(Reference Translation)

Identification Code Specifications for Futures and Options Transactions

(Amended in April and November 2008; March 2010; October 2011; November 2013; March and November 2014; November 2015; March 2016; March and May 2017; February, June and September 2018; August 2019; December 2020; September 2021; February 2022; May and November 2023; March, July and August 2024; May 2025; April 2026)

I Composition (Excluding Flexible Contracts)

(1)	(2)	(3)	(4)	(5)
Special transaction	Put/call, spot/futures	Contract month	Exercise price, etc.	Underlying index, etc.
1	□	□□□	□□	□□

II Allocation method (Excluding Flexible Contracts)

(1)(2): Transaction type

(1): "1" is set to indicate special transactions.

(2): Futures/options transactions and, in the case of options, put/call and spot/futures shall be as coded as follows:

	(Code)
Put options transactions (futures)	1 and 5
Call options transactions (futures)	2 and 7
Put options transactions (spot)	3 and 8
Call options transactions (spot)	4 and 9
Futures transactions	6

(Note 1) Codes "5", "7", "8", and "9" will be used as reserved codes if an issue (exercise price) is set additionally in index options trading, government bond futures options trading, etc. and the same code for "exercise price, etc." has already been set within the same contract month.

(Note 2) Gold options use codes for put/call options transaction (spot)

(3): Contract month

1st digit: A single-digit number representing a 10-year cycle is used to indicate the year of the contract month.

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(e.g.)	(Code)		(Code)		(Code)
2026	1	2030	5	2034	9
2027	2	2031	6	2035	0
2028	3	2032	7	2036	1
2029	4	2033	8	2037	2

2nd and 3rd digits: Indicate the contract month, using the number to represent the month.

(e.g.)	(Code)
Mar.	03
Dec.	12

(Note 1) For futures inter-month spread trading, the contract month of the contract with the earlier expiration date is indicated.

(Note 2) For index options trading (excluding Nikkei 225 mini Options trading), government bond futures options trading, etc., the following codes will be used in the 2nd and 3rd digits of the "contract month" with priority given to lower numbers if an issue (exercise price) is set additionally and the same codes for "put/call, spot/futures" and "exercise price, etc." have already been set within the same contract month. In such cases, codes "1" and "2" will be used for put/call options trading (futures), and codes "3" and "4" will be used for put/call options trading (spot).

(e.g.)			Month code		
Jan.	13	25	37	49	61
Feb.	14	26	38	50	62
Mar.	15	27	39	51	63
.					
.					
.					
Dec.	24	36	48	60	72

(Note 3) For Nikkei 225 mini Options trading (expiring on Fridays), the contract month whose last trading day is the business day before the first Friday of each year (the first week of January) is set to "01." Henceforth, each contract month whose last trading day is the business day before the Friday of the following week will increase the number by 1. For Nikkei 225 mini Options trading (expiring on Wednesdays), the same numbers shall be used as for Nikkei 225 mini Options trading (expiring on Fridays) in the same week. However, for Nikkei 225 mini Options trading (expiring on Wednesdays) and Nikkei 225 mini Options trading (expiring on Fridays), when a new issue (exercise price) is set and within the same contract month, the code representing "put/call, spot/futures" and the code representing "exercise price" are the same, priority will be given to the lowest

number from "54" to "99", and it will be used each time. In this case, "3" and "4" will be used for codes representing "put/call, spot/futures."

(e.g.）   Month code

1W   Jan.   01
2W   Jan.   02
3W   Jan.   03

(Note 4) For Rolling Spot, "999" is set as the fixed value.

(Note 5) For Electricity Futures (weekly), the code for the weekly contract whose last trading day is the business day before the first Friday of the year (the first week of January) shall be set to "01." The code shall increase by one for each subsequent weekly contract in said year. For Peakload Electricity Futures (weekly), no weekly contract will be created for any week that has no weekdays (as specified by TOCOM), and the code that would have been assigned to said weekly contract shall be skipped.

