

## 14上場会社発行済株式数増減状況

Increase and Decrease in Outstanding Shares

| 年月<br>Year<br>or<br>Month | 有償<br>Paid-in        |                  | (a)株主割当<br>Offering to<br>Shareholders |                  | (b)公募<br>Public Offerings |                   |                  |                  | (c)第三者割当等<br>Third Party Allotment |                  | (d)優先株・転換社<br>債型新株予約権付<br>社債の株式転換等<br>Conversion of CB<br>& Preferred Stocks |                  | (e)新株予約権<br>の権利行使<br>Subscription Warrants<br>and Stock Options<br>Exercised |                  | (f)株式分割<br>Stock Splits |                  | (g)会社合併<br>Mergers   |                  | (h)株式併合・<br>自己株式消却<br>Reverse Stock Split &<br>Cancellation of<br>Treasury Stock |                  | 合計<br>Total          |                  |             |
|---------------------------|----------------------|------------------|--|------------------|---------------------------|-------------------|------------------|------------------|------------------------------------|------------------|--|------------------|--|------------------|-------------------------|------------------|----------------------|------------------|--|------------------|----------------------|------------------|-------------|
|                           |                      |                  |  |                  |                           |                   |                  |                  |                                    |                  |  |                  |  |                  |                         |                  |                      |                  |  |                  |                      |                  |             |
|                           | 件数<br>No.of<br>Cases | 千株<br>thous.shs. | 件数<br>No.of<br>Cases                   | 千株<br>thous.shs. | 件数<br>No.of<br>Cases      | うち<br>新規公開<br>IPO | 千株<br>thous.shs. | 千株<br>thous.shs. | 件数<br>No.of<br>Cases               | 千株<br>thous.shs. | 件数<br>No.of<br>Cases   | 千株<br>thous.shs. | 件数<br>No.of<br>Cases   | 千株<br>thous.shs. | 件数<br>No.of<br>Cases    | 千株<br>thous.shs. | 件数<br>No.of<br>Cases | 千株<br>thous.shs. | 件数<br>No.of<br>Cases   | 千株<br>thous.shs. | 件数<br>No.of<br>Cases | 千株<br>thous.shs. |             |
| 2016                      | 784                  | 5,403,536        | 1                                      | 3,699            | 95                        | 72                | 140,825          | 76,167           | 151                                | 4,152,569        | 54   | 798,326          | 483  | 308,115          | 138                     | 2,232,113        | 31                   | 1,105,935        | 279  | -30,126,876      | 1,232                | -21,385,291      |             |
| 2017                      | 940                  | 4,747,915        | 2                                      | 1,312            | 116                       | 75                | 320,095          | 55,808           | 238                                | 2,934,439        | 58   | 606,854          | 526  | 885,214          | 199                     | 4,836,899        | 39                   | 1,440,626        | 586  | -72,473,664      | 1,764                | -61,448,223      |             |
| 2018                      | 1,081                | 2,380,544        | —                                      | —                | 129                       | 80                | 251,482          | 65,525           | 303                                | 572,821          | 52   | 323,032          | 597  | 1,233,208        | 219                     | 4,567,464        | 19                   | 56,033           | 337  | -26,553,867      | 1,656                | -19,549,824      |             |
| 2017                      | 6                    | 269              | 280,050                                | —                | —                         | 12                | 6                | 12,379           | 892                                | 18               | 55,705   | 19               | 46,911   | 220              | 165,054                 | 12               | 70,229               | 5                | 1,728  | 18               | -156,065             | 304              | 195,942     |
|                           | 7                    | 267              | 231,272                                | —                | —                         | 8                 | 4                | 56,191           | 2,443                              | 35               | 25,088   | 22               | 59,213   | 202              | 90,779                  | 24               | 2,458,301            | 2                | 230,928  | 35               | -3,189,476           | 328              | -268,974    |
|                           | 8                    | 287              | 246,208                                | —                | —                         | 6                 | 3                | 18,214           | 1,282                              | 32               | 48,045   | 19               | 27,257   | 230              | 152,690                 | 4                | 32,145               | 5                | 3,133  | 23               | -906,990             | 319              | -625,502    |
|                           | 9                    | 217              | 142,661                                | —                | —                         | 12                | 7                | 11,703           | 6,324                              | 15               | 4,882  | 17               | 28,679   | 173              | 97,396                  | 14               | 115,076              | 1                | 311  | 23               | -750,144             | 255              | -492,095    |
|                           | 10                   | 217              | 119,138                                | —                | —                         | 9                 | 7                | 4,743            | 1,500                              | 12               | 50,154   | 17               | 42,072   | 179              | 22,167                  | 32               | 352,453              | 6                | 1,986  | 367              | -66,079,080          | 622              | -65,605,502 |
|                           | 11                   | 264              | 396,848                                | —                | —                         | 8                 | 6                | 6,077            | 1,397                              | 24               | 319,771  | 18               | 37,490   | 214              | 33,509                  | 10               | 128,194              | 2                | 1,300  | 15               | -48,888              | 291              | 477,455     |
|                           | 12                   | 300              | 2,378,998                              | 2                | 1,312                     | 23                | 14               | 24,104           | 4,970                              | 25               | 2,289,924  | 17               | 6,770  | 233              | 56,886                  | 15               | 205,615              | 4                | 47,815   | 13               | -325,712             | 332              | 2,306,717   |
| 2018                      | 1                    | 259              | 84,938                                 | —                | —                         | 1                 | —                | 1,566            | —                                  | 21               | 8,401  | 18               | 14,702   | 219              | 60,268                  | 28               | 465,292              | 1                | 17,405   | 17               | -274,574             | 305              | 293,062     |
|                           | 2                    | 284              | 174,894                                | —                | —                         | 5                 | 2                | 3,493            | 953                                | 8                | 1,597  | 16               | 106,107  | 255              | 63,695                  | 12               | 222,817              | 1                | 2,404  | 16               | -64,738              | 313              | 335,376     |
