

(Reference Translation)

Identification Code Specifications for Security Options Transaction

(Amended in February 2008)

(Amended in April 2010)

(Amended in June 2018)

I Composition (excluding that for flexible options)

(1)	(2)	(3)	(4)
Put/Call	Contract Month	Exercise Price	Underlying Security
□	□□	□□	□□□□

(Note) The specific name code for the stock, etc. (including domestic investment securities, preferred equity contribution securities and investment trust beneficiary certificates; hereinafter the same) is used for "Underlying Security". As such, capital alphabet letters may be allotted to the "Underlying Security" code.

II Allocation method

(1): Put/Call

Put and call options transactions shall be identified as follows.

(Code)

Put options transaction 2

Call options transaction 3

(2): Contract Month

The contract month will be indicated and coded in a four-year cycle as follows.

Month	1 st year		2 nd year		3 rd year		4 th year	
	Normal	Reserved	Normal	Reserved	Normal	Reserved	Normal	Reserved
Jan.	01	51	13	63	25	75	37	87
Feb.	02	52	14	64	26	76	38	88
Mar.	03	53	15	65	27	77	39	89
Apr.	04	54	16	66	28	78	40	90
May	05	55	17	67	29	79	41	91
Jun.	06	56	18	68	30	80	42	92
Jul.	07	57	19	69	31	81	43	93
Aug.	08	58	20	70	32	82	44	94
Sep.	09	59	21	71	33	83	45	95
Oct.	10	60	22	72	34	84	46	96
Nov.	11	61	23	73	35	85	47	97
Dec.	12	62	24	74	36	86	48	98

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- Reserved codes will be used in cases where the number of "Exercise Price" in a contract month exceeds "98"

(e.g.) 2005 is the 1st year.

	(Code)
Contract month of Dec. 2006	24 (Reserved code: 74)
Contract month of Mar. 2008	39 (Reserved code: 89)
Contract month of Jun. 2009	06 (Reserved code: 56)

(3): Exercise Price

Code numbers "01" to "98" will be allocated in sequence according to the order in which exercise prices are set, based on each exchange that lists the stock. If multiple exercise prices are set at one time, then the code numbers will be allocated beginning with the lowest price.

If the code number of an exercise price in a contract month exceeds "98", a reserved code for said contract month will be used and the exercise price code will be allocated beginning with "01".

(e.g.) Initial exercise price settings: JPY 2,600, JPY 2,800, JPY 3,000, JPY 3,200, and

	JPY 3,400 (Code)
JPY 2,600	01
JPY 2,800	02
JPY 3,000	03
JPY 3,200	04
JPY 3,400	05

Additional exercise price settings: JPY 2,200 and JPY 2,400 (Additional settings on the same day) (Code)

JPY 2,200	06
JPY 2,400	07

(4): Underlying Security

The underlying security for the options transaction will be indicated using the specific name code (four-digit stock issue code) of the stock, etc. The same shall apply to cases where the underlying issues are domestic investment securities, preferred equity investment securities, investment trust beneficiary certificates, etc.

(e.g.)

	(Code)
Sony Corp.	6758
Toyota Motor Corp.	7203

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(e.g.)

- Mitsui & Co. Ltd. Put options transaction, contract month of Dec. 2008
Exercise price setting: 99th

2 98 01 8031

- Sony Corp. Call options transaction, contract month of Sep. 2009
Exercise price setting: 32nd

3 09 32 6758

III Composition of Code of Flexible Options

(1)	(2)	(3)	(4)
Flexible Options	Put/Call, Final Settlement	Contract Month/ Exercise price	Underlying Security
□	□	□□□	□□□□

IV Allocation Method for Flexible Options

(1): "7" will be used for "Flexible Options". However, in cases the codes derived from the combination of (2), (3), and (4) will be duplicate, "8" and then "9" will be used.

(2): The codes for "Put/Call, Final Settlement" will be as follows.

	(code)
Delivery settlement-type put option trading	5
Delivery settlement-type call option trading	6
Cash settlement-type put options trading	7
Cash settlement-type call options trading	8

(3): For "Contract Month/Exercise Price", numbers from "001" to "999" will be allocated in ascending order.

(4): For "Underlying Security", four-character specific name codes for stocks, etc. will be allocated.

Additional rules

1. The amended rules for "(4): Underlying stock" were implemented in February 2008
2. The added rules for "Note" in "1. Composition" were implemented on April 1, 2010.
3. The amended provisions related to flexible options were implemented on June 25, 2018. Moreover, the name of these code specifications was changed from "Identification Code Specifications for Equity Options Transaction" to "Identification Code Specifications for Security Options Transaction" on the same date.

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