	Total	687,696	1.3	-	9,447,503,450	1.3	-

### Breakdown of Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Investment Trusts	Sales	355,209	1.4	-	4,856,969,867	1.4	-
	Purchases	369,301	1.4	14,092	5,055,843,785	1.4	198,873,918
	Total	724,510	1.4	-	9,912,813,652	1.4	-
Business Cos.	Sales	236,758	0.9	-	3,114,125,076	0.9	-
	Purchases	237,275	0.9	517	3,124,831,010	0.9	10,705,934
	Total	474,033	0.9	-	6,238,956,086	0.9	-
Other Institutions	Sales	60,098	0.2	1,110	793,321,400	0.2	17,963,680
	Purchases	58,988	0.2	-	775,357,720	0.2	-
	Total	119,086	0.2	-	1,568,679,120	0.2	-
Financial Institutions	Sales	839,358	3.2	-	11,440,558,948	3.2	-
	Purchases	871,932	3.3	32,574	11,829,512,675	3.3	388,953,727
	Total	1,711,290	3.3	-	23,270,071,623	3.3	-

### Breakdown of Financial Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Insurance Cos.	Sales	41,038	0.2	5,858	565,257,160	0.2	80,192,326
	Purchases	35,180	0.1	-	485,064,834	0.1	-
	Total	76,218	0.1	-	1,050,321,994	0.1	-
City BKs, Regional BKs, etc.	Sales	217,376	0.8	-	2,915,175,093	0.8	-
	Purchases	233,672	0.9	16,296	3,102,890,525	0.9	187,715,432
	Total	451,048	0.9	-	6,018,065,618	0.8	-
Trust Banks	Sales	549,040	2.1	-	7,515,862,265	2.1	-
	Purchases	570,747	2.2	21,707	7,791,714,896	2.2	275,852,631
	Total	1,119,787	2.1	-	15,307,577,161	2.2	-
Other Financial Institutions	Sales	31,904	0.1	-	444,264,430	0.1	-
	Purchases	32,333	0.1	429	449,842,420	0.1	5,577,990
	Total	64,237	0.1	-	894,106,850	0.1	-

# Trading by Type of Investors

## (Nikkei 225 mini)

Note: "-" in "Balance" column indicates net selling.

- This survey covers Trading Participants with capital of 3 billion yen or more.

2013

Volume: units

Value: yen

Ratio: %

### Total, Proprietary & Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Proprietary	Sales	18,107,962	7.9		24,112,420,998,600	7.8	
	Purchases	18,227,500	7.9	119,538	24,265,246,004,400	7.8	152,825,005,800
	Total	36,335,462	7.9	-	48,377,667,003,000	7.8	-
Brokerage	Sales	211,822,041	92.1	131,228	286,325,592,558,300	92.2	168,172,663,100
	Purchases	211,690,813	92.1		286,157,419,895,200	92.2	
	Total	423,512,854	92.1	-	572,483,012,453,500	92.2	-
Total	Sales	229,930,003	100.0	11,690	310,438,013,556,900	100.0	15,347,657,300
	Purchases	229,918,313	100.0		310,422,665,899,600	100.0	
	Total	459,848,316	100.0	-	620,860,679,456,500	100.0	-

### Breakdown of Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Institutions	Sales	1,070,480	0.5		1,457,820,191,600	0.5	
	Purchases	1,074,877	0.5	4,397	1,464,589,839,300	0.5	6,769,647,700
	Total	2,145,357	0.5	-	2,922,410,030,900	0.5	-
Individuals	Sales	46,480,295	21.9		62,695,861,671,500	21.9	
	Purchases	46,576,097	22.0	95,802	62,841,927,868,500	22.0	146,066,197,000
	Total	93,056,392	22.0	-	125,537,789,540,000	21.9	-
Foreigners	Sales	159,546,441	75.3	241,434	215,803,676,253,200	75.4	335,104,384,300
	Purchases	159,305,007	75.3		215,468,571,868,900	75.3	
	Total	318,851,448	75.3	-	431,272,248,122,100	75.3	-
Securities Cos.	Sales	4,724,825	2.2		6,368,234,442,000	2.2	
	Purchases	4,734,832	2.2	10,007	6,382,330,318,500	2.2	14,095,876,500
	Total	9,459,657	2.2	-	12,750,564,760,500	2.2	-

