

Customer Buffer Framework Summary Chart

- i) Clearing Broker who desires utilization of Customer Buffer framework pre-posts collateral (cash) to Customer Buffer account. It shall also notify each Customer's utilization cap and whether or not allocation to Intraday Margin shortfall is allowed.
- ii) When a Customer submits a trade for Clearing and at the time of Intraday Margin calculation, if Customer's collateral is insufficient, automatically allocate collateral from Customer Buffer account to the Customer Account. (When collateral is still insufficient after allocation of Customer Buffer, the allocation will not be effected and Clearing request will be rejected.)
- iii) At the time of Initial Margin call (EOD2) at 20:00, the Customer Buffer allocated to Customer account will be returned to Clearing Broker's Customer Buffer account.

