

New Securities Code Specifications

(Established in July 1988)

(Amended in June 2000; April and October 2002; April, June, and August 2003; April 2004; February, April, and September 2005; May 2006; January, March, and December 2007; March 2008; September 2009; January 2010; March 2012; and May 2018)

I Basic Composition

The ISIN (International Securities Identification Numbering system: ISO 6166) code consists of a two-letter nation name code (prefix), a nine-character basic code (or basic number), and a single check digit.

(Reference)

Item	Nation name code	Basic code			Check digit
		Issuer code		Securities type code	
		Attribute code	5-char specific name code		
No. of Chars or Digits	2	1	5	3	1
		9			
(e.g.)	JP	□	□□□□□	□□□	□

II Basic Specifications

1. Nation name code (two-letter)

The two-letter alphabet code defined in ISO 3166 (Alpha-2 Country Code) will be used.

(Note) "JP" will be used for all nation name codes of new securities codes of securities (domestic stocks, JDRs, etc.) allocated by the Securities Identification Code Committee (hereinafter "SICC").

However, for securities (foreign stocks, ADRs, etc.) that do not qualify for ISIN numbering in accordance to ISO 6166, the SICC will only use the nine-character new securities code, excluding "Nation name code" and "Check digit".

(Reference Translation)

2. Basic code (nine-character)

The code consists of a six-character issuer code and a 3-character securities type code.

2.1 Issuer code (six-character)

The code consists of a single-digit attribute code and a five-character specific name code.

2.1.1 Attribute code (one-digit)

The attribute code of the issuer is allocated as follows.

0 (Undefined)	3 Public corporations, etc.	6 (Undefined)	8 (Undefined)
1 Country (government bond)	4 For user's internal use	7 (Undefined)	9 Specific financial
2 Local government	5 Foreign juridical person		instrument

(Notes) 1 "1" is allocated for government bonds.

2 "Public corporations, etc." refers to an entity that falls under any of the following:

- (1) A domestic company whose stock is listed on a domestic financial instruments exchange
- (2) An issue whose quotes are disclosed by the Japan Securities Dealers Association
- (3) A domestic company, etc. that has issued publicly offered bonds (including special purpose trust beneficiary rights) in Japan (including public corporations)
- (4) Any other domestic company, etc. that the SICC deems applicable

3 "5" is allocated for foreign securities and depositary receipts traded in Japan (where the underlying asset is a foreign security).

4 "9" is allocated for specific financial instruments recognized by the SICC such as paperless CPs and privately placed bonds, etc. of private corporations, etc. handled by the Japan Securities Depository Center (hereinafter "JASDEC").

5 In the case of a privately placed bond, etc. of a private corporation, etc., the basic code will consist of a single-digit attribute code, a two-digit instrument code, and a six-character identification code for securities type.

2.1.2 Five-character specific name code

(1) Country (government bond)

A country is deemed to be multiple issuers. The code consists of a two-digit government bond name code and a three-character series no. code.

Government bond name code	Series no. code
□ □	□ □ □

a Government bond name code

The code will be as follows.

(Reference Translation)

Government bond name code		Government bond name code	
Interest-bearing government bond (2-year)	02	Treasury bill	50
Interest-bearing government bond (5-year)	05	Financing bill	64
Interest-bearing government bond (10-year)	10	Treasury discount bill	74
Interest-bearing government bond (10-year) specifically designed for individual investors	11	Government bond converted from JNR Settlement Corporation bond	70
Interest-bearing government bond (Consumer Price Index-linked 10-year)	12		
Interest-bearing government bond (5-year) specifically designed for individual investors	13	Government bond converted from Japan National Oil Corporation bond	71
Interest-bearing government bond (3-year) specifically designed for individual investors	14		
Interest-bearing government bond (15-year)	15	Stripped coupon interest-bearing government bond	80
Interest-bearing government bond (20-year)	20	Interest-bearing government bond (40-year)	40
Interest-bearing government bond (30-year)	30	Interest-bearing government bond (50-year)	55

(Note) The code for a book-entry government bond eligible for stripping (STRIPS) that is reconstituted (so that it consists of both principal and interest) will be the same as that for the principal of the interest-bearing government bond before stripping. In addition, the government bond name code for regular interest-bearing government bonds will be used for stripped principal interest-bearing government bonds.

b Series no. code

The series number of the issue name will be used. However, if the series number exceeds four digits, the last three digits of the series number will be used.