### Breakdown of Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Investment Trusts	Sales	12,180	0.0	340	17,718,454,600	0.0	321,514,900
	Purchases	11,840	0.0		17,396,939,700	0.0	
	Total	24,020	0.0	-	35,115,394,300	0.0	-
Business Cos.	Sales	782,468	0.4		1,057,937,179,000	0.4	
	Purchases	787,966	0.4	5,498	1,065,885,198,500	0.4	7,948,019,500
	Total	1,570,434	0.4	-	2,123,822,377,500	0.4	-
Other Institutions	Sales	188,481	0.1		259,230,136,500	0.1	
	Purchases	190,474	0.1	1,993	262,146,439,500	0.1	2,916,303,000
	Total	378,955	0.1	-	521,376,576,000	0.1	-
Financial Institutions	Sales	87,351	0.0	2,754	122,934,421,500	0.0	3,773,159,900
	Purchases	84,597	0.0		119,161,261,600	0.0	
	Total	171,948	0.0	-	242,095,683,100	0.0	-

### Breakdown of Financial Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Insurance Cos.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
City BKs, Regional BKs, etc.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Trust Banks	Sales	10,085	0.0		13,937,888,000	0.0	
	Purchases	11,062	0.0	977	15,345,993,600	0.0	1,408,105,600
	Total	21,147	0.0	-	29,283,881,600	0.0	-
Other Financial Institutions	Sales	77,266	0.0	3,731	108,996,533,500	0.0	5,181,265,500
	Purchases	73,535	0.0		103,815,268,000	0.0	
	Total	150,801	0.0	-	212,811,801,500	0.0	-

# Trading by Type of Investors

## (Nikkei 300 Futures)

Note: "-" in "Balance" column indicates net selling.

This survey covers Trading Participants with capital of 3 billion yen or more.

2013

Volume: units      Value: 1,000 yen      Ratio: %

### Total, Proprietary & Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Proprietary	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Brokerage	Sales	250	100.0		596,188	100.0	
	Purchases	250	100.0		596,188	100.0	
	Total	500	100.0	-	1,192,376	100.0	-
Total	Sales	250	100.0		596,188	100.0	
	Purchases	250	100.0		596,188	100.0	
	Total	500	100.0	-	1,192,376	100.0	-

### Breakdown of Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Individuals	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Foreigners	Sales	250	100.0		596,188	100.0	
	Purchases	250	100.0		596,188	100.0	
	Total	500	100.0	-	1,192,376	100.0	-
Securities Cos.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-

### Breakdown of Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Investment Trusts	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Business Cos.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Other Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Financial Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-

### Breakdown of Financial Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Insurance Cos.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
City Bks, Regional Bks, etc.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Trust Banks	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Other Financial Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-

# Trading by Type of Investors

## (RNP Futures)

Note: "-" in "Balance" column indicates net selling.

This survey covers Trading Participants with capital of 3 billion yen or more.

2013

Volume: units      Value: 1,000 yen      Ratio: %

### Total, Proprietary & Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Proprietary	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Brokerage	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Total	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-

### Breakdown of Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Individuals	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Foreigners	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Securities Cos.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-

### Breakdown of Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Investment Trusts	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Business Cos.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Other Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Financial Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-

### Breakdown of Financial Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Insurance Cos.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
City BKs, Regional BKs, etc.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Trust Banks	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Other Financial Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-

# Trading by Type of Investors

## (Nikkei 225 VI Futures)

Note: "-" in "Balance" column indicates net selling.

This survey covers Trading Participants with capital of 3 billion yen or more.

