

17上場会社発行済株式数増減状況

Increase and Decrease in Outstanding Shares

年月 Year or Month	有償 Paid-in		(a)株主割当 Offering to Shareholders		(b)公募 Public Offerings				(c)第三者割当 Private Placements		(d)優先株・転換社 債型新株予約権付 社債の株式転換等 Conversion of CB & Preferred Stocks		(e)新株予約権 の権利行使 Warrants and Stock Options Exercised		(f)株式分割 Stock Splits		(g)会社合併 Mergers		(h)資本減少・ 自己株式消却 Reduction of Capital Stocks		合計 Total	
	件数 No.of Cases	千株 thous.shs.	件数 No.of Cases	千株 thous.shs.	件数 No.of Cases	うち 新規公開 IPO	千株 thous.shs.	千株 thous.shs.	件数 No.of Cases	千株 thous.shs.	件数 No.of Cases	千株 thous.shs.	件数 No.of Cases	千株 thous.shs.	件数 No.of Cases	千株 thous.shs.	件数 No.of Cases	千株 thous.shs.	件数 No.of Cases	千株 thous.shs.	件数 No.of Cases	千株 thous.shs.
19 (2007)	686	5,341,133	1	80,862	60	23	409,532	13,240	117	1,521,236	160	2,928,468	348	401,032	66	11,749,106	56	994,939	77	-4,498,960	885	13,586,219
20 (2008)	437	3,542,021	1	6,998	27	19	687,868	27,747	93	1,549,130	76	1,119,159	240	178,863	21	120,552	42	439,106	177	-981,860	677	3,119,819
21 (2009)	382	22,418,250	—	—	52	9	12,049,714	13,698	115	3,192,219	46	6,846,482	169	329,833	40	16,193,816	35	1,513,268	85	-1,274,378	542	38,850,955
20 (2008)	4	113	220,572	—	—	—	—	—	10	79,241	23	130,136	80	11,195	9	69,211	10	309,443	10	-47,661	142	551,565
	5	126	83,981	—	—	1	1	2	4	36,202	24	46,180	97	1,595	—	—	1	3,351	14	-85,421	141	1,911
	6	139	126,064	1	6,998	—	—	—	6	48,848	32	47,681	100	22,536	—	—	2	91	16	-45,481	157	80,674
	7	102	285,690	—	—	1	—	15	4	30,498	27	234,660	70	20,516	1	143	2	42,123	11	-101,569	116	226,387
	8	101	122,799	—	—	1	1	300	8	49,911	24	66,203	68	6,384	—	—	7	31,268	16	-30,499	124	123,568
	9	95	438,577	—	—	2	1	3,396	14	355,628	32	66,279	47	13,272	1	2,628	—	—	18	-59,826	114	381,378
	10	60	284,393	—	—	3	3	1,480	5	132,453	17	137,091	35	13,369	4	5,998	10	25,700	13	-7,284	87	308,808
	11	58	228,934	—	—	2	2	10,119	5	204,466	14	14,267	37	81	—	—	2	1,128	14	-18,710	74	211,353
	12	48	763,380	—	—	4	2	643,904	5	90,416	10	21,673	29	7,385	—	—	1	289	9	-52,420	58	711,249
21 (2009)	1	40	214,126	—	—	—	—	—	5	153,055	7	21,918	28	39,153	14	15,998,579	—	—	8	-63,483	62	16,149,222
	2	53	510,501	—	—	1	—	600	7	465,169	5	19,064	40	25,667	—	—	1	1,588	11	-36,248	65	475,841
	3	67	1,121,298	—	—	4	1	687,122	7	330,137	11	90,633	45	13,405	2	5,267	2	137,779	22	-115,412	93	1,148,933
	4	55	262,162	—	—	1	1	100	8	133,593	8	84,804	38	43,664	8	61,438	6	226,715	4	-2,900	73	547,416
	5	49	290,188	—	—	—	—	—	7	256,429	7	14,133	35	19,624	1	9,155	1	815,570	8	-13,794	59	1,101,120
	6	86	1,912,781	—	—	5	2	1,155,200	14	657,245	8	15,403	59	84,932	1	130	4	4,708	5	-53,500	96	1,864,121
	7	70	4,731,481	—	—	6	1	3,702,693	7	57,790	11	931,889	46	39,108	4	53,028	1	6,471	6	-866,664	81	3,924,317
	8	67	1,241,212	—	—	2	—	1,216	11	414,593	13	808,832	41	16,570	1	8,504	1	3,560	4	-13,369	73	1,239,907
	9	77	1,595,524	—	—	9	2	250,190	13	178,506	14	1,156,202	41	10,624	2	13,754	2	51,460	1	-16,063	82	1,644,675
	10	62	1,555,427	—	—	8	—	1,343,973	2	34,096	12	175,168	40	2,190	6	41,325	12	198,753	1	-481	81	1,795,025
	11	71	795,295	—	—	5	1	574,770	11	97,869	8	109,774	47	12,881	—	—	—	—	7	-53,892	78	741,402
	12	93	8,188,250	—	—	11	1	4,333,850	23	413,732	11	3,418,656	48	22,010	1	2,631	5	66,661	8	-38,569	107	8,218,973
22 (2010)	1	62	637,884	—	—	5	—	439,704	4	29,700	8	138,472	45	30,007	1	1,053	4	2,168	3	-29,410	70	611,696
	2	53	67,052	—	—	1	—	220	6	41,726	9	18,047	37	7,059	—	—	2	745	1	-864	56	66,934
	3	75	927,799	—	—	9	4	447,910	12	233,341	10	209,371	44	37,177	2	7,296	6	169,793	22	-66,868	105	1,038,021
	4	63	281,179	—	—	1	—	55,000	10	206,298	6	6,586	46	13,294	6	251,377	6	410,583	5	-15,022	80	928,118

注：(1)集計基準は、株主割当、公募、第三者割当は払込期日、優先株式は普通株式転換日、転換社債型新株予約権付社債及び新株予約権は権利行使日、それぞれ当該日現在東証に上場している会社の実施分を集計対象とした。

(2)新規上場会社が入場の際に行う公募の取扱いは以下のとおり。

a. 平成15年1月から19年3月までは、新規公開会社、大証ヘラクレス及びジャスダック上場会社以外の会社が東証上場の際に行う公募は集計対象とした。

b. 平成19年4月以降は、東証に直接上場する会社が東証上場の際に行う公募は集計対象とした。

(3)「優先株・転換社債型新株予約権付社債の株式転換等」及び「新株予約権の権利行使」の件数は、月間は延べ会社数、年間は同一会社の実施分は1件とした。平成14年1月以降は後配株式の転換分を含む。なお、新株式が発行されずに、金庫株が付与される場合は集計対象外とした。

Notes: (1)The base date is the payment date for share allocations, public offerings, and allocations to a third party, the conversion date for preferred stock, and the exercise date for convertible bonds and warrants. The aggregate number of shares issued by all companies on the TSE as of the date is calculated.

(2)Handling of public offerings made when companies newly list will be as follows:

a. For the period from January, 2003 to March, 2007, all public offerings made when companies other than those making an initial public offering, companies listed on the OSE Hercules and Jasdak markets listed on the TSE are included in calculation.

b. From April, 2007, public offerings made when companies list directly on the TSE are included in calculation.

(3)Number of cases for (d) and (e) are monthly aggregates. Annual figures are number of companies. Figures include those for deferred stocks from January 2002. Figures in “Warrants and Stock Options Exercised” exclude cases where treasury stocks are allotted in accordance with the exercise without issuing new shares.