In the case of stripped coupon interest-bearing government bonds, the code will be indicated using three characters. The first two characters will be the last two digits of the year of maturity, and the last character will be the month of maturity (coded in the same way as the code for the month of issue). Transactions prior to auction will be fixed as "000".

(Reference Translation)

	Series no. code
(e.g.) Interest-bearing government bond (10-year) No. 170	170
Stripped coupon for interest-bearing government bond Oct 2008*	08A
	*interest payment due date
Interest-bearing government bond (10-year) (when issued transaction)	000 (fixed)

(2) JGB Basket

The five-character specific name codes for JGB baskets shall be comprised of a two-character government bond name code and three-character component issue code

a Government bond name code

It shall be set to "99".

b Component issue codes

They shall be as follows.

JGB Basket (Treasury Discount Bills)	101
JGB Basket (Interest-bearing Bonds with term to maturity of 10 years or less, Treasury Discount Bills)	103
JGB Basket (Interest-bearing Bonds, Treasury Discount Bills)	105
JGB Basket (Interest-bearing Bonds, Floating-rate Bonds, Treasury Discount Bills)	107
JGB Basket (Inflation-Indexed Bonds, Interest-bearing Bonds, Floating-rate Bonds, Treasury Discount Bills)	109
JGB Basket (Principal-only Book-entry Transfer JGBs, Coupon-only Book-entry Transfer JGBs)	201

(3) Local government

The identification codes for prefectures and local governments of Japan (set forth in JIS X 0401 and 0402) as specified by the Ministry of Internal Affairs and Communications will be used (excluding check digit used in these standards). The codes for local government bonds jointly issued by multiple local governments will be specified by the SICC as appropriate. In such cases, the same codes shall be used for such bodies.

(e.g.) Prefecture of Hokkaido	01000	Prefecture of Tokyo	13000
City of Sapporo	01100	City of Chiyoda	13101
Major joint local government bond			99000
Prefecture, Cities, Towns of Hyogo Joint local government bond			98000

(4) Public corporation, etc.

In the case of a public corporation, etc., a five-digit code will be allocated for each issuer.

(Reference Translation)

(e.g.) ARRK Corp.	10005
Arcland Sakamoto	10010
-	-
-	-
-	-
Warabeya Nichiyo	99440
One-for-all Asset Funding	99480

(5) Foreign juridical person

The code will consist of a three-digit nation number code, and a two-digit issuer number code as follows.

Nation no. code	Issuer no. code
□□□	□□

a Nation number code

In principle, the three-digit numeric code as defined in ISO (ISO 3166: Numeric Code) will be used. "001" will be used for international institutions.

b Issuer number code

"00" will be used for countries (governments); for other issuers, in principle, the code will be allocated in sequence, beginning with "01" for each country.

	Nation no. code	Issuer no. code
(e.g. 1) Asian Development Bank	001	01
International Bank for Reconstruction and Development	001	02
-	-	-
(e.g. 2) Government of the United States of America	840	00
The Dow Chemical Company	840	01
-	-	-
Federal National Mortgage Association	840	19
-	-	-
-	-	-

(Reference Translation)

(6) Specific financial instrument A:

Paperless commercial paper

The code will consist of a two-character instrument code and a three-character identification code as follows.

Instrument code	Identification code
□□	□□□

a Instrument code

Paperless CP 0A

b Identification code

Numbers and alphabet letters (excluding "I", "O", and "U") will be used. For paperless CPs, the code will be allocated for each issuer.