2013

Volume: units

Value: yen

Ratio: %

### Total, Proprietary & Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Proprietary	Sales	1,510	4.0	920	318,499,500	3.3	194,191,500
	Purchases	590	1.6		124,308,000	1.3	
	Total	2,100	2.8	-	442,807,500	2.3	-
Brokerage	Sales	36,577	96.0		9,345,206,500	96.7	
	Purchases	37,447	98.4	870	9,527,155,000	98.7	181,948,500
	Total	74,024	97.2	-	18,872,361,500	97.7	-
Total	Sales	38,087	100.0	50	9,663,706,000	100.0	12,243,000
	Purchases	38,037	100.0		9,651,463,000	100.0	
	Total	76,124	100.0	-	19,315,169,000	100.0	-

### Breakdown of Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Institutions	Sales	37	0.1		12,220,000	0.1	
	Purchases	44	0.1	7	15,471,500	0.2	3,251,500
	Total	81	0.1	-	27,691,500	0.1	-
Individuals	Sales	1,069	2.9		270,875,500	2.9	
	Purchases	1,206	3.2	137	300,935,500	3.2	30,060,000
	Total	2,275	3.1	-	571,811,000	3.0	-
Foreigners	Sales	35,441	96.9		9,054,612,500	96.9	
	Purchases	36,166	96.6	725	9,203,097,000	96.6	148,484,500
	Total	71,607	96.7	-	18,257,709,500	96.7	-
Securities Cos.	Sales	30	0.1		7,498,500	0.1	
	Purchases	31	0.1	1	7,651,000	0.1	152,500
	Total	61	0.1	-	15,149,500	0.1	-

### Breakdown of Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Investment Trusts	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Business Cos.	Sales	37	0.1		12,220,000	0.1	
	Purchases	38	0.1	1	14,226,500	0.1	2,006,500
	Total	75	0.1	-	26,446,500	0.1	-
Other Institutions	Sales	0	0.0		0	0.0	
	Purchases	6	0.0	6	1,245,000	0.0	1,245,000
	Total	6	0.0	-	1,245,000	0.0	-
Financial Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-

### Breakdown of Financial Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Insurance Cos.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
City Bks, Regional Bks, etc.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Trust Banks	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Other Financial Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-

# Trading by Type of Investors

## (OSE DJIA Futures)

Note: "-" in "Balance" column indicates net selling.

This survey covers Trading Participants with capital of 3 billion yen or more.

2013

Volume: units

Value: yen

Ratio: %

### Total, Proprietary & Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Proprietary	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Brokerage	Sales	26,516	100.0		40,361,892,800	100.0	
	Purchases	26,525	100.0	9	40,373,345,500	100.0	11,452,700
	Total	53,041	100.0	-	80,735,238,300	100.0	-
Total	Sales	26,516	100.0		40,361,892,800	100.0	
	Purchases	26,525	100.0	9	40,373,345,500	100.0	11,452,700
	Total	53,041	100.0	-	80,735,238,300	100.0	-

### Breakdown of Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Institutions	Sales	757	2.9	137	1,182,236,500	2.9	208,577,200
	Purchases	620	2.3		973,659,300	2.4	
	Total	1,377	2.6	-	2,155,895,800	2.7	-
Individuals	Sales	12,937	48.8	934	19,593,131,200	48.5	1,294,187,000
	Purchases	12,003	45.3		18,298,944,200	45.3	
	Total	24,940	47.0	-	37,892,075,400	46.9	-
Foreigners	Sales	12,314	46.4		18,791,303,700	46.6	
	Purchases	13,367	50.4	1,053	20,262,729,900	50.2	1,471,426,200
	Total	25,681	48.4	-	39,054,033,600	48.4	-
Securities Cos.	Sales	508	1.9		795,221,400	2.0	
	Purchases	535	2.0	27	838,012,100	2.1	42,790,700
	Total	1,043	2.0	-	1,633,233,500	2.0	-

### Breakdown of Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Investment Trusts	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Business Cos.	Sales	746	2.8	137	1,166,253,100	2.9	208,597,800
	Purchases	609	2.3		957,655,300	2.4	
	Total	1,355	2.6	-	2,123,908,400	2.6	-
Other Institutions	Sales	11	0.0		15,983,400	0.0	
	Purchases	11	0.0		16,004,000	0.0	20,600
	Total	22	0.0	-	31,987,400	0.0	-
Financial Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-

### Breakdown of Financial Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Insurance Cos.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
City Bks, Regional Bks, etc.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Trust Banks	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Other Financial Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-

# Trading by Type of Investors

## (Nikkei 225 Options - Put)

Note: "-" in "Balance" column indicates net selling.