|                           | 3                    | 304              | 136,555                                | —                | —                         | 20                | 12               | 14,377           | 5,995                              | 26               | 23,201   | 14               | 15,725   | 244              | 83,252                  | 27               | 312,234              | 2                | 4,231  | 27               | -265,363             | 360              | 187,657     |
|                           | 4                    | 256              | 438,693                                | —                | —                         | 12                | 8                | 5,984            | 1,415                              | 33               | 343,330  | 9                | 7,810  | 202              | 81,567                  | 53               | 1,525,425            | 3                | 1,067  | 13               | -384,169             | 325              | 1,581,016   |
|                           | 5                    | 290              | 205,226                                | —                | —                         | 4                 | 1                | 16,257           | 2,500                              | 24               | 63,638   | 15               | 18,174   | 247              | 107,156                 | 6                | 57,992               | 1                | 251  | 26               | -287,137             | 323              | -23,666     |
|                           | 6                    | 300              | 190,783                                | —                | —                         | 20                | 11               | 42,140           | 27,177                             | 14               | 5,995  | 19               | 77,554   | 247              | 65,092                  | 8                | 226,535              | 1                | 3,492  | 21               | -408,956             | 330              | 11,854      |
|                           | 7                    | 293              | 188,024                                | —                | —                         | 13                | 8                | 12,338           | 7,340                              | 52               | 49,617   | 15               | 48,106   | 213              | 77,961                  | 24               | 388,468              | 2                | 5,102  | 26               | -843,135             | 345              | -261,539    |
|                           | 8                    | 292              | 550,818                                | —                | —                         | 4                 | 2                | 5,849            | 940                                | 48               | 7,755  | 11               | 5,864  | 229              | 531,349                 | 12               | 109,532              | 1                | 11,033   | 15               | -54,089              | 320              | 617,294     |
|                           | 9                    | 252              | 119,836                                | —                | —                         | 16                | 10               | 30,172           | 7,312                              | 15               | 29,106   | 14               | 21,863   | 207              | 38,693                  | 9                | 95,612               | 1                | 1,540  | 24               | -1,068,448           | 286              | -851,459    |
|                           | 10                   | 264              | 152,474                                | —                | —                         | 12                | 9                | 102,167          | 5,115                              | 22               | 4,063  | 11               | 3,951  | 219              | 42,291                  | 23               | 777,985              | 2                | 7,904  | 118              | -22,529,714          | 407              | -21,591,350 |
|                           | 11                   | 266              | 72,801                                 | —                | —                         | 3                 | 2                | 2,625            | 375                                | 18               | 12,488   | 7                | 2,735  | 238              | 54,952                  | 9                | 103,665              | 4                | 1,599  | 22               | -131,791             | 301              | 46,275      |
|                           | 12                   | 272              | 65,496                                 | —                | —                         | 19                | 15               | 14,511           | 6,400                              | 22               | 23,624   | 6                | 436  | 225              | 26,924                  | 8                | 281,902              | —                | —  | 12               | -241,746             | 292              | 105,652     |
| 2019                      | 1                    | 209              | 122,977                                | —                | —                         | —                 | —                | —                | —                                  | 25               | 104,531  | 5                | 432  | 179              | 18,013                  | 16               | 137,916              | 9                | 844,438  | 6                | -162,955             | 240              | 942,377     |
|                           | 2                    | 211              | 310,766                                | —                | —                         | 5                 | 4                | 2,638            | 2,138                              | 9                | 283,937  | 6                | 3,024  | 191              | 21,165                  | 5                | 22,563               | 1                | 465  | 16               | -529,779             | 233              | -195,985    |
|                           | 3                    | 274              | 1,732,779                              | —                | —                         | 14                | 13               | 5,888            | 5,288                              | 28               | 1,683,071  | 12               | 4,266  | 220              | 39,552                  | 9                | 532,447              | 3                | 30,421   | 33               | -281,721             | 319              | 2,013,926   |
|                           | 4                    | r 236            | r 101,053                              | —                | —                         | 5                 | 5                | 1,505            | 1,505                              | 26               | 36,066   | 4                | 336  | r 201            | r 63,145                | 24               | 2,086,424            | 8                | 165,020  | 6                | -517,087             | r 274            | r1,835,410  |
|                           | 5                    | r 256            | r 186,776                              | —                | —                         | 2                 | —                | 3,600            | —                                  | 31               | 25,745   | 3                | 2,683  | r 220            | r 154,747               | 4                | 39,940               | —                | —  | 29               | -432,934             | r 289            | r -206,217  |
|                           | 6                    | r 232            | r1,610,153                             | —                | —                         | 7                 | 7                | 1,967            | 1,967                              | 16               | 1,557,663  | 7                | 5,004  | r 202            | r 45,517                | 11               | 1,164,798            | 2                | 1,202  | 11               | -90,706              | r 256            | r2,685,447  |

注：(1)集計基準は、株主割当、公募、第三者割当等は払込期日、優先株式は普通株式転換日、転換社債型新株予約権付社債及び新株予約権は権利行使日で、それぞれ当該日現在東証に上場している会社の実施分を集計対象とした。  
(2)東証に直接新規上場する会社が上場の際に行う公募は集計対象とした。  
(3)「優先株・転換社債型新株予約権付社債の株式転換等」及び「新株予約権の権利行使」の件数は、月間は延べ会社数、年間は同一会社の実施分は1件とした。2002年1月以降は後配株式の転換分を含む。なお、新株式が発行されず、自己株式が付与される場合は集計対象外とした。  
(4)第三者割当等は、特定投資家私募を含む。

Notes: (1)The base date is the payment date for share allocations, public offerings, and allocations to a third party, the conversion date for preferred stock, and the exercise date for convertible bonds and warrants. The aggregate number of shares issued by all companies on the TSE as of the date is calculated.  
(2)Public offerings made when companies list directly on the TSE are included in calculation.  
(3)Number of cases for (d) and (e) are monthly aggregates. Annual figures are number of companies. Figures include those for deferred stocks from January 2002. Figures in “Subscription warrants and Stock Options Exercised” exclude cases where treasury stocks are allotted in accordance with the exercise without issuing new shares.  
(4)“Third Party Allotment” includes private placement to specified investors.