(e.g.)	001	Diamond Lease Co. Ltd.
	009	Mitsubishi Motors Corp.
	00A	ORIX Corp.
	-	-
	00Z	Osaka Securities Finance Co. Ltd.
	010	Nippon Steel Corp.

B: Privately placed bond, etc. of private corporations, etc.

An issue that satisfies the following requirements is eligible for numbering.

- (1) A privately placed bond handled by the regular bond book-entry transfer system of JASDEC
- (2) The specific name code of the issuer has not been previously set.
- (3) The information required for setting the code is a public corporation bond of a stock corporation or public corporation (including special purpose trust beneficiary rights) that can be submitted to the SICC.

(Note) In cases where the specific name code of the issuer has been set at the time of issuance of a privately placed bond, the attribute code for the bond will be "3" or "5". The issuing month code will be used to differentiate it from a publicly offered bond.

In addition, it will consist of a two-character instrument code and a six-character identification code for a securities type (adding the three-character securities type code).

Instrument code	Identification code for securities type
□□	□□□□□□

a Instrument code

Privately placed bond of private corporation, or
Privately placed local and public corporation bond, etc. 0B

(Reference Translation)

b Identification code for securities type

Numbers and alphabet letters (excluding "I", "O", and "U") will be used in sequence. For privately placed bonds, etc. of private corporations, etc., the codes will be allocated for each issue.

(e.g.) 000001 YM Kogyo Co. Ltd. unsecured bond No. 5

-

000009 Yamato Sewing Machine Mfg. Co., Ltd. unsecured bond No. 13

00000A Taisei Sangyo unsecured bond No. 6

In addition, in the case of a single issue with fixed maturity being handled by the JASDEC as multiple bonds through book-entry transfer according to its codes, the first letter will be "Z".

(e.g.)

Z0000P Prefecture of Kochi 2003 bond No. 1 (No. 1-1)

C: Non-listed investment trust

The code will consist of a two-character instrument code, and a six-character identification code (adding the three-character securities type code) as follows.

Instrument code Identification code

□□

□□□□□□

(Note) For investment trusts listed in a domestic financial instruments exchange (domestic ETFs), the attribute code will be "3".

a Instrument code

Non-listed investment trust 0C

b Identification code

- (1) Handled by the investment trust book-entry transfer system of the JASDEC
- (2) Not listed on a domestic financial instruments exchange
- (3) Information required for setting the code can be submitted to the SICC

The code will be allocated using numbers and alphabets (excluding "I" and "O") from "000001" to "9ZZZZZ" in sequence for an issue that satisfies the above conditions. (e.g.)

0002CA Invesco Global Small & Mid Cap Equity Fund

In addition, the codes of issues other than those mentioned above will be within the range "A00001" to "ZZZZZZ" as determined by the SICC when the need arises.

2.2 Securities type code (three-character)

(1) Stocks

a Domestic stocks

The code will consist of a first digit "0", and numbers and alphabets (excluding "I" and "O").

Common stock	000	Second issue of new stock	002	Preferred stock	010
First issue of new stock	001	Subscription warrant securities	009	Deferred stock	020

(Note 1) "010" will be used for a subsidiary tracking stock. If "010" is already in use, it will be determined by the SICC when the need arises.

(Note 2) When different classified stocks are issued, the SICC will determine use of the undefined range starting from "003". However, in the case where all common stocks are substituted by a single classified stock (such as a redeemable stock), in principle, the securities type code that is the same as that of the original common stock will be allocated as is.

(Note 3) The code for a preferred stock will be within the range of "010" to "019", and "01A" to "01Z" as determined by the SICC when the need arises.

(Note 4) Equity investment securities (excluding first issue of new stock) will, in principle, be dealt with in the same manner as a common stock. However, in cases where the SICC deems appropriate, it will be determined when the need arises.