This survey covers Trading Participants with capital of 3 billion yen or more.

2013

Volume: units      Value: 1,000 yen      Ratio: %

### Total, Proprietary & Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Proprietary	Sales	3,276,951	12.8	284,753	298,318,679	7.8	
	Purchases	2,992,198	11.6		316,534,175	8.3	18,215,496
	Total	6,269,149	12.2	-	614,852,854	8.0	-
Brokerage	Sales	22,340,457	87.2		3,524,788,733	92.2	13,564,169
	Purchases	22,713,493	88.4	373,036	3,511,224,564	91.7	
	Total	45,053,950	87.8	-	7,036,013,297	92.0	-
Total	Sales	25,617,408	100.0		3,823,107,412	100.0	
	Purchases	25,705,691	100.0	88,283	3,827,758,739	100.0	4,651,327
	Total	51,323,099	100.0	-	7,650,866,151	100.0	-

### Breakdown of Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Institutions	Sales	574,425	2.6		39,557,871	1.1	
	Purchases	585,618	2.6	11,193	41,663,810	1.2	2,105,939
	Total	1,160,043	2.6	-	81,221,681	1.2	-
Individuals	Sales	2,972,430	13.3	122,337	165,898,728	4.7	6,285,431
	Purchases	2,850,093	12.5		159,613,297	4.5	
	Total	5,822,523	12.9	-	325,512,025	4.6	-
Foreigners	Sales	18,505,479	82.8		3,307,421,144	93.8	9,270,372
	Purchases	18,994,343	83.6	488,864	3,298,150,772	93.9	
	Total	37,499,822	83.2	-	6,605,571,916	93.9	-
Securities Cos.	Sales	288,123	1.3	4,684	11,910,990	0.3	114,305
	Purchases	283,439	1.2		11,796,685	0.3	
	Total	571,562	1.3	-	23,707,675	0.3	-

### Breakdown of Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Investment Trusts	Sales	17,639	0.1	4,751	658,309	0.0	67,892
	Purchases	12,888	0.1		590,417	0.0	
	Total	30,527	0.1	-	1,248,726	0.0	-
Business Cos.	Sales	308,618	1.4	18,428	13,616,971	0.4	705,400
	Purchases	290,190	1.3		12,911,571	0.4	
	Total	598,808	1.3	-	26,528,542	0.4	-
Other Institutions	Sales	38,967	0.2	5,821	2,633,171	0.1	25,487
	Purchases	33,146	0.1		2,607,684	0.1	
	Total	72,113	0.2	-	5,240,855	0.1	-
Financial Institutions	Sales	209,201	0.9		22,649,420	0.6	
	Purchases	249,394	1.1	40,193	25,554,138	0.7	2,904,718
	Total	458,595	1.0	-	48,203,558	0.7	-

### Breakdown of Financial Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Insurance Cos.	Sales	600	0.0		215,600	0.0	35,500
	Purchases	600	0.0		180,100	0.0	
	Total	1,200	0.0	-	395,700	0.0	-
City Bks, Regional Bks, etc.	Sales	19,519	0.1		2,808,316	0.1	
	Purchases	24,869	0.1	5,350	3,866,832	0.1	1,058,516
	Total	44,388	0.1	-	6,675,148	0.1	-
Trust Banks	Sales	188,269	0.8		19,588,807	0.6	
	Purchases	222,512	1.0	34,243	21,421,768	0.6	1,832,961
	Total	410,781	0.9	-	41,010,575	0.6	-
Other Financial Institutions	Sales	813	0.0		36,697	0.0	
	Purchases	1,413	0.0	600	85,438	0.0	48,741
	Total	2,226	0.0	-	122,135	0.0	-

# Trading by Type of Investors

## (Nikkei 225 Options - Call)

Note: "-" in "Balance" column indicates net selling.

- This survey covers Trading Participants with capital of 3 billion yen or more.