(e.g.）

1W   Jan.   01
2W   Jan.   02
3W   Jan.   03

(Note 6) For Electricity Futures (Fiscal Year), the last two digits of the contract month shall be set to "04."

(e.g.）

FY2026   104
FY2027   204

(4): Exercise price, etc.

a. Options trading

The exercise price will be coded as a 2-digit number as shown below.

However, "long-term JGB standard" will be coded using the lower 2 digits of the quotient obtained by dividing the exercise price by 0.25.

(e.g.)	(exercise price)	(Code)
Long-term JGB standard	JPY 120	80
Tokyo Stock Price Index (TOPIX)	1,575	57
Nikkei Stock Average (Nikkei 225)	JPY 26,250	62
JPX-Nikkei Index 400	14,000	40
TOPIX Banks Index	427.5	27
TSE REIT Index	1725	72
Gold	JPY 4,600	84

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    b. Futures trading

      "00" will be used.

      However, for futures inter-month spread trading, "01" shall indicate the contract month for which the last trading day of the contract month falls later and is closer in term to the most recent contract month transaction, and it shall be added by 1 thereafter.

    (5): Underlying index, etc. (certificates or rights to be traded) will be indicated as the Appendix.

    (e.g.)

        ・TOPIX Futures inter-month spread trading

           Contract with the earlier expiration date: Contract month of Dec. 2010

           Other contracts: Contract month of Mar. 2011

                1   6   512   01   05

        ・Long-term JGB standard futures transaction: Contract month of Sep. 2004

                1   6   909   00   01

        ・Tokyo Stock Price Index   (spot) Call options transaction:

          (TOPIX)   1,225 points

                Contract month of Mar. 2005

                1   4   003   22   05

III   Composition for Flexible Contracts

(1)	(2)	(3)	(4)
Flexible Contracts	Put/Call, Final Settlement	Contract months/ Exercise prices	Underlying Index, etc.
□	□	□□□□□	□□

IV   Allocation Method for Flexible Options

(1): "7" will be used for "flexible contracts." However, in cases where the codes derived from the combination of (2), (3), and (4) will be duplicate, "8" and "9" will be used in ascending order.

(2): The codes for "put/call, final settlement" will be as follows:

    a.   Options trading

	(Code)
SQ settlement-type put options trading	1
SQ settlement-type call options trading	2
Closing price settlement-type put options trading	3
Closing price settlement-type call options trading	4

    b.   Futures trading

	(Code)
SQ settlement-type	1 and 2
Closing price settlement-type	3 and 4

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Note: "2" and "4" will be given priority to use in "flexible contracts" before "8" and "9" in case the codes derived from the combinations of (1), (3), and (4) are duplicates of existing issues.

(3): For "contract months/exercise prices," "00001" to "99999" will be allocated in ascending order.

(4): "Underlying index, etc." will be set forth in the Appendix.

Additional rules:

1 The amended rules with "Note 2" added to (3) "contract month" (month code) were implemented on April 1, 2008.

2 The amended rules with underlying index, etc. added (mini long-term JGB standard) were implemented on November 5, 2008.

3 The amended rules with underlying index, etc. added (TOPIX Dividend Index, etc.) were implemented on March 23, 2010.

4 The amended rules with underlying index, etc. added (Dow Jones Industrial Average) were implemented on October 3, 2011.

5 The amended rules with underlying index, etc. added (Nikkei Stock Average Volatility Index) were implemented on October 25, 2011.

6 The amended rules with underlying index, etc. added (CNX Nifty) were implemented on November 25, 2013.

7 The amended rules with underlying index, etc. added (JPX-Nikkei Index 400)were implemented on March 25, 2014.

8 The amended rules with "Note3" added to (3) "Contract month" (month code) and underlying index, etc. added (Nikkei 225 Options) were implemented on November 10 ,2014.

9 The amended rules with underlying index, etc. added (Tokyo Stock Exchange Mothers Index etc.) and changed Index name (Nifty 50) were implemented on November 27, 2015.