(Note 5) In order to distinguish between subscription warrant securities for bonds and subscription warrant securities for stocks, the latter shall satisfy all the following conditions:

- (1) Issues issued through gratis allotment of subscription warrants
- (2) Issues whose exercise period expiration dates come soon after the allotment date

b Foreign stocks

In principle, numbers will be allocated in sequence, beginning with "000".

(Note) The basic codes of issues such as beneficiary certificates of securities investment trusts and investment securities that are listed on domestic financial instruments exchanges will be determined by the SICC when the need arises.

In addition, in the case where the issuer of a foreign stock trust beneficiary certificate, foreign investment trust beneficiary certificate, and foreign ETF, is a foreign juridical person, it will be handled in the same manner as a foreign stock. In the case where the above is issued by a domestic juridical person, the basic code for foreign stock trust beneficiary certificates and foreign investment trust beneficiary certificates will be handled in the same manner as a foreign stock; foreign ETFs will be handled in the same way as a domestic stock.

(2) Bonds

The code will be based on the issuing date, and consist of the issue sequence code, issuing year code, and issuing month code as follows.

Issue sequence code	Issuing year code	Issuing month code
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

a Issue sequence code

Numbers from "1" to "9" and alphabets from "A" to "Z" (excluding "I", "O", and "U") will be used in sequence, starting the allocation for each bond, etc. from the number or alphabet for the next allocation.

Government bonds:

Interest-bearing government bond, etc.	1 (2, 3, 4...)
Stripped principal interest-bearing government bond	P (Q, R, S...)
Stripped coupon interest-bearing government bond	1 (2, 3, 4...)

Local government bonds:

Publicly offered bond	1 (2, 3, 4...)
Privately placed bond	A (B, C, D...)

Straight bonds, etc.:

Rights, such as subscription warrants	1 (2, 3, 4...)
Interest-bearing bond	A (B, C, D...)
Discount bond	J (K, L, M...)
Convertible bond	P (Q, R, S...)
Bond with subscription warrant (excluding convertible bonds)	V (W, X, Y...)

Bonds issued by foreign juridical persons:

The allocation method for domestic straight bonds, etc. applies in the same manner.

(Note) In principle, stripped coupon interest-bearing government bonds with interest payment due on the 20th will have issue sequence code "1"; those due on the 15th will use "2"; and those due on the 1st will use "3".

b Issuing year code

The code will be allocated for each issuing year using numbers and alphabets (excluding "I", "O", "U", "Y", and "Z").

(Note) 1. "0" is allocated for the issuing year code of stripped coupon interest-bearing government bonds.

2. The issuing year code of transactions prior to auction will be fixed as "X".

(Reference Translation)

c Issuing month code

The code will be as follows.

	Code		Code		Code		Code
Jan.	1 (D)	Apr.	4 (G)	Jul.	7 (K)	Oct.	A (N)
Feb.	2 (E)	May	5 (H)	Aug.	8 (L)	Nov.	B (P)
Mar.	3 (F)	Jun.	6 (J)	Sep.	9 (M)	Dec.	C (Q)

(Note 1) Codes in parentheses "(") will be used for privately placed bonds (excluding government bonds and local government bonds).

(Note 2) "0" is allocated for the issuing month code of stripped coupon interest-bearing government bonds.

(3) JGB Baskets

The security type code for JGB baskets shall be "900".

(4) Specific financial instrument

Identification code for securities type

A: Paperless CPs

Numbers and alphabets (excluding "I", "O", and "U") will be used.

(e.g.) Issue name	Identification code for securities type
ORIX 001B CP	001
ORIX 009B CP	009
ORIX 00AB CP	00A

B: Privately placed bonds, etc. of private corporations, etc.

Issues will have a unique code that can be distinguished by a total of six characters consisting of the last three characters of the specific name code and the three-character identification code for securities type.

C: Non-listed investment trusts

Issues will have a unique code that can be distinguished by a total of 6 characters consisting of the last three characters of the specific name code and the three-character identification code.