2013

Volume: units      Value: 1,000 yen      Ratio: %

### Total, Proprietary & Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Proprietary	Sales	2,893,578	9.8	196,218	411,338,034	6.7	42,396,231
	Purchases	2,697,360	9.1	-	368,941,803	6.0	-
	Total	5,590,938	9.5	-	780,279,837	6.3	-
Brokerage	Sales	26,645,995	90.2	-	5,758,104,962	93.3	-
	Purchases	26,807,456	90.9	161,461	5,800,278,181	94.0	42,173,219
	Total	53,453,451	90.5	-	11,558,383,143	93.7	-
Total	Sales	29,539,573	100.0	34,757	6,169,442,996	100.0	223,012
	Purchases	29,504,816	100.0	-	6,169,219,984	100.0	-
	Total	59,044,389	100.0	-	12,338,662,980	100.0	-

### Breakdown of Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Institutions	Sales	654,913	2.5	45,837	65,854,438	1.1	3,644,836
	Purchases	609,076	2.3	-	62,209,602	1.1	-
	Total	1,263,989	2.4	-	128,064,040	1.1	-
Individuals	Sales	3,244,754	12.2	33,224	230,642,656	4.0	-
	Purchases	3,211,530	12.0	-	235,055,845	4.1	4,413,189
	Total	6,456,284	12.1	-	465,698,501	4.0	-
Foreigners	Sales	22,453,338	84.3	-	5,446,127,236	94.6	-
	Purchases	22,692,664	84.7	239,326	5,486,774,834	94.6	40,647,598
	Total	45,146,002	84.5	-	10,932,902,070	94.6	-
Securities Cos.	Sales	292,990	1.1	-	15,480,632	0.3	-
	Purchases	294,186	1.1	1,196	16,237,900	0.3	757,268
	Total	587,176	1.1	-	31,718,532	0.3	-

### Breakdown of Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Investment Trusts	Sales	20,546	0.1	4,783	518,812	0.0	-
	Purchases	15,763	0.1	-	853,789	0.0	334,977
	Total	36,309	0.1	-	1,372,601	0.0	-
Business Cos.	Sales	366,517	1.4	21,003	21,163,411	0.4	-
	Purchases	345,514	1.3	-	23,006,042	0.4	1,842,631
	Total	712,031	1.3	-	44,169,453	0.4	-
Other Institutions	Sales	39,471	0.1	4,992	3,009,292	0.1	29,581
	Purchases	34,479	0.1	-	2,979,711	0.1	-
	Total	73,950	0.1	-	5,989,003	0.1	-
Financial Institutions	Sales	228,379	0.9	15,059	41,162,923	0.7	5,792,863
	Purchases	213,320	0.8	-	35,370,060	0.6	-
	Total	441,699	0.8	-	76,532,983	0.7	-

### Breakdown of Financial Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Insurance Cos.	Sales	7,265	0.0	-	1,685,315	0.0	-
	Purchases	7,545	0.0	280	3,515,733	0.1	1,830,418
	Total	14,810	0.0	-	5,201,048	0.0	-
City Bks, Regional Bks, etc.	Sales	43,088	0.2	2,310	8,482,533	0.1	216,780
	Purchases	40,778	0.2	-	8,265,753	0.1	-
	Total	83,866	0.2	-	16,748,286	0.1	-
Trust Banks	Sales	177,387	0.7	13,049	30,939,789	0.5	7,414,730
	Purchases	164,338	0.6	-	23,525,059	0.4	-
	Total	341,725	0.6	-	54,464,848	0.5	-
Other Financial Institutions	Sales	639	0.0	-	55,286	0.0	-
	Purchases	659	0.0	20	63,515	0.0	8,229
	Total	1,298	0.0	-	118,801	0.0	-



# Trading by Type of Investors

## (Equity Options - Put)

Note: "-" in "Balance" column indicates net selling.

This survey covers Trading Participants with capital of 3 billion yen or more.