10 The amended rules with "Note2" added to Ⅱ Allocation method (2) and "Note4"added to (3)"Contract month", underlying index, etc. added (Gold Standard etc.) were implemented on March 25, 2016.

11 The amended rules with underlying index, etc. added (Platinum Rolling Spot) were implemented on March 17, 2017.

12 The amended rules with underlying index, etc. added (Platts Cash-settled Lorry Gas Oil, etc.) were implemented on May 8, 2017.

13 The amended provisions related to "Put/Call", etc. shall be effective from February 13, 2018. The amended provisions related to Flexible Contract Months in III and IV shall be effective from June 25, 2018.

14 The amended rules with underlying index, etc. added (Rubber (TSR20)) were implemented on September 3, 2018.

15 The amended rules with underlying index, etc. added (West Area Base Load, etc.) were implemented on August 23, 2019.

16 The amended rules with underlying index, etc. added (CME Group Petroleum Index) were

implemented on December 14, 2020.

17 The amended rules related to "Note 2" in (3) contract month (month code), changes in the indexing method of exercise prices, etc. for options trading (Long-term JGB standard), and addition of futures trading to flexible contracts (including addition of underlying index, etc.) will be implemented on September 21, 2021. Moreover, the changes in the indexing method of exercise price, etc. for options trading (Long-term JGB standard) will not be applied retroactively to issues set before September 20, 2021 but applied in order to those issues set on and after September 21, 2021.

18 The amended rules with underlying index, etc. added (LNG (Platts JKM)) were implemented on February 8, 2022.

19 Changes to Note 2 and 3 in the method of setting "contract month" and addition of "Underlying index, etc." (Nikkei Stock Average (Nikkei 225 micro), Nikkei Stock Average (Nikkei 225 mini Options), S&P/JPX 500 ESG Score Tilted Index (Slope 0.5), FTSE JPX Net Zero Japan 500 Index, Nikkei 225 Climate Change 1.5°C Target Index, and Uncollateralized Overnight Call Rate (TONA) 3-month Interest Rate) were implemented on May 29, 2023.

20 The amended rules with underlying index, etc. added (Niigata Koshihikari EXW (Osaka Dojima Exchange), etc.) were implemented on November 2, 2023.

21 The amended rules that added (Note 5) to II-(3) and entries (JPX Prime 150 Index and Electricity (Weekly)) to the "Underlying index, etc." table in the Appendix were implemented on March 18, 2024.

22 The amended rules related to II-(4) b were implemented on July 22, 2024.

23 The amended rules with underlying index, etc. added (Rice Index (Osaka Dojima Exchange)) were implemented on August 1, 2024.

24 The amended rules related to the change in Note 3 and the addition of Note 6 for the method of setting contract months, as well as the change (Nikkei Stock Average (Nikkei 225 mini Options expiring on Fridays)) and addition of products (Nikkei Stock Average (Nikkei 225 mini Options expiring on Wednesdays), Shanghai Natural Rubber Futures and Electricity Futures (Fiscal Year)) will be implemented on May 26, 2025.

25 The amended rules related to II-(4): The revised regulations, which add the TOPIX Banks Index and the TSE REIT Index as examples of the indexing method of exercise prices, etc. for options trading, and also add the following target indices - Pocket Gold 100, Pocket Platinum 100, USD/JPY, CNH/JPY, EUR/JPY, Chubu Area Base Load, Chubu Area Peak Load, Chubu Area Baseload Electricity (Fiscal Year), and Chubu Area Peakload Electricity (Fiscal Year) - will be implemented on April 13, 2026.