3. Check digit (one digit)

The check digit will be allocated using the number computed using the modulus 10 "Double-Add-Double" formula.

III Examples of basic code numbering

The basic code is one with the nation name code and check digit removed from the basic structure of the international securities identification code numbering system, or in the case of normal domestic use, the new securities code specifications.

The following are numbering examples of the basic code.

(1) Stocks

a Domestic stocks

(e.g.) Common stock of Nippon Steel Corp.

Attribute code	Specific name code	Securities type code
□	□□□□□	□□□
3	3 8 1 0 0	0 0 0
Public corporation, etc.	Nippon Steel	Common stock

b Foreign stocks

(e.g.) International Business Machines Corporation (IBM) stock

Attribute code	Nation no. code	Issuer no. code	Securities type code
□	□□□	□□	□□□
5	8 4 0	0 6	0 0 0
Foreign juridical person	U.S.	Sixth numbered issuer	First numbered stock
	IBM		

(2) Bonds

a Domestic bonds

(a) Government bonds

(e.g. 1) Interest-bearing government bond (10-year) No. 285 (issued in March)

Attr. code	GB name code	Ser. no. code	Iss. seq. code	Iss. year code	Iss. month code
□	□□	□□□	□	□	□
1	1 0	2 8 5	1	7	3
Country (GB)	Interest-bearing	No. 285	GB	2007	Mar.
	GB (10yr)				

(e.g. 2) Stripped principal interest-bearing government bond (10-year) No. 237 (issued in March)

Attr. code	GB name code	Ser. no. code	Iss. seq. code	Iss. year code	Iss. month code
□	□□	□□□	□	□	□
1	1 0	2 3 7	P	2	3
Country (GB)	Interest-bearing	No. 237	Stripped principal GB	2002	Mar.
	GB (10yr)				

(Reference Translation)

(e.g. 3) Stripped coupon interest-bearing government bond (Sep. 2004, interest payment due on the 20th)

Attr. code	GB name code	Ser. no. code	Iss. seq. code	Iss. year code	Iss. month code
<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1	8 0	0 4 9	1	0	0
Country (GB)	Stripped coupon GB	Sep. 2004	Stripped coupon GB (interest payment due on the 20 th)	(fixed)	(fixed)

(e.g. 4) Interest-bearing government bond (5-year) when issued transaction (issued in May*)

*The same new securities codes are allocated to bonds issued in May 2004 and May 2005.

Attr. code	GB name code	Ser. no. code	Iss. seq. code	Iss. year code	Iss. month code
<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1	0 5	0 0 0	1	X	5
Country (GB)	Interest-bearing GB (5yr)	(fixed)	GB	(fixed)	May

(b) JGB Baskets

(e.g.) JGB Basket (Interest-bearing Bonds, Treasury Discount Bills)

Attr. Code	Gov't bond name code	Component issue code	Security type code
<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
1	9 9	1 0 5	9 0 0

(c) Local government bonds

(e.g.) Tokyo Metropolitan government bond No. 642

Attr. code	Specific name code	Iss. seq. code	Iss. year code	Iss. month code
<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	1 3 0 0 0	1	7	2
Local government	Prefecture of Tokyo	Publicly offered bond	2007	Feb.

(d) Straight bonds

(e.g. 1) Nippon Steel Corp. corporate bond No. 49

Attr. code	Specific name code	Iss. seq. code	Iss. year code	Iss. month code
<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	3 8 1 0 0	A	3	6
Public corporation,	Nippon Steel	Interest-bearing bond	2003	Jun. etc.

(Reference Translation)

(e.g. 2) Heiwa Real Estate Co. Ltd. unsecured convertible bond No. 7

Attr. code	Specific name code	Iss. seq. code	Iss. year code	Iss. month code
<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	8 3 4 8 0	P	7	6
Public corporation,	Heiwa Real Estate	CB	2007	Jun. etc.