2013

Volume: units

Value: yen

Ratio: %

### Total, Proprietary & Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Proprietary	Sales	6,612	51.4	480	57,783,800	42.6	
	Purchases	6,132	47.7		76,873,380	56.7	19,089,580
	Total	12,744	49.5	-	134,657,180	49.6	-
Brokerage	Sales	6,253	48.6		77,861,880	57.4	19,089,580
	Purchases	6,733	52.3	480	58,772,300	43.3	
	Total	12,986	50.5	-	136,634,180	50.4	-
Total	Sales	12,865	100.0		135,645,680	100.0	
	Purchases	12,865	100.0		135,645,680	100.0	
	Total	25,730	100.0	-	271,291,360	100.0	-

### Breakdown of Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Institutions	Sales	780	12.5		750,000	1.0	
	Purchases	3,300	49.0	2,520	11,882,500	20.2	11,132,500
	Total	4,080	31.4	-	12,632,500	9.2	-
Individuals	Sales	5,352	85.6	2,040	76,123,380	97.8	30,222,080
	Purchases	3,312	49.2		45,901,300	78.1	
	Total	8,664	66.7	-	122,024,680	89.3	-
Foreigners	Sales	121	1.9		988,500	1.3	
	Purchases	121	1.8		988,500	1.7	
	Total	242	1.9	-	1,977,000	1.4	-
Securities Cos.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-

### Breakdown of Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Investment Trusts	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Business Cos.	Sales	750	12.0		570,000	0.7	
	Purchases	3,300	49.0	2,550	11,882,500	20.2	11,312,500
	Total	4,050	31.2	-	12,452,500	9.1	-
Other Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Financial Institutions	Sales	30	0.5	30	180,000	0.2	180,000
	Purchases	0	0.0		0	0.0	
	Total	30	0.2	-	180,000	0.1	-

### Breakdown of Financial Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Insurance Cos.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
City Bks, Regional Bks, etc.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Trust Banks	Sales	30	0.5	30	180,000	0.2	180,000
	Purchases	0	0.0		0	0.0	
	Total	30	0.2	-	180,000	0.1	-
Other Financial Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-

# Trading by Type of Investors

## (Equity Options - Call)

Note: "-" in "Balance" column indicates net selling.

This survey covers Trading Participants with capital of 3 billion yen or more.

2013

Volume: units

Value: yen

Ratio: %

### Total, Proprietary & Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Proprietary	Sales	4,120	37.9		148,383,700	55.9	32,294,540
	Purchases	6,228	57.4	2,108	116,089,160	43.7	
	Total	10,348	47.7	-	264,472,860	49.8	-
Brokerage	Sales	6,738	62.1	2,108	116,992,472	44.1	
	Purchases	4,630	42.6		149,287,012	56.3	32,294,540
	Total	11,368	52.3	-	266,279,484	50.2	-
Total	Sales	10,858	100.0		265,376,172	100.0	
	Purchases	10,858	100.0		265,376,172	100.0	
	Total	21,716	100.0	-	530,752,344	100.0	-

### Breakdown of Brokerage

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Institutions	Sales	2,010	29.8	910	52,830,300	45.2	
	Purchases	1,100	23.8		53,203,100	35.6	372,800
	Total	3,110	27.4	-	106,033,400	39.8	-
Individuals	Sales	4,158	61.7	1,138	60,381,360	51.6	
	Purchases	3,020	65.2		95,180,600	63.8	34,799,240
	Total	7,178	63.1	-	155,561,960	58.4	-
Foreigners	Sales	570	8.5	60	3,780,812	3.2	2,877,500
	Purchases	510	11.0		903,312	0.6	
	Total	1,080	9.5	-	4,684,124	1.8	-
Securities Cos.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-

### Breakdown of Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Investment Trusts	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Business Cos.	Sales	1,710	25.4	610	52,282,900	44.7	
	Purchases	1,100	23.8		53,203,100	35.6	920,200
	Total	2,810	24.7	-	105,486,000	39.6	-
Other Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Financial Institutions	Sales	300	4.5	300	547,400	0.5	547,400
	Purchases	0	0.0		0	0.0	
	Total	300	2.6	-	547,400	0.2	-

### Breakdown of Financial Institutions

		Trading Volume			Trading Value		
		Volume	Ratio	Balance	Value	Ratio	Balance
Insurance Cos.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
City Bks, Regional Bks, etc.	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-
Trust Banks	Sales	300	4.5	300	547,400	0.5	547,400
	Purchases	0	0.0		0	0.0	
	Total	300	2.6	-	547,400	0.2	-
Other Financial Institutions	Sales	0	0.0		0	0.0	
	Purchases	0	0.0		0	0.0	
	Total	0	0.0	-	0	0.0	-