Appendix

Underlying index, etc.	Code
Long-term JGB standard	01
Super long-term JGB standard	02
Medium-term JGB standard	04
Tokyo Stock Price Index (TOPIX)	05
mini Tokyo Stock Price Index (TOPIX)	06
mini long-term JGB standard	07
Tokyo Stock Exchange Growth Market 250 Index	11
JPX Prime 150 Index	12
Nikkei Stock Average Volatility Index(Nikkei 225 VI)	15
Nikkei Stock Average Dividend Point Index	17
Nikkei Stock Average (Nikkei 225)	18
Nikkei Stock Average (mini Nikkei 225)	19
JPX-Nikkei Index 400	22
Nikkei Stock Average (Nikkei 225 micro)	23
Nikkei Stock Average (Nikkei 225 mini Options expiring on Fridays)	26
Nikkei Stock Average (Nikkei 225 mini Options expiring on Wednesdays)	27
TOPIX Banks Index	32
Tokyo Stock Price Index (TOPIX) (Flexible Futures)	40
Nikkei Stock Average (Nikkei 225) (Flexible Futures)	41
JPX-Nikkei Index 400 (Flexible Futures)	42
TOPIX Banks Index (Flexible Futures)	43
TSE REIT Index (Flexible Futures)	44
Nikkei 225 Total Return Index Futures (Flexible Futures)	45
Tokyo Stock Price Index (TOPIX) (Flexible Options)	50
Nikkei Stock Average (Nikkei 225) (Flexible Options)	51
JPX-Nikkei Index 400 (Flexible Options)	52
TOPIX Banks Index (Flexible Options)	53
TSE REIT Index (Flexible Options)	54
TOPIX Core30 Index	63
S&P/JPX 500 ESG Score Tilted Index (Slope 0.5)	65
FTSE JPX Net Zero Japan 500 Index	66
Nikkei 225 Climate Change 1.5°C Target Index	67
TSE REIT Index	69
Dow Jones Industrial Average	73
Russell/Nomura Prime Index	76
TWSE Capitalization Weighted Stock Index	78
FTSE China 50 Index	79
Uncollateralized Overnight Call Rate (TONA) 3-month Interest Rate	91
Gold Standard	A0
Gold mini	A1
Gold contract day trading	A2
Silver	A3
Platinum Standard	A4

Platinum mini	A5
Palladium	A6
Crude Oil	A7
Gasoline	A8
Kerosene	A9
Gas Oil	AA
Chukyo Gasoline	AB
Chukyo Kerosene	AC
Corn	AG
Soybean	AH
Azuki (Red bean)	AJ
Rubber (RSS3)	AK
Platinum Rolling Spot	AL
Rubber (TSR20)	AM
Rubber (Shanghai Natural Rubber)	AP
Pocket Gold 100	AQ
Pocket Platinum 100	AR
CME Group Petroleum Index	AZ
LNG (Platts JKM)	B0
West Area Base Load	B1
West Area Peak Load	B2
East Area Base Load	B3
East Area Peak Load	B4
Chubu Area Base Load	B8
Chubu Area Peak Load	B9
West Area Baseload Electricity (Weekly)	BA
West Area Peakload Electricity (Weekly)	BB
East Area Baseload Electricity (Weekly)	BC
East Area Peakload Electricity (Weekly)	BD
West Area Baseload Electricity (Fiscal Year)	BE
West Area Peakload Electricity (Fiscal Year)	BF
East Area Baseload Electricity (Fiscal Year)	BG
East Area Peakload Electricity (Fiscal Year)	BH
Chubu Area Baseload Electricity (Fiscal Year)	BJ
Chubu Area Peakload Electricity (Fiscal Year)	BK
USD/JPY	C0
CNH/JPY	C1
EUR/JPY	C2
Niigata Koshihikari EXW (Osaka Dojima Exchange)	D0
Yellow Corn50 (Osaka Dojima Exchange)	D1
US Soybeans (Osaka Dojima Exchange)	D2
Azuki beans (Osaka Dojima Exchange)	D3
Raw Sugar (Osaka Dojima Exchange)	D4
Gold Rolling Spot (Osaka Dojima Exchange)	D5
Silver Rolling Spot (Osaka Dojima Exchange)	D6
Platinum Rolling Spot (Osaka Dojima Exchange)	D7

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Rice Index (Osaka Dojima Exchange)	D8