Fee Schedule for Basic Fees, Trading / Clearing Fees and Access Fees

1 Basic Fees (Monthly Amount)

	Amount		
Cash Trading	Futures etc Trading	Clearing	Amount
			600,000 yen
			480,000 yen
			360,000 yen
			300,000 yen
			240,000 yen
			180,000 yen
(IPO Trading Only)			60,000 yen

	Cash Trading: 300,000 yen	<u></u>	<u> </u>
	IPO Trading: 60,000 yen		
	Futures etc. Trading: 240,000 yen	480,000 yen	600,000 yen
360,000 yen	Clearing: 180,000 yen	V	V

2 Fee Rates for Trading/Clearing Fees

- (1) Auction Markets and J-NET (Negotiation) Market
- a Listed Securities

		Calculation Basis		Current Fixed-Rate Dues	New Trading Fees	
	Domestic Stocks					
	Subscription Warrants and Stock Purchase Warrants					
	Corporate Bonds with Subscription Warrants (incl. Convertible Bonds)	Trading Value		0.0017%	0.0023% ()	
	Investment Trust Beneficiary Certificates					
	Marketable Securities					
Auction Markets	Foreign Stocks and Foreign Marketable Securities					
	Government Bonds	Trading Volume	per face value of 100 yen	0.19%	0.19%	
	Yen-denominated Foreign Bonds	Trading Volume	per face value of 100 yen	0.19%	0.19%	
	Foreign currency-denominated Foreign Bonds	Trading Volume	per yen equivalent of 100	0.19%	0.19%	
	Bonds other than Government Bonds and Foreign Bonds such as Convertible Bonds	Trading Volume	per face value of 100 yen	0.19%	0.19%	
	Domestic Stocks					
Non-Auction Trading/	Convertible Bonds	Trading Value		0.00027%	0.00027%	
J-NET Trading (*1)	Investment Trust Beneficiary Certificates	Trading Value		0.00027%	0.00027%	
	REITs					

Please see the below table detailing the fee rates based on the monthly trading value. (Please see 4 below.)

^{*1:} For Trading Fees for J-NET Trading, a fixed-amount fee (100,000 yen/ month) can be selected as before.

b Stock Index Futures

			Current		New	
		Calculation Basis	Fixed-Rate Dues	Trading Face	Clearing Fees	
			Fixed-Rate Dues	Rate Dues Trading Fees		Final Settlements
Nikkei 225	Proprietary	Contract Value	0.0004%	0.00048% ()		
Nikkei 223	Customer Contract Value		0.0006%	0.00072% ()		
Nikk	ei 300	Contract Value	0.0004%		0.00014%	0.00086%
Sector	r Index	Contract Value	0.0008%			0.0008070
DJIA		Contract Value	0.0004%	0.00072%		
MSCI	JAPAN	Contract Value	0.0004%			

Please see the below table detailing the fee rates based on the monthly trading value. (Please see 4 below.)

c Equity Options

	Calculation Basis	Current	Ne	ew	
	Calculation Dasis	Fixed-Rate Dues	Trading Fees	Clearing Fees	
	Contract Value		0.01%	0.005%	
Equity Options	Trading Value of				
Equity options	Underlying Stocks by	0.00015%	-	0.00015%	
	Options Exercise				

d Stock Index Options

	Calculation Basis	Current	No	ew
	Calculation Basis	Fixed-Rate Dues	Trading Fees	Clearing Fees
Nikkei 225	Contract Value	0.04%	0.05% ()	0.005%
NIKKEI 223	Amounts Paid/Received by Options Exercise	0.04%	-	0.055%
Nikkei 300	Contract Value	0.02%	0.05%	0.005%
NIKKEI 500	Amounts Paid/Received by Options Exercise	0.02%	-	0.055%
Sector Index	Contract Value	0.04%	0.05%	0.005%
Sector fildex	Amounts Paid/Received by Options Exercise	0.04%	-	0.055%

Please see the below table detailing the fee rates based on the monthly trading value. (Please see 4 below.)

(2) Nippon New Market "Hercules"

	Calculation Basis	Current	New
Domestic Stocks			
Convertible Bonds	Trading Value	0.0017%	0.0023% ()
Foreign Stocks			

Please see the below table detailing the fee rates based on the monthly trading value. (Please see 4 below.)

3 Access Fees

	Monthly Sums of Orders										
			not over	1,000	orders	Free of Charge					
more than	1,000	orders		5,000		70,000 yen					
	5,000			10,000		100,000					
	10,000			20,000		250,000					
	20,000			40,000		450,000					
	40,000			80,000		800,000					
	80,000			160,000		1200,000					
	160,000					1600,000					

4 Trading Fees for Domestic Stocks, Nikkei 225 Futures & Options (Volume Discounts based on Trading Value/ Contract Value)

(1) Trading Fees for Domestic Stocks (Trading value is the sum total of that for the auction markets and the Hercules market)

	Month	nly Total of	Trading Val	ue	Current Fixed-Rate Dues	New Trading Fees	
			not over	5	bn. yen		0.0021%
more than	5	bn. yen		50			0.0023%
	50			250		0.0017%	0.0020%
	250			500			0.0015%
	500	•			•		0.001%

(2) Trading Fees for Nikkei 225 Futures for Proprietary Account

	Month	ly Total of	Trading Val	lue	Current Fixed-Rate Dues	New Trading Fees	
			not over	100	bn. yen		0.00034%
more than	100	bn. yen		300			0.00048%
	300			500		0.0004%	0.00034%
	500			800		0.000470	0.00019%
	800			2,000			0.00010%
	2,000						0.00005%

(3) Trading Fees for Nikkei 225 Futures for Customers' Accounts

	Month	ıly Total of	Trading Val	lue	Current Fixed-Rate Dues	New Trading Fees	
			not over	50	bn. yen		0.00050%
more than	50	bn. yen		500			0.00072%
	500			1,000		0.0006%	0.00058%
	1,000			1,500		0.000076	0.00043%
	1,500			3,000			0.00029%
	3,000	000				0.00014%	

(4) Trading/Clearing Fees for Nikkei 225 Options

	(,) ==================================										
	Montl	hly Total of	Trading Val	lue	Current Fixed-Rate Dues	New Trading Fees					
			not over	0.5	bn. yen		0.035%				
more than	0.5	bn. yen		5			0.05%				
	5			10		0.04%	0.04%				
	10			15		0.04%	0.03%				
	15			50			0.02%				
	50						0.01%				