(e.g. 3) Sumitomo Electric Industries Ltd. subscription warrant security (No. 1)

Attr. code	Specific name code	Iss. seq. code	Iss. year code	Iss. month code
<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	4 0 7 4 0	1	M	8
Public corporation,	Sumitomo Electric Industries	Subscription warrant security	1991	Aug. etc.

(e.g. 4) Water Resources Development bond (v\ series, No. 179)

Attr. code	Specific name code	Iss. seq. code	Iss. year code	Iss. month code
<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	8 8 5 6 0	A	W	F
Public corporation, etc.	Water Resources Dev. Corp.	Interest-bearing bond	1999	Mar. (private offering)

b Foreign government bonds

(e.g.) Asian Development Bank yen-denominated bond No. 21

Attr. code	Nation no. code	Iss. no. code	Iss. seq. code	Iss. yr. code	Iss. mth. code
<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	0 0 1	0 1	A	P	2
Foreign juridical person	International institution	First numbered institution	Interest-bearing bond	1993	Feb.
Asian Development Bank					

c Specific financial instrument

(e.g.) ORIX Corp. paperless CP (Issue name: 001B)

Attr. code	Instrument code	Identification code	Identification code for securities type
<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
9	0 A	0 0 A	0 0 1
Specific financial instrument	Paperless CP	Issuer	Seq. no.

(Reference Translation)

(e.g.) YM Kogyo Co. Ltd. unsecured corporate bond No. 5

Attr. code	Instrument code	Identification code for securities type
<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
9	0 B	0 0 0 0 0 1
Specific financial instrument	Privately placed bond of private corporation, etc.	Seq. no.

(e.g.) Baring Japan Open

Attr. code	Instrument code	Identification code
<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
9	0 C	0 0 0 0 0 1
Specific financial instrument	Non-listed investment trust	Seq. no.

Additional rules

- 1 The specifications for paperless CPs handled by the JASDEC and classified stocks due to revisions in the Commercial Act were added in the amended rules of April 2002.
- 2 The STRIPS specifications were added in the amended rules of October 2002.
- 3 The specifications for joint issue of publicly offered local government bonds were added in the amended rules of April 2003.
- 4 The specifications for privately placed bonds handled by the regular bond book-entry transfer system of the JASDEC were added in the amended rules of June 2003.
- 5 The specifications for government bond transactions prior to auction and the securities type code for a preferred stock were added in the amended rules of August 2003.
- 6 The securities type code for equity investment securities was added in the amended rules of April 2004.
- 7 The specifications for non-listed investment trusts handled by the investment trust book-entry transfer system of the JASDEC were added in the amended rules of February 2005.
- 8 The government bond name code of "Interest-bearing government bonds (5-year) specifically designed for individual investors" was added in the amended rules of April 2005.
- 9 The issue sequence code of "Stripped coupon interest-bearing government bonds (interest payment due on the 15th)" was added in the amended rules of September 2005.
- 10 The handling of securities type code, etc. due to the enactment of the Companies Act was added in the amended rules of May 2006.
- 11 The government bond name code of "Interest-bearing government bonds (40-year) (50-year)" was added in the amended rules of January 2007.
- 12 The identification code of non-listed investment trusts was added in the amended rules of March 2007.

(Reference Translation)

- 13 Item 2.2 (1) b regarding Japanese Depositary Receipts (JDR) was added in the amended rules of December 2007.
- 14 The government bond name code of "Treasury discount bill" was added in the amended rules of March 2008.
- 15 The government bond name code of "Interest-bearing government bonds (3-year) specifically designed for individual investors" was added in the amended rules of September 2009
- 16 The amended provisions of II Basic Specifications, (1), a. Domestic stocks, (Note 5) went into effect on January 29, 2010.
- 17 The amended provisions of II Basic Specifications, 2.1.1 Attribute code (1-digit), Notes 2(2) and, 2.1.2 five-character specific name code (5)B(3) went into effect on March 26, 2012.
- 18 The amended provisions related to JGB baskets, etc. went into effect on May 1, 2018

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