

## Give-up Detailed Rules

(As of May 26, 2025)

Tokyo Commodity Exchange, Inc.

### Rule 1 (Purpose)

1. These Rules shall, based on the provisions of Rule 3, Paragraph 3 of the Market Rules, prescribe matters necessary for the handling of give-up.

### Rule 2 (Give-up Requirements)

1. The requirements prescribed by the Give-up Detailed Rules referred to in Rule 28, Paragraph 3 of the Market Rules shall be as follows:
  - (1) Trading Participants (meaning Trading Participants prescribed in Rule 6, Paragraph 1 of Market Rules; the same shall apply hereinafter) (limited to proprietary transaction only);
  - (2) The applicant provided for in Rule 104 of the Market Rules;
  - (3) The applicant provided for in Rule 2 of the Requirements for Investment Fund, etc.;
  - (4) The Limited Partnership for Investment provided for in Article 2, Paragraph 2 of the Limited Partnership Act for Investment (Act No. 90 of 1998) or the foreign applicant who is equivalent thereto;
  - (5) Government Pension Investment Fund;
  - (6) The Trust Company provided for in Article 2, Paragraph 2, the Foreign Trust Business Operator provided for in Article 2, Paragraph 5, and the Foreign Trust Company provided for in Article 2, Paragraph 6 of the Trust Business Act (Act No. 154 of 2004);
  - (7) The Foreign Securities Broker provided for in Article 58 of the Financial Instruments and Exchange Act (Act No.25 of 1948); and
  - (8) Where it is otherwise deemed particularly necessary by the Exchange.

### Rule 3 (Application Hours for Give-up)

1. The "time provided for in the Give-up Detailed Rules" referred to in Rule 29, Paragraph 1 of Market Rules shall be by 5:30 p.m. of the trading day in which the trade was executed.

### Rule 4 (Application Hours for Take-up and Take-up Rejection)

1. The "time provided for in the Give-up Detailed Rules" referred to in Rule 30, Paragraph 1 of Market Rules shall be by 5:45 p.m. of the trading day in which the trade was executed.

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**Rule 5 (Special Provisions for Application Hours for Give-up, Take-up and Take-up Rejection)**

1. The “time provided for in the Give-up Detailed Rules” referred to in Rule 31, Paragraph 1 of the Market Rules shall be by 5:30 p.m. of the three business day after the trading day in which the trade to apply Give-up Application, Take-up Application or Take-up Rejection was executed.
2. The “time provided in the Give-up Detailed Rules” referred to in Rule 31, Paragraph 2 of the Market Rules shall be by 4:45 p.m. of the business day following the last trading day.

**Rule 6 (Cancellation of Give-up)**

1. The “time provided for in the Give-up Detailed Rules” referred to in Rule 32, Paragraph 1 of the Market Rules shall be by 5:30 p.m. of the third business day after the trading day in which the trade subject to said application for cancellation of Give-up Application, etc. (meaning Give-up Application and Take up Application) was executed.
2. The “time provided for in the Give-up Detailed Rules” referred to in Rule 32, Paragraph 2 of the Market Rules shall be by 4:45 p.m. of the business day following the last trading day.

**Rule 7 (Requesting Submission of Agreement, etc.)**

1. The Exchange may, when deemed necessary, require a Trading Participant to submit an agreement and other materials pertaining to said Give-up.

**Rule 8 (Revision or Abolition)**

1. Revision or abolition to these Rules shall be approved by the Representative Director, President.

**Supplementary Provisions**

These Rules shall be in effect as of December 1, 2008.

**Supplementary Provisions**

Revisions in Rule 2 (Give-up Requirements) and Rule 3 (Revision or Abolition) as well as new provisions in Rule 1 (Purpose) shall be in effect as of May 7, 2009.

**Supplementary Provisions**

The revisions to Rule 2 (Give-up Requirements) shall be in effect as of July 1, 2010.

**Supplementary Provisions**

The revisions to Rule 2 (Give-up Requirements) shall be in effect as of August 9, 2010.

**Supplementary Provisions**

The revisions to Rule 2 (Give-up Requirements) shall be in effect as of May 23, 2011.

**Supplementary Provisions**

The revisions to Rule 2 (Give-up Requirements) shall be in effect as of December 19, 2011.

**Supplementary Provisions**

The newly established Rule 3 (Give-up Application, etc.) and revisions to the provisions of Rule 2 (Give-up Requirements) and Rule 4 (Revision or Abolition) shall be in effect as of January 4, 2012.

**Supplementary Provisions**

The revisions to Rule 2 (Give-up Requirements) shall be in effect as of March 31, 2014.

**Supplementary Provisions**

**Rule 1**

Newly established Rule 4 (Application Hours for Give-up) through Rule 7 (Cancellation of Give-up), and the revisions to Rule 4 (Revision or Abolition) shall be in effect as of September 20, 2016.

**Rule 2**

Notwithstanding the provisions of Rule 1, in the event that there is operational trouble of the electronic trading system or other unavoidable reasons that it is deemed necessary by the Exchange, the effective date of preceding Rule will be separately provided by the Exchange.

**Supplementary Provisions**

The revisions to Rule 2 (Give-up Requirements) and Rule 3 (Give-up application, etc.) shall be in effect as of October 31, 2016.

**Supplementary Provisions**

The revisions shall be in effect as of December 1, 2019.

**Supplementary Provisions**

The revisions shall be in effect as of July 27, 2020.

**Supplementary Provisions**

The revisions shall be in effect as of April 1, 2021.

**Supplementary Provisions**

The revisions shall be in effect as of January 31, 2022.

**Supplementary Provisions**

1. Revisions shall become effective on September 21, 2022.
2. Notwithstanding the provisions of the preceding paragraph, in the event of operational trouble of the electronic trading system or other unavoidable reasons that deemed necessary by the Exchange, the revisions shall take effect from the day separately provided by the Exchange after the effective date in the preceding paragraph.

**Supplementary Provisions**

1. These revisions shall become effective on May 26, 2025.
2. Notwithstanding the provisions of the preceding paragraph, if the Exchange deems it inappropriate to implement the revisions on May 26, 2025 due to problems with operating the trading system or any other unavoidable reasons, they shall be implemented on a later date specified by the Exchange.