

## Reporting Required under Foreign Country Authorizations

March 4, 2024

Japan Securities Clearing Corporation

1. The Foreign Government and the like to be designated by JSCC in the public notice under Article 47-4 of the Handling Procedures of Interest Rate Swap Business Rules are as follows:
  - (1) The U.S. Commodity Futures Trading Commission;
  - (2) European Securities and Markets Authority;
  - (3) Australian Securities and Investments Commission;
  - (4) Reserve Bank of Australia;
  - (5) Securities and Futures Commission (hereinafter referred to as “HK SFC”);
  - (6) Hong Kong Monetary Authority;
  - (7) Eidgenössische Finanzmarktaufsicht (hereinafter referred to as “FINMA”);
  - (8) Ontario Securities Commission; and
  - (9) Autorité des marchés financiers.
  
2. The laws, regulations of the relevant foreign countries and orders, notifications of, or other means of exercise of the administrative authorities by, the relevant Foreign Government and the like, or agreements with the relevant Foreign Government and the like to be prescribed by JSCC under Article 47-4 of the Handling Procedures of Interest Rate Swap Business Rules are as follows:
  - (1) Amended Order of Exemption from Registration dated May 15, 2017 issued by The U.S. Commodity Futures Trading Commission in accordance with the U.S. Commodity Exchange Act Section 5b(h);
  - (2) Japan Securities Clearing Corporation’s interest in becoming a prescribed facility dated June 10, 2015, which JSCC filed with the Australian Securities and Investments Commission and the Reserve Bank of Australia;
  - (3) Notice of Authorization to Provide Automated Trading Services dated August 31, 2016 issued by HK SFC in accordance with Section 95(2) of the Securities and Futures Ordinance (hereinafter referred to as “SFO”);
  - (4) Notice of Designation dated August 31, 2016 issued by HK SFC in accordance with Section 101J(1) of SFO;
  - (5) VERFÜGUNG der Eidgenössischen Finanzmarktaufsicht FINMA vom 23. January 2018 in

Sachen Japan Securities Clearing Corporation, Tokyo Japan betreffend Anerkennung als ausländische zentrale Gegenpartei issued by FINMA on January 23, 2018;

- (6) IN THE MATTER OF THE SECURITIES ACT, R.S.O. 1990, CHAPTER S. 5, AS AMENDED (THE OSA) AND IN THE MATTER OF JAPAN SECURITIES CLEARING CORPORATION ORDER dated September 29, 2023 issued by Ontario Securities Commission in accordance with THE SECURITIES ACT, R.S.O. 1990, CHAPTER S. 5 Article 147; and
- (7) IN THE MATTER OF THE SECURITIES LEGISLATION OF ONTARIO AND QUEBEC (the Jurisdictions) AND IN THE MATTER OF THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS IN MULTIPLE JURISDICTIONS AND IN THE MATTER OF JAPAN SECURITIES CLEARING CORPORATION (the Filer) DECISION dated October 2, 2023 issued by Ontario Securities Commission in accordance with section 49 of Canada National Instrument 94-102 and section 86 of Derivatives Act (